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AUTHOR'S FULL NAME: DANG THI LE HANG

DISSERTATION'S TITLE
INJECTABLE ALGINATE AND PLURONIC-BASED
HYDROGELS WITH ON-DEMAND BIOACTIVE
COMPOUNDS FOR SPECIFIC TISSUE REGENERATION

DOCTORAL DISSERTATION ON ORGANIC CHEMISTRY

Code: 9.44.01.14

TP. Hồ Chí Minh – 2024

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Graduation University of Science and

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Trần Ngọc Quyên

TP. Hồ Chí Minh – 2024

DECLARATION

The work was conducted at the Department of Pharmaceutical Biomaterials - Institute of Applied Materials Science (IAMS) - Vietnam Academy of Science and Technology (VAST) in Ho Chi Minh City.

I hereby declare that this is my research work, conducted under the scientific guidance of Associate Professor Dr. Tran Ngoc Quyen. The research content and data obtained are honest and come from my research. The results of this study have not been published in any thesis of the same level.

Ho Chi Minh, September 12th, 2023

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Ho Chi Minh City, Viet Nam

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LIST OF ABBREVIATIONS

¹ H-NMR: Proton nuclear magnetic resonance	ECM: Extracellular matrix
AALG: Aminated alginate	EDC: 1-ethyl-3-(3-dimethyl aminopropyl) carbodiimide
AC: alginate-cystamine or Na Alg-cys	ELSD: Evaporative light scattering detector
ACP: Alginate-cys-Pluronic	eNOS: Endothelial NO synthase
ADA: Alginate-dopamine	FDA: Food and Drug Administration
AIC: Akaike Information Criterion	FT-IR: Fourier-transform infrared spectroscopy
Alg= Alginate	G': Storage moduli
AO: Acridine orange	G'': Loss moduli
APC 1: Alginate-cys-Pluronic F127 with Pluronic accounting for 87.5% by mass	GRAS: Generally Recognized as Safe
APC 2: Alginate-cys-Pluronic F127 with Pluronic accounting for 91% of the mass	GT: gelation temperature
APC 3: Alginate-cys-Pluronic F127 with Pluronic accounting for 93% of the mass	hBMSCs: Human bone mesenchymal stem cells
APC 4: Alginate-cys-Pluronic F127 with Pluronic accounting for 95% of the mass	HCA: Hydroxycarbonate apatite
AR-ACP: L-arginine and Resveratrol loading ACP hydrogel	HNP BG: Hemin nanoparticles incorporated bioglass
BG: Bioactive Glasses	HNP: Hemin nanoparticles
BJ cells: Fibroblasts established from skin	HPLC: High-performance liquid chromatography
BMSCs: Bone Mesenchymal stem cells	HRP: Horseradish peroxidase
CaNT: calcium nitrate tetrahydrate	KP: Korsmeyer-Peppas
CGC: Critical gelation concentration	LCST: Lower critical solution temperature
CMC: Carboxymethyl cellulose	LVR: Linear viscoelastic range
CMPI: 2-chloro-1-methyl pyridinium iodide	MES: Morpholinoethanesulfonic acid sodium salt
CS: Chitosan	MG63: Human osteosarcoma cells
CST: Critical solution temperature	MSCs: Mesenchymal stem cells
CTAB: Hexadecyltrimethylammonium bromide	MTT: 3-[4,5-dimethylthiazol-2-yl]-2,5 diphenyl tetrazolium bromide
DCC: N, N'-dicyclohexylcarbodiimide	NHS: N-hydroxysuccinimide
DI water: Deionized Water	NMR: Proton nuclear magnetic resonance
DLS: Dynamic light scattering	NO: nitric oxide
DMAP: 4-(N,N'-dimethylamino)pyridine	pBMSCs: Porcine bone marrow-derived mesenchymal stem cells
DMEM: Dulbecco's modified Eagle's medium	PBS: Phosphate-buffered saline
DOPA: Dopamine = 3,4-dihydroxy phenyl-L-alanine	PDA: Pluronic-dopamine
DPPH: 2,2-diphenyl-1-picryl-hydrazine-hydrate	PI: Propidium iodide
DS: Degree of substitution	Pluronic F127: F127

Pluronic= poly(ethylene oxide)- Poly(1,2-
butylene oxide)- poly(ethylene oxide)
triblock copolymers (PEO-PPO-PEO)
p-NPC: 4-Nitrophenyl chloroformate
PolyNIPAM: poly(N-isopropyl acrylamide)
R-ACP: Resveratrol loading ACP hydrogel
ROS: Reactive oxygen species
SANS: Small-angle neutron scattering
SAO: Small amplitude oscillatory shear
SAXS: Small-angle X-ray scattering
SEM: Scanning electron microscopes

SRB: Sulforhodamine B
STZ: Streptozotocin
TBA: tetrabutylammonium
TBNS: 2,4,6-trinitrobenzene sulfonic acid
TE: Tissue engineering
TEM: Transmission electron microscopes
TEOS: Tetraethyl orthosilicate
TEP: Triethyl phosphate
TNBS: 2,4,6-trinitrobenzene sulfonic acid
UCST: Upper critical solution temperature
XRD: X-ray diffraction
 β -TCP: β -tricalcium phosphate

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CHAPTER 1: INTRODUCTION

1.1 Motivation: the importance of thermal-responsive hydrogel in tissue engineering and the current challenge

Millions of deaths occur globally each year due to injuries and diseases that cause tissue damage, with a profound impact on the quality of life and a substantial healthcare burden [1-4]. Despite the introduction of organ transplantation, conventional reconstruction may be unsuitable in many cases [5, 6]. Allografts face limitations such as the availability of appropriate human grafts, necessity for immunosuppression, and technical challenges [7, 8]. Immunosuppressive measures for graft recipients carry significant risks, including susceptibility to infections, elevated risk of cancer, and reduced life expectancy [6, 8]. In response to these challenges, there has been a rapid development in studies in the field of tissue regeneration [1, 3, 5]. The primary objective of these studies had been to create functional human tissue equivalents for organ repair and replacement [9, 10].

A fundamental concept in tissue regeneration involves the use of biomaterials that mimic the structure of the extracellular matrix (ECM) to support new cell growth and promote repair [1, 5, 10]. While decellularized techniques initially provided ideal biomaterials (dECM) for tissue regeneration [4, 11-14], concerns regarding relevance, ethical approval, scaling-up, and cost have prompted a shift towards the use of safe and effective tissue-engineered scaffold alternatives [2, 3, 10]. Among these, hydrogels have emerged as prominent and versatile materials for tissue regeneration [1, 3, 5]. Hydrogels are characterized by highly hydrated three-dimensional (3D) networks of water-soluble polymers [1, 3]. Their swollen and hydrated structures closely resemble the ECM in the native tissues, creating a microenvironment favorable for regenerated cells [15, 16]. The porous structure of the hydrogels supported the encapsulation process. It can act as cargo for sensitive biological cues, making it an excellent choice for carrying and releasing drugs/ therapeutic agents [2, 3, 16]. The soft features of hydrogels can help reduce the inflammatory response of the surrounding tissue, thereby enhancing its biocompatibility [1, 15]. Hydrogels can easily adjust their shape according to private requirements, making them preferred for implantable materials and devices [2, 10].

In recent years, injectable hydrogels have gained more attention than conventional gels because of their advantages in minimally-invasive surgical procedures and real-time adaptability in shape [17, 18]. Injectable gels are essentially a type of in situ forming hydrogel that simplifies cargo incorporation, making them preferable delivery vehicles [19]. This hydrogel form is particularly suitable for applications in which the final form or shape is either unimportant or defined by the void or space into which they are injected [17, 18]. Apart from the in situ gelling characteristic, the term "injectable" implies that the hydrogel is created through a process that

primarily involves the transport of the sol or pre-gel to a targeted site for gelation via an injection device [15, 17]. The need for injectable hydrogels has spurred the use of smart hydrogels that exhibit structural responses to temperature changes [17, 19-21]. In such hydrogels, at a critical gelation concentration (CGT), the hydrogel displays the behavior of the fluid, transforming from a sol to a gel state above that temperature [17, 22]. Polymers with a low critical solution temperature or phase transition between room temperature and body temperature have been employed to create injectable in situ hydrogels [17]. After injection into the body, the polymer solution underwent thermally induced self-assembly to form a hydrogel at body temperature [20]. A significant focus has been the development of thermosensitive hydrogels by combining thermo-responsive polymers with natural polymeric components, such as polysaccharides [20, 23]. This combination allows for rational design of artificial environments conducive to cell growth and differentiation [24]. Polysaccharides, either conjugated with a thermosensitive polymer or cross-linked with a combination of both polysaccharide and thermosensitive polymers, have been utilized to prepare thermally responsive hydrogels with an optimal critical solution temperature suitable for injection into the body [20, 22, 23]. This combination also offers new possibilities for developing suitable mechanical strengths for each tissue application [15, 16].

Combining all the essential features of a pure hydrogel form often makes it challenging to fulfill the fundamental requirements for the desired clinical application. The recognition of the limitations of hydrogels has led to the development of hybrid systems with different biological cues [25, 26]. By incorporating bioactive compounds such as amino acids [27-29], plant-derived bioactive substances [30-32], and/or bioglass [27, 33] into hydrogels, these systems acquire characteristics that the bare form of the scaffold cannot achieve on their own. Interestingly, strengthening hydrogels through biophysical factors may outperform or replace the effects of biochemical cues [34], particularly in applications where such factors effectively encourage cultured and/or recruited cells to form functional tissues [29, 31, 32]. Therefore, there is a compelling need to explore the application of innovative synthetic designs to address these challenges and create materials that offer greater programmability.

1.2 Aims and object

This project aimed to identify and develop innovative injectable scaffolds with thermally-responsive properties and on-demand requirements for tissue regeneration. This was achieved using hybrid polymer material, specifically polysaccharide, alginate, and the thermal-responsive polymer Pluronic F127. The objective was to create scaffolds that provided a well-defined and suitable microenvironment for guiding cells predictably, thus facilitating tissue regeneration. In addition, a delicate combination of biological cues (plant-driven compound-resveratrol, amino acids (arginine), or inorganic nanoparticles (bioglass)) would be expected as

singling regulators in the designed hydrogel systems to enhance and promote the synergetic efficacy of these materials in tissue regeneration.

Two broad approaches are commonly used to design thermally responsive hydrogels from Pluronic F127 and alginate: grafting and crosslinking. Therefore, this thesis has two main objectives:

The project aims 1: To develop a thermally responsive hydrogel from alginate and Pluronic F127 via grafting techniques. The hypothesis is that after Pluronic grafting on alginate, the sol-gel transition feature will remain, while the pore structure will support cell development. The designed structure would be a scaffold for dual therapeutic agents to assist in diabetic wound treatment.

The project aims 2: To develop a thermally responsive hydrogel by employing crosslinking techniques on a combination of alginate and Pluronic F127, utilizing catechol oxidative crosslinking for bone regeneration. Bioglass could be introduced to develop biomimetic functional hydrogels for bone regeneration. To eliminate the peroxidase enzyme's use for the catechol oxidative reaction, the bioglass was engineered with hemin nanoparticles to introduce a new function to the bioglass system. The hypothesis is that the peroxidase-mimicking enzyme based on bioglass acts as a catalyst to facilitate catechol oxidation, resulting in the formation of crosslinking in alginate and Pluronic with suitable sol-gel transition. The addition of bioglass could also provide a potential scaffold for bone regeneration.

CHAPTER 2: STATE OF THE ART AND LITERATURE REVIEW

2.1 The concept of injectable thermal responsive hydrogel in tissue regeneration

2.1.1 Tissue regeneration and tissue engineering

The loss or failure of function of a tissue or organ is a costly problem in the human health care system [3, 7, 9]. Many factors can induce damage to the body during life, such as injury, trauma, or cancer. Consequently, the tissue can lose its function [8]. Humans have innate regenerative potential [35]. Regeneration involves the coordinated migration of cells, differentiation of progenitor cells, and tissue morphogenesis [2, 8, 10]. Tissue regeneration follows the intricate biological phenomena encompassing diverse cell types, growth factors, cytokines, and metabolites [35]. Multiple signaling messages generate billions of new cells that respond to biological feedback systems to remove injured cells/ tissues [2, 9, 36]. Although different tissues have different regenerative mechanisms, this process can be categorized into three stages for all tissue types: inflammation (both acute and chronic), neotissue formation, and tissue remodeling. In the first stage of tissue regeneration, the immune system performs various tasks (e.g., damaged tissue debridement, releasing chemokines, enzymes, etc.) as inflammatory cells eliminate damaged cells and infective microorganisms, ultimately diminishing local inflammation reactions and triggering tissue-repair signals. In the next phase, endogenous tissue stem cells migrate to the injury site and increase, resulting in the replacement of the damaged extracellular matrix (ECM) and the development of vascularized networks. In the final phase, tissue remodeling is focused on the biophysical integrity of the newly formed extracellular matrix (ECM) via reorganization, degradation, and resynthesis of the tissue. However, the degree and duration of this repair response depend on the tissue location and development stage [4, 6, 9, 24, 35, 36]. Regenerative ability is inferior in adults or patients with underlying disorders [35]; consequently, clinical assistance should be applied to restore the body's regenerative capacity [9]. Through knowledge of how individual cells respond to signals, interact with their environment, and organize into tissues and organisms, researchers have been able to manipulate these processes to repair damaged tissues or even create new ones, leading to the debut of tissue regeneration [2, 3, 8, 10]. Tissue regeneration is one of the most critical methods for improving the tissues of patients suffering from various types of tissue damage worldwide [37]. Now, tissue regeneration is also known as regenerative medicine [10, 38].

Regenerative medicine is an interdisciplinary field aimed at maintaining a stable state, improving function, and/or replacing the biological function of the tissue [38]. Regenerative medicine is primarily related to the development of biological substitutes, including scaffolds and decellularized tissues and/ or their combination with cells and biological cues/signals, to

support the regeneration of damaged tissues [37]. Regenerative medicine has a broad range of tissue engineering application [5]. In tissue engineering, cells, scaffolds, and growth factors are combined to regenerate or replace damaged or diseased tissues [10, 39]. The terms “tissue engineering” and “regenerative medicine” have become largely interchangeable, as the field hopes to focus on cures instead of treatments for complex, often chronic, diseases [37, 38].

2.1.2 Hydrogel as biomimetic ECM

Cells respond critically to tissue regeneration [35, 37, 39]. Therefore, cell-based therapies have become a potential strategy for tissue regeneration. Nevertheless, significant challenges have arisen owing to inadequate control over cell delivery and retention at the injury site [1-3], prompting a shift in focus to another pivotal element in tissue engineering and regenerative medicine strategies: the extracellular matrix (ECM) [15].

ECM assemblies exhibit high dynamism, serving as a three-dimensional support structure for cells and actively influencing their behavior [5, 9, 10]. Tissue-specific characteristics primarily arise from dynamic biophysical and biochemical interactions among diverse cell types and their microenvironment [40-43]. The mature ECM, responsive to environmental cues, can undergo dynamic remodeling through reciprocal interactions between cells and the ECM, facilitating tissue homeostasis and response to stress [44-46]. During regeneration, the migration and proliferation of endogenous progenitor and stem cells to the injury site results in replacement of the damaged ECM [5, 9, 10]. However, the ability of human bodies to regenerate and repair damaged tissues is limited, depending on age, health conditions, etc [10, 37, 39]; consequently, endogenous progenitor and stem cells cannot wholly reform the ECM [35]. Therefore, they cannot provide instructive cues to direct the cell phenotype for tissue regeneration.

In theory, the ideal regenerative scaffold would be the natural extracellular matrix (ECM), leading to the emerging development of decellularized tissue for harvesting ECM (dECM) for tissue regeneration [4, 7, 9]. The primary advantage of using dECM as a scaffolding material is its ability to support and promote the formation of more specific tissue while minimizing scar tissue [2]. The decellularization process should eliminate all potentially immunogenic components while preserving the original composition and structure of the native ECM as much as possible [46, 47]. Ineffective decellularization is often associated with intense inflammatory responses that can impede proper remodeling [48]. Different tissues from various donors, even when subjected to similar decellularization protocols, may exhibit significantly different dECM compositions after processing [49]. Despite advancements in this

field, the therapeutic application of dECM still encounters challenges related to standardization, scaling up, and ethical and regulatory constraints [10, 37, 39, 48].

Artificial ECMs provide a viable alternative [3-5] for addressing the drawbacks associated with dECM. These structures, which are typically based on 3D configurations, can be composed of either (i) materials derived from naturally occurring molecules or (ii) synthetic materials with biomimetic features [10, 17, 19]. Compared with native ECM, artificial structures are more straightforward, facilitating large-scale industrial production with lower batch-to-batch variation [5, 6, 10, 16]. They encounter fewer regulatory issues and are more amenable to manipulation, including tailoring the mechanical and degradation properties, making them easier to process [19, 23, 24, 37]. In addition, the decellularized ECM (ECM) structure of all organs has a heterosporous morphology with a high degree of interconnectivity (**Fig. 2.1**). Inspired by this discovery, hydrogels have emerged as favored candidates because of their intrinsic ability to closely mimic several features of native ECM [15-18]. Hydrogels, which are hydrophilic 3D cross-linked polymeric networks with interconnected microscopic pores, can absorb up to 99% of biological fluid volume [10, 19, 22]. Hydrogels create a highly hydrated environment resembling the aqueous conditions experienced by cells in the native ECM [10]. These hydrogels can be formed from natural and synthetic polymers, offering high adaptability to chemical modification and process engineering techniques to control their properties [16, 41, 44, 50, 51]. The compliant nature of hydrogels allows for the presentation of embedded cells in suitable viscoelastic microenvironments, the properties of which can be adjusted to match those of various native tissues [47, 52-55]. To further tailor their degradation, stimulated bonds (e.g., temperature stimuli bonds, pH stimuli bonds, redox stimuli bonds, etc.) can be incorporated into hydrogels based on the requirements of specific tissues [56-60]. Additionally, these hydrogels may contain specific biochemical cues to instruct cells and stimulate host cells [51, 61-64]. Compared to dECM, hydrogel structures are more straightforward and easily facilitate large-scale industrial production with lower batch-to-batch variation [1-3, 15, 16]. They encounter fewer regulatory issues and are more amenable to manipulation, including tailoring the mechanical and degradation properties, making them easier to process [16]. Hydrogels serving as artificial matrices through rational design should offer appropriate mechanical, chemical, and biological support for optimal cell growth and maintenance [65].

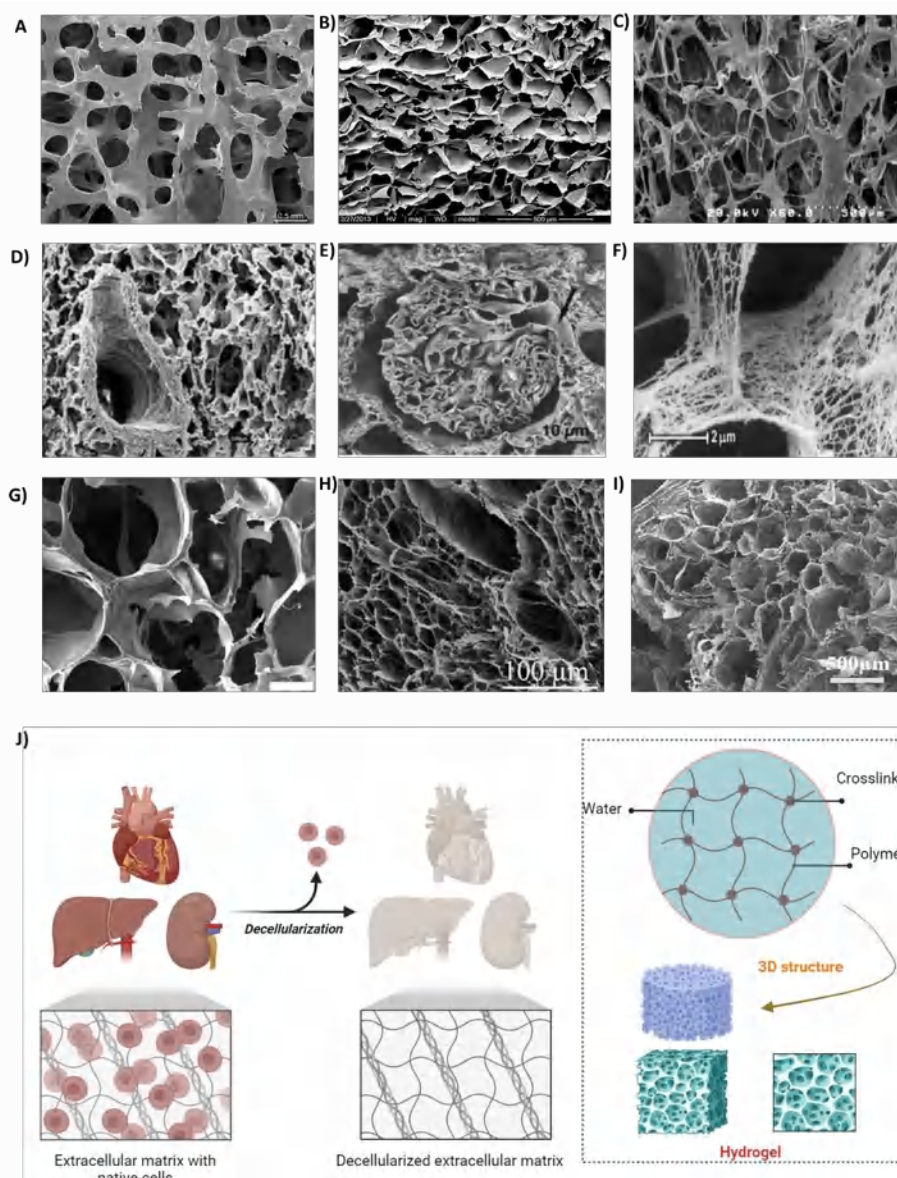


Figure 2.1: *The similar structure between native ECM and hydrogel.*

A-I: The morphology of dECM from A) bone [66], B) skeletal muscle [67], C) skin [68], D) lung [14], E) kidney [13], F) heart [69], G) placenta [12], H) ovary [11], I) testis [70]. J) The illustration of the similarity between native ECM and hydrogel.

2.1.3 *Injectable thermal responsive hydrogel – ideal performance for tissue regeneration*

2.1.3.1 *Injectable hydrogel*

Injectability hydrogels have been widely investigated as emerging hydrogels for tissue regeneration [17, 19, 20, 22]. The concept behind injectable hydrogels is to inject a polymer solution into the treatment site and then allow it to gel (Fig. 2.2). Injectable materials can be introduced into the body much faster and have a better affinity for host tissue [71-75]. Moreover, injectable formulations can fill voids or defect areas in various shapes [10]. These injectable scaffolds typically comprise materials, cells, and biological signals (growth factors,

proteins, prescription drugs, or bioactive compounds) that facilitate neo-tissue formation. Various studies using injectable hydrogels have shown advantages in inner tissue regeneration, including cardiovascular, cartilage, and bone regeneration, in terms of minimally invasive means [51, 54, 74-77]. Furthermore, injectable hydrogels exhibit the best performance in new manufacturing processes [5]. Injectable hydrogels, which can be injected through a needle or catheter, have attracted interest in this field [1, 20-22, 24].

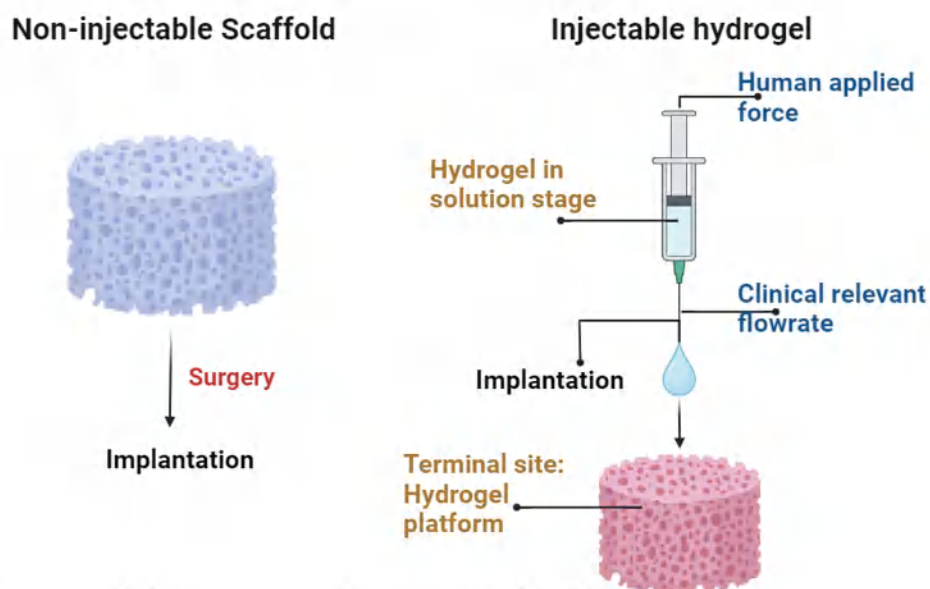


Figure 2.2: *The illustration of non-injectable hydrogel and injectable hydrogel.*

The primary requirement of these materials is due to the necessity for polymer design [78]. The initial success of injectable systems is achieved through formulations that can undergo gelation in situ, injecting liquid polymer solutions into tissues, where they solidify [17, 18]. Dual-syringe devices are commonly used for co-injecting two solutions that react to form a hydrogel upon mixing [79]. However, dual solutions require conditions, such as the concentration of each component and the flow rate of the mixing solution. Poor mixing can further contribute to heterogeneous gelation [78, 79]. Moreover, as injectable hydrogels form in the body, crosslinking methods are limited to biocompatible mechanisms that are feasible under physiological conditions [24, 60, 75, 80]. In Tomy for injection, stimuli-responsive polymers are used to design a single injection [21, 24]. Stimuli-responsive polymers have been engineered to undergo sol–gel transitions based on environmental factors such as temperature, pH, and ionic strength [17, 21, 24]. These polymers are designed to remain liquid under nonphysiological conditions (e.g., room temperature, acidic pH, salt-free) but solidify upon introduction into the body (e.g., 37 °C, neutral pH, millimolar salt concentration) [24, 60, 75, 80]. Although these injectable systems have shown promise in animal studies [43, 57, 58, 75, 80], challenges with gelation kinetics persist [78, 79]. Issues related to gelation kinetics may

lead to solidification within the syringe or slow gelation resulting in premature cargo release in vivo [1]. Despite these limitations, ongoing research has aimed to enhance the performance of injectable hydrogels.

2.1.3.2 Thermal responsive hydrogel

Temperature-sensitive hydrogels can be divided into positive and negative thermosensitive hydrogels, according to their temperature-sensitive structure [62, 76, 81-85]. Negative thermosensitive hydrogels have been identified by their lower critical solution temperature (LCST) [22, 24]. Below the LCST, the hydrogel structure relaxes, and above this temperature, the polymer in the hydrogel structure becomes compacted [86]. In contrast, positive temperature-sensitive hydrogels are identified by their upper critical solution temperature (UCST) [24, 87]. Below the UCST, the polymers compact, and above the UCST, they relax [87]. Following the hydrogel structure, temperature-sensitive hydrogels can be divided into volume and sol-gel phases [59, 85]. The volume phase transition focuses on a hydrogel with controlled swelling based on the temperature [85, 86, 88]. A sol-gel phase transition is a group of hydrogel that can transition from the solution phase to the gel phase as a function of temperature [89-94]. Among them, a thermosensitive hydrogel with reversible properties and LCST is one of the most widely applied systems for making injectable hydrogels [22, 23, 90, 91], which is the solution state upon injection at a lower temperature and transforms into a gel state immediately at body temperature [94, 95].

Although temperature-responsive and injectable hydrogels exhibit significant promise for various biomedical applications, their preparation and injection processes still pose specific challenges [78, 79]. In general, the polymer network in thermoresponsive hydrogels, is established through polymerization reactions involving crosslinking agents that can be either non-biodegradable or biodegradable [88, 96]. Chemical or physical interactions are employed for crosslinking, impacting the final hydrogel properties and stability that are crucial for the intended application [97]. Chemical crosslinkers, which form covalent bonds, often yield stiffer hydrogels but may introduce some level of toxicity and disrupt injectability [23]. Conversely, physical crosslinking through chain entanglement or secondary forces can result in hydrogels with reduced stiffness [22]. Careful consideration of material selection, polymerization reaction, and gelation conditions is imperative to ensure biocompatibility, particularly in injectable systems [15-18].

Thermoresponsive hydrogels can be designed to be biodegradable by incorporating weak bonds susceptible to hydrolysis or other forms of lysis, with cell metabolism and byproduct excretion influencing overall safety [1, 3, 17, 20, 22]. This modified hydrogel class should be a free flowing solution into a target region, undergoing in situ gelation in response to increasing temperatures above ambient values and conforming to the surrounding environment [56, 63,

87, 98]. Parameters such as hydrogel lower critical solution temperature (LCST), gelation rate, viscosity, and mechanical strength are crucial and should be characterized and tuned for biological applications [58, 84, 99]. For instance, adjusting the polymer concentration and the copolymerization ratio of hydrophobic and hydrophilic monomers can alter the LCST and viscosity [43, 87]. Importantly, thermoresponsive hydrogels for tissue regeneration must possess mechanical stiffness that matches native tissues to modulate target adhesion, proliferation, differentiation, and proper functioning [100]. Adequate mechanical stiffness is fundamental to withstand *in vivo* forces, ensuring structural integrity and transmitting physiological forces until the hydrogel is entirely replaced by native tissues, thus minimizing the immune system response [17, 19, 23, 38, 79, 100]. Generally, the mechanical stiffness of a hydrogel can be easily modulated by tuning the polymer concentration, modifying the polymer, and adjusting the crosslinking density to achieve optimal mechanical properties for tissue regeneration applications [2, 3, 10].

2.1.4 An emerging trend of injectable hydrogel is developing from the hybrid system of polysaccharides and thermo-responsive polymers.

2.1.4.1 The advance of hybrid hydrogels developing from polysaccharides and thermo-responsive polymers

In recent years, a notable body of research has emerged on stimuli-responsive hydrogels incorporating polysaccharides [101]. Integrating biopolymers with thermo-responsive materials has attracted significant attention in various fields [56, 63]. Polysaccharides constitute a substantial category of natural polymers featuring a linear or branched arrangement of sugar monomers connected through glycosidic linkages [102]. These polymers have been sourced from various sources, including plants (such as cellulose, starch, Arabic gum, pectin, guar gum), animals (hyaluronan, chitosan, heparin), microbes (pullulan, gellan, levan, dextran, xanthan), and algae (agar, alginate, carrageenan, fucoidan, agarose) [24, 42, 61, 86, 101]. Recently, naturally derived polysaccharides have gained prominence over synthetic polymers owing to their biocompatibility, biodegradability, low or negligible cytotoxicity, extensive diversity, cost-effectiveness, and approval by the US Food and Drug Administration (FDA) for a wide range of applications in the pharmaceutical and food industries [61, 101, 103]. Additionally, their numerous active functional groups make them amenable to tailoring and chemical modifications [42, 103]. The intricate structures and high molecular weight of these polymers confer stability under harsh environmental conditions [61]. Furthermore, polysaccharides serve as rheological modifiers, influencing viscosity, gelling, elasticity, and stiffness properties, depending on their structure and molecular weight [52, 101]. Incorporating polysaccharides with thermally responsive polymers possess the resultant hydrogel,

customized thermo-sensitive characteristics, and well-adaptation to the cell environment [24, 51].

For example, PNIPAM is inherently a thermoresponsive hydrogel [85-87, 98]. However, the use of unmodified PNIPAM presents several challenges, including low biodegradability, limited drug loading capacity, slow response to thermal stimuli, and insufficient mechanical strength [85, 98]. The limitations mentioned above have increased research to adjust the properties of hydrogels and improve their position as an active system for different applications in biomedicine and tissue engineering. Additionally, copolymers of PNIPAM and biopolymers generally yield hydrogels with increased strength and improved mechanical properties compared with homopolymer PNIPAM gels [103, 104]. While studies have been conducted to assess the biocompatibility of PNIPAM, most have focused on specific narrow applications [87]. The NIPAM monomer is toxic to humans, particularly to neural tissues; PNIPAM is generally considered cytocompatible [44]. However, hydrogels based solely on PNIPAM can produce structures that are unsuitable for tissue regeneration in terms of the pore size and mechanical properties of the resulting scaffold [86, 98]. Incorporating natural polysaccharides in combination with PNIPAM aims to address these limitations and create materials that exhibit dual functionality by combining the properties of the thermal responsive feature and the biological/chemical feature of polysaccharides [86].

Gupta et al. [105] demonstrated the excellent performance of PNIPAM hydrogels after modification with carboxymethyl guar. The hybrid hydrogel exhibited softer consistency than the pure PNIPAM hydrogel, which facilitated cell viability and proliferation. Similarly, the incorporation of carboxymethyl cellulose (CMC) into the PNIPAM hydrogel introduced by Vasile et al. [70] showed an outstanding performance in reversible thermal trigger gelling systems. They reported that the hybrid system exhibited a temperature-responsive sol-gel transition, a phenomenon that was not observed in the pure PNIPAM hydrogel. Along with the thermal responsive property, the hybrid system exhibited a new function, a sensitive hydrogel system inherited from CMC [70]. In other words, the viscosity of the hybrid system could be controlled by both the temperature and pH, whereas this feature was lacking in a single system. In addition, the authors noted the excellent biocompatibility of the hybrid hydrogel system rather than a single PNIPAM system. Surprisingly, preliminary enzymatic degradation studies indicated that the hybrid system consisting of PNIPAM and CMC could control degradation by adding cellulases, while the pure PNIPAM hydrogel could not.

The introduction of alginate (Alg) into the PNIPAM structure also achieved inventiveness [47, 88, 106]. An assessment of the rheological data of this hybrid hydrogel showed sol-gel transition as a function of temperature [88]. In particular, the stiffness of PNIPAM hydrogel was improved following the addition of Alg. Additionally, Lee et al. [82] discovered that

hybrid systems PNIPAM- Alg could self-assemble into micelle structures at temperatures close to physiological conditions by controlling the concentration of Alg. Furthermore, alterations in the molecular weight of PNIPAM grafted onto the alginate backbone caused variations in the micelle morphology. The authors pointed out that these changes in morphology could have substantial implications for the utility of the copolymer in applications such as disease targeting, cellular uptake, and drug release.

Tan et al. conducted a study to explore the suitability of injectable Alg-based PNIPAM hydrogel systems for tissue engineering applications [96]. In this study, PNIPAM was grafted onto an aminated alginate (AAIg) backbone, resulting in copolymer AAAlg-g-PNIPAM. The introduction of AAAlg induced a shift in the critical gelation temperature (CGT) to 35 °C, making it suitable for *in vivo* applications. The viscosity of the hybrid gel sharply increased within the range of 34–36 °C, followed by a plateau, resulting in an easy process of cell incorporation. Human bone mesenchymal stem cells (hBMSCs) laden AAAlg-g-PNIPAM gel showed significantly higher viability than native PNIPAM. Based on these findings, Tan et al. concluded that modifying PNIPAM with AAAlg provides a potential material for tissue engineering applications [96]. Pentlavalli et al. conducted a similar investigation using a hybrid system comprising PNIPAM and Alg [107]. They discovered that the hybrid gel did not exhibit cytotoxic effects on human osteosarcoma cells (MG63) and porcine bone marrow-derived mesenchymal stem cells (pBMSCs), whereas PNIPAM did. Collectively, these studies suggest that Alg-g-PNIPAM is a promising candidate for cell encapsulation and may find applications in injectable cell therapies or 3D bioprinting of cells [88, 96, 107].

Another example of hydrogels incorporating polysaccharides with thermo-responsive polymers involves the use of Pluronic. Lin et al. [54] devised a hybrid system combining Pluronic F127 with dextran, resulting in dextran-g-Pluronic F-127. The incorporation of dextran resulted a more comprehensive CGT range for Pluronic F127. Furthermore, the degradation of the Pluronic F127 hydrogel remarkably increased after modification with dextran. Similarly, Park et al. employed a coupling reaction to synthesize Chitosan-g-Pluronic F-127 [76, 81]. Both copolymers have demonstrated potential applications in the biomedical field, unlike pure Pluronic F127. Chitosan-g-Pluronic F-127, in particular, has shown promise as a carrier for cartilage regeneration [71, 80]. Chitosan-g-Pluronic F127 exhibited an LCST of approximately 25 °C, which could be adjusted by varying the amount of chitosan. However, using the single Pluronic F127 exhibited quick erosion, leading to an increase of the viscosity and, consequently, reduced the viability of the encapsulated cells. Chitosan-g-Pluronic F127 was found to be biocompatible and capable of supporting bovine chondrocyte proliferation [56]. The morphology of the Chitosan-g-Pluronic F127 hydrogel showed more pore interconnections than pure Pluronic F127, confirming a suitable environment for cell growth.

These findings suggest that combining polysaccharides into thermo-responsive materials results in a thermo-sensitive hydrogel as a promising technology for tissue regeneration.

2.1.4.2 Technologies adopted in Hydrogels Based on Polysaccharides Incorporated with Thermo-Responsive Polymers

2.1.4.2.1 Physical strategy vs chemical strategy

Three techniques are available for producing hydrogels that combine polysaccharides with thermo-responsive polymers: physical blending, grafting approaches, and cross-linking techniques [24, 44, 51, 77, 81, 108]. In physical blending, ionic crosslinking and thermoregulation have been employed to create combinations of polysaccharides and thermo-sensitive polymers [65, 97]. However, non-covalent interactions between polysaccharides and thermo-sensitive polymers result in less stable entities than those bound covalently. This instability can lead to phase separation within the resulting hydrogel [86].

Polysaccharides typically have a high crystalline level because of the abundance of intramolecular bonds [42, 101, 103]. As a result, polysaccharides often have low solubility, presenting a significant challenge when blended with other polymers [103]. The solubility of polysaccharides is frequently pH- dependent; for example, chitosan dissolves in acidic environments, while hyaluronic acid solubilizes in primary conditions. Their varying solubilized degrees complicate the mixing process, particularly in multi-step reactions. To create a stable structure for thermo-sensitive hydrogels, it is necessary to employ two independent cross-linked polymer systems that form a single polymeric network [24, 42, 86, 103]. In the following section, chemical methods, including grafting approaches and chemically induced cross-linking techniques, are discussed.

2.1.4.2.2 Grafting approach

Graft copolymerization is a common strategy for preparing materials for a hybrid hydrogel system [61, 103]. Three primary routes are available for synthesizing polysaccharide-polymer conjugates: "graft-to," "graft-from," and "graft-through" strategies [103] (**Fig. 2.3**). In brief, the "graft-to" strategy involves grafting pre-existing polymers onto polysaccharides through a one-step process. The "graft-from" strategy entails the continuous in situ growth of polymer chains from the surfaces of polysaccharides or the utilization of pre-existing polymers as initiators. The "graft-through" strategy involves attaching polymerizable groups to polysaccharides, followed by polymerization to generate polymer-grafted polysaccharides.

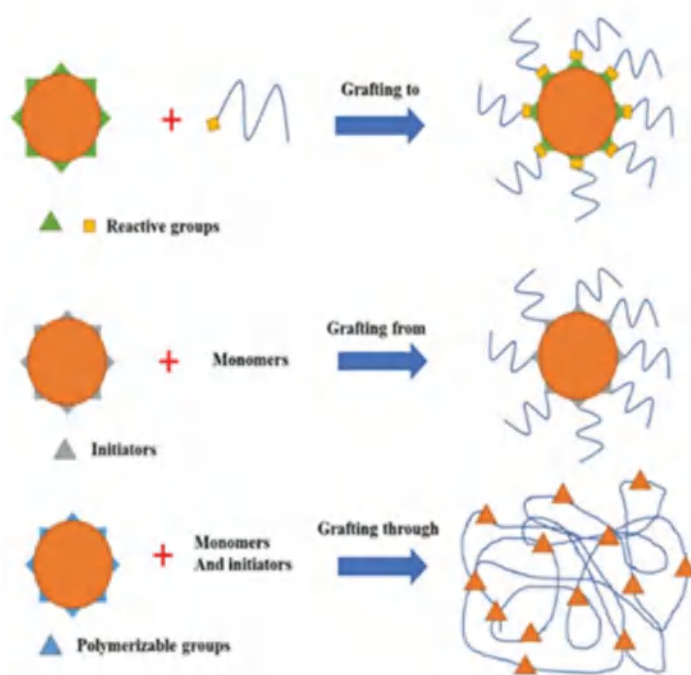


Figure 2.3: Graft polymerization techniques.

Adapted from the reference [41]

Polymerization techniques play a crucial role in these strategies[53]. Controlled polymerization techniques, such as atom transfer radical polymerization, reversible addition–fragmentation chain-transfer polymerization, nitroxide-mediated polymerization, and ring-opening metathesis polymerization, provide an effective means to produce polymer-modified polysaccharides with tailored structures and properties [53, 108]. The reduced viscosity of the hybrid hydrogel barely changed over 40°C, which is attributed to the uncharged nature of the CMC backbone at low pH values [109]. This method does not guarantee the presence of the desired functional group at the end of every polymer chain. However, the direct polymerization of functional monomers can yield high functional group densities throughout the polymer backbone, which can be further utilized for preparing polymer brushes or conjugating sensor moieties for susceptible chemical detection [110, 111]. This approach ensured the presence of the desired functional group in each repeating unit of the polymer backbone. However, it may be necessary to employ protective measures for the functional groups if they hinder the polymerization process. Additionally, the desired functional monomer may not always be commercially available[108].

To overcome these obstacles, it is often necessary to modify the polymers using bifunctional reagents [110]. These reagents are used as linkers and may introduce new functionalities in molecules or act as spacers, improving the spatial availability of functional groups [111, 112]. The functional modification of polymer molecules with high selectivity and specificity is

required. Generally, a suitable method for functional modification is applied. This approach involves the attachment of a synthetic polymer, exhibiting a well-defined end-functionality to the complimentary functionality of polysaccharides. Various coupling techniques have been developed to create reactive groups, as illustrated in **Figure 2.4**.

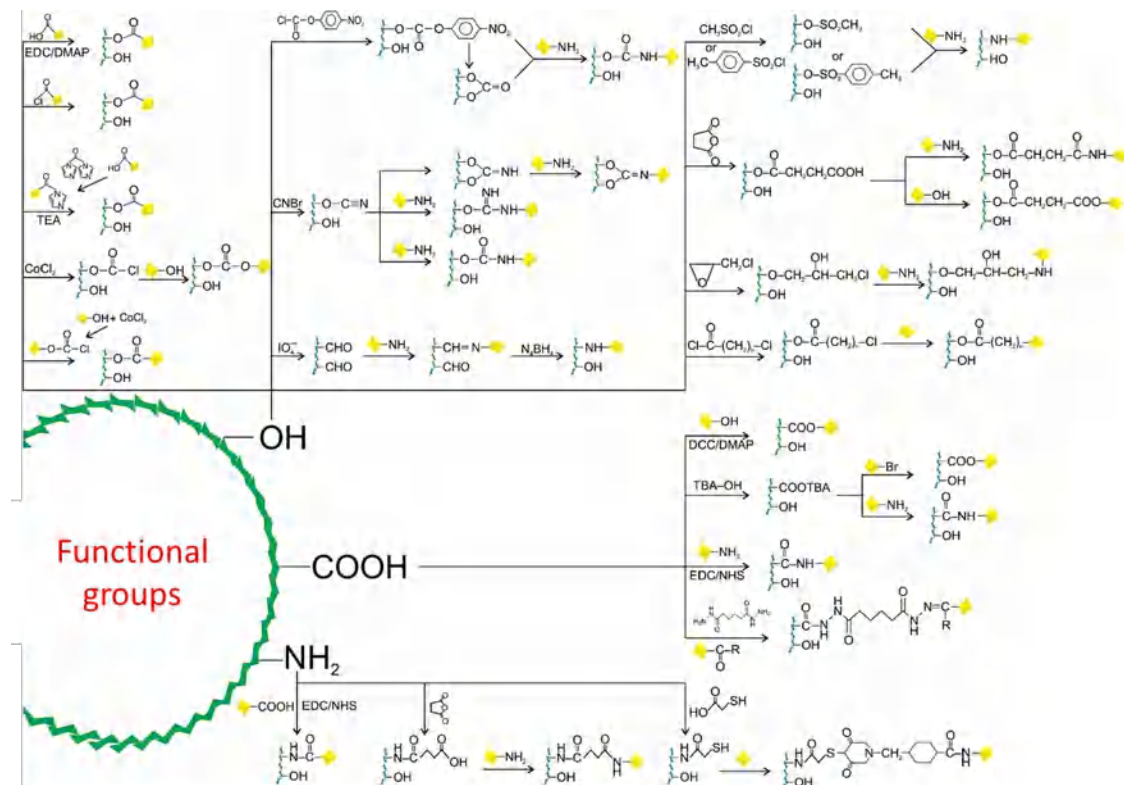


Figure 2.4: The illustration of the coupling chemistry for different reactive functional groups of the polymers.

Adapted from the references [10, 84-92].

The carboxylate groups can use carbodiimide derivatives as the coupling agents to make conjugated bonds with nucleophilic functional groups such as primary amino groups, hydroxyl groups,...[113]. The carbodiimide coupling agents include N, N'-dicyclohexylcarbodiimide (DCC), Carbonyldiimidazole (CDI), 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDC) [24, 82, 96, 113]. DCC and CDI can be used in organic solvents, whereas EDC is only used in water solvents. The introduction of a carbodiimide bond would provide a good leaving group; therefore, the compound containing primary amine/hydroxyl could form amide, esters, ureas, etc, with the carbodiimide-activated groups following nucleophilic substitution [114 -115]. To increase the yield of the reaction, strong nucleophilic components such as pyridine (if organic solvent is used for reaction), 2-chloro-1-methylpyridinium iodide, 4-(N, N'-dimethylamino) pyridine (DMAP), triethyl amine, and etc. should be supported. In terms of EDC, the use of N-hydroxysuccinimide (NHS) is preferred. EDC forms an O-acylisourea intermediate, which is highly sensitive to nucleophilic functional groups. The reaction occurs at aqueous

physiological pH, while the o-acylisourea intermediate is unstable under this condition. Therefore, N-hydroxysuccinimide (NHS) is recombined in this case [116]. Another strategy to activate carboxylic groups is the use of the tetrabutylammonium (TBA) salt, which is specialized in the case of polysaccharides. With the help of TBA, polysaccharides react in the organic solvent. Also, with the help of TBA, these carboxylate groups can be activated with alkyl halides [114]. Additionally, hydrazination reactions have been used to efficiently conjugate the carboxyl groups of polysaccharides to polymer-containing amino or hydroxyl functional groups [115].

There are many methods for improving the nucleophilicity of the hydroxyl groups. The introduction of arylation or acylation is generally focused on due to the ease of reaction with amine compounds. However, this strategy is only applicable to allyl or β -amino alcohols. The use of phosgene to increase the nucleophilicity of hydroxyl groups has been introduced in some studies [116, 117]. Although phosgene creates chloroformate intermediates that are sensitive to amino groups, phosgene is very toxic and can cause cyclic [116]. Another strategy involves the use of p-nitrophenyl chloroformate. The hydroxyl group forms aromatic carbonate esters with p-nitrophenyl chloroformate. This bond is cleaved during the presentation of the amino groups. Cyanogen halide activation is another method employed for the covalent attachment of amine polymers to the polysaccharide backbone via the hydroxyl group [118]. The reactive cyanate esters formed in this reaction may undergo hydrolysis to yield inert carbamates or rearrange to produce intra-/interchain and cyclic imidocarbonate structures. The parallel reactions of these products with amine polymers can form an isourea derivative [119]. Moreover, dialdehyde polysaccharides obtained after periodate oxidation can form Schiff bases when conjugated with amine polymers [120]. Subsequent reduction with sodium borohydride can enhance the stability of these conjugates [121]. Another approach involves activating polysaccharides using sulfonyl chlorides to create the corresponding sulfonyl esters, which can react with polymers containing carboxylic or amino groups [122].

Rather than direct conjugation, the introduction of spacers has showed some advances [113]. Introducing these spaces helps support new functions for polysaccharides, including their biological functions and/ or solubility [123]. In addition, with spacer groups, the reduction in the yield of conjugation due to steric hindrance following the self-assembly of the polysaccharide can be solved [124, 125]. The introduction of spacer groups can be achieved using coupling agent presented in **Figure 2.4** [126]. The amino acids and peptides are typically used as spacers [124]. Furthermore, by the introduction of spacers, the degradation could be controlled by external stimuli such as pH, redox reaction, and etc. [127].

Generally, different routes have been reported for grafting approach using coupling chemistry. They can be typically classified into the following routes: (i) identification of the functional

groups at the grafting site, (ii) selection of the complementary functional groups on the crosslinker, and (iii) selection of the activating methods. For this method, the copolymer solution is only physically interconnected, without any chemical bonding, so individual components retain their original properties [103-105, 107, 108, 128].

2.1.4.2.3 Cross-linking technique

Recently, crosslinking technology has gained significant attention as an effective method for developing thermally responsive hydrogels incorporating polysaccharides [24, 86]. Similar to the grafting approach, covalent linkages between polymer chains can be established by reacting functional groups with complementary reactivity [76, 112, 129]. Derived from the fabrication of in situ-forming hydrogels, this approach connects the functional groups of polymer chains via supramolecular interactions (such as hydrogen bonding, ionic bonding, and etc.) or covalent bonding. Initially, the polysaccharide backbone undergoes chemical pretreatment to introduce active groups, such as catechol or phenol [130]. These treatments create crosslinking regions, initiating the termination phase and resulting in crosslinking between the different polymers [131].

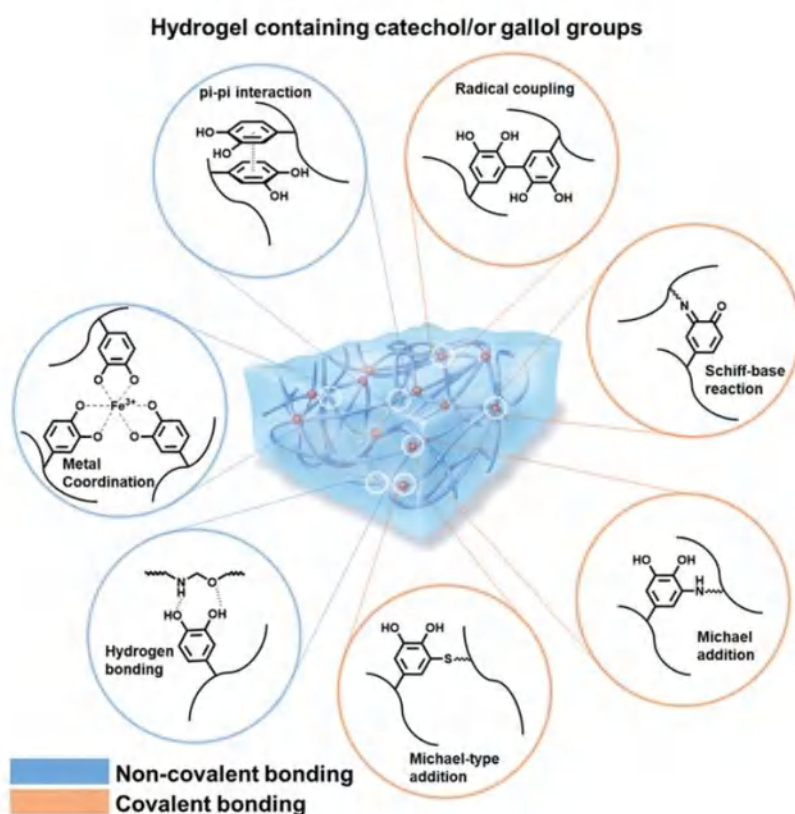


Figure 2.5: Crosslinking mechanisms of catechol-conjugated polymers for hydrogel formation.

Blue circle: Noncovalent crosslinking, orange circle: Covalent crosslinking. Adapted from [134].

Chemical crosslinking-mediated hydrogels can be synthesized using click chemistry, Schiff bases, photo-crosslinking, Michael-type addition reactions, and redox reactions [130, 131]. Among these, redox reactions have recently emerged as a promising triggering motif for designing novel types of responsive polymers [132]. By introducing redox reactive moieties on the polymer backbone, the two polymers are spontaneously cross-linked in the presence of oxidative reagents [131, 132], such as H_2O_2 or O_2 . Phenolic moieties (e.g., tyrosine, catechol, and polyphenols) are commonly used in this method [133].

Catechol oxidation chemistry has provided an excellent example of a hybrid network between polysaccharides and thermally responsive polymers [139-140]. The oxidative polymerization of catechol forms crosslinking between the two polymers [76, 112, 129]. This allows control over the ratios of the two networks and is less time-consuming because diffusion is not required. This provides a straightforward, one-pot method for hydrogel formation (**Fig. 2.5**).

Inspired by this strategy, Park et. al. [135] developed an injectable hybrid hydrogel comprising polysaccharides (hyaluronic acid) and a thermally responsive polymer (Pluronic). In this study, HA was modified with catechol while thiol groups from cysteamine were introduced at the end group of Pluronic F127. Via the Michael addition reactions between thiol and catechol, the crosslinking between hyaluronic acid and pluronic F127 was formed, resulting in a cross-linked adhesive hydrogel. Similarly, Park et al. [130] developed a hybrid hydrogel-based Pluronic with another polysaccharide, chitosan. Both precursors were soluble and pre-mixed at 4°C , and the resulting viscous solution rapidly solidified into a gel state when the temperature was raised to 37°C . In addition, chemical and physical crosslinking induced the resultant hydrogel with high mechanical features compared to the thermally responsive hydrogel based on physical crosslinking only [129-130].

The formation of crosslinks between catechol motifs could be based on their auto-oxidation, catalyzed by native oxygen molecules, to facilitate catechol oxidation without additional supplements [76, 112, 136]. Polymers modified with catechol or tri-hydroxyl phenol residues (e.g., gallons, polyphenols) can be quickly injected into native tissue and form a hydrogel stage without adverse effects [137]. However, the reaction kinetics are relatively slow and highly dependent on environmental factors such as pH. This approach is unsuitable for applications requiring rapid crosslinking [136]. Adding oxidative compounds such as sodium periodate can enhance the crosslinking kinetics. The crucial aspect of the crosslinking strategy is the oxidation of tyrosine and catechol to ortho-quinones [137]. Ortho-quinones possess high electrophilicity, enabling their participation in various reactions, including Schiff-base reactions, Michael-type additions, and coupling reactions [137, 138]. In the Schiff-base reaction, an electron donor group, such as a primary amine, attacks the $\text{C}=\text{O}$ bond in ortho-quinone. This results in the formation of ortho-quinone imines containing a $\text{C}=\text{N}$ bonds. When

ortho-quinone acts as an electrophilic acceptor, the primary amine and thiol groups can attach to the meta-position (1,4-Michael reaction) and ortho-position (1,6-Michael reaction), respectively. Additionally, ortho-quinone can interact with neighboring catechol groups, leading to coupling reactions [137, 138]. In sodium periodate-mediated oxidation, catechol-periodate intermediates break down to form ortho-quinone. However, there are limitations in using sodium periodate-mediated crosslinking in tissue engineering. First, the reaction requires alkaline conditions. Second, sodium periodate causes a ring-opening reaction in polysaccharides (e.g., alginate, hyaluronic acid), producing cytotoxic aldehyde groups. Finally, sodium periodate itself exhibits intrinsic cytotoxicity [137, 138].

Biological peroxidase enzymes are known to regulate this process, and various types of peroxidase enzymes demonstrate this function [132, 138, 139]. Horseradish peroxidase (HRP) offers advantages over other peroxidases owing to its narrow range of phenol coupling reactions [139]. HRP-mediated coupling is limited to the coupling reaction, because it cannot occur with primary amine and thiol groups [140]. HRP is an enzyme that contains heme derived from plant source [141-143]. The heme group in the HRP structure captures the oxygen molecules from hydrogen peroxide (H_2O_2), forming heme groups with high oxidation states. This $Fe(IV) = O$ initiates a phenolic oxidative reaction. Indeed, $Fe(IV) = O$ takes a hydrogen atom from the phenolic motif to form a highly reactive phenolic radical. The complex oxidizes phenolic moieties from the couple via a C-O bond between the ortho-carbon of phenolic groups and the phenolic radical and a C-C bond between the ortho-carbon of phenolic groups [132]. Despite the advantage of HRP in the redox reaction for making hydrogel, there are significant concerns associated with HRP due to an immunological response in some animal studies [143, 144].

An alternative to HRP enzyme is a peroxidase-mimicking enzyme [142, 143]. When designing peroxidase-mimicking catalysts, it is essential to consider the peroxidase-like spatial arrangement of the heme ligands and activating groups, which serve as the proximal and distal residues of heme [142]. This approach aims to enhance the control over the oxidative catechol crosslinking process, addressing issues such as pH sensitivity and immune responses [145]. Ultimately, this enables the broader utilization of catechol oxidative chemistry as a crosslinking strategy to incorporate polysaccharides with thermal-sensitive polymers, facilitating the development of suitable thermal-responsive hydrogels for tissue regeneration.

It is important to emphasize that in the context of thermally responsive hydrogels incorporating polysaccharides, the primary goal of crosslinking reactions is to enhance hydrogel strength without compromising the phase transition due to the presence of polysaccharides [24, 86]. Unlike grafting techniques, crosslinking methods focus on establishing stable polymeric networks to form hydrogel structures. In other words, these

methods randomly form covalent bonds between adjacent polymer chains. Typically, polysaccharides can engage in chemical crosslinking with each other, whereas thermal-responsive polymers are part of the mixture. However, heterogeneity within the networks may disrupt the thermal response. Therefore, the challenge remains to create thermoresponsive hydrogels incorporating polysaccharides using crosslinking techniques with an entirely predictable and controllable phase transition temperature.

2.2 Encoding the hydrogel for specific tissue regeneration

2.2.1 The stiffness of the hydrogel

Understanding the vital role of hydrogel stiffness in guiding tissue regeneration [100], it is evident that initial interactions between cells and the scaffold surface, mediated by focal adhesions with the matrix [146-153], trigger the recruitment and activation of proteins associated with mechano-signaling pathways [154-157]. This cascade of events leads to adaptive cellular responses, encompassing gene and protein expression changes that influence cell growth and differentiation [100, 154, 156, 158]. As revealed in previous studies, the interconnection between hydrogel stiffness and cellular response is pivotal in substrate-guided tissue regeneration [155, 156, 158]. For example, in the case of wound healing, the change in the hydrogel stiffness induces the various regenerative capacities [100]. Medium-stiff hydrogels ($\sim 10^3$ Pa) have mitigated scar formation, enhanced wound healing, and facilitated myofibroblast transformation, keratinocyte proliferation, extracellular matrix synthesis, and remodeling. The impact of hydrogel stiffness extends to the secretion of crucial factors like TGF- β 1 and bFGF, which are critical players in skin wound healing. This correlation suggests that the therapeutic effects of hydrogels on skin wound healing can be finely tuned by adjusting the stiffness of the hydrogel. Hydrogel stiffness regulates cell behavior and fate, whereas cells reciprocally contribute to the remodeling of the substrate, influencing the overall tissue regeneration process [100, 156, 158]. In addition, different tissues in the human body exhibit differences in rigidity, as demonstrated in **Table 2.1**. Therefore, careful consideration is essential to ensure optimal outcomes when designing hydrogels tailored for specific tissue regeneration applications [155, 157].

Table 2.1: The stiffness of living tissues.

Tissue	Organ	Stiffness range (kPa)	Reference
Connective tissue	Fat	0.02	[153]
	Skin	4.5-8	[152]
	Tendon	310.000	[159]
	Ligament	25.000-93.000	[151]

Vascular	Carotid artery	90	[159]
Nervous	Brain	0.2 -3	[159]
	Spinal cord	27-89	[159]
	Nerve	5000	[150]
Musculoskeletal	Cardiac muscle	20-150	[159, 160]
	Skeletal muscle	10-170	[149]
	Cartilage	20	[149, 161]
	Pre-calcified bone	30-50	[149]
Kidney	Cortex	4	[146, 162]
	Medulla	5	[148]
	Sinus	8	[148, 162]
Pancreas		2.9	[146, 147]
Eye	Cornea	7.5–109.8	[163]
	Lens	0.2-10.3	[164]

2.2.2 *The biological cues*

In tissue engineering, along with stiffness, manipulating the microenvironment with biological cues, such as mitogens, growth factors, and morphogens, is crucial for guiding cells in tissue regeneration [32, 33, 165-168]. These cues can activate specific signaling pathways or sets of genes to direct and control cellular responses [134, 169-173]. Hydrogels have been specifically engineered to deliver various growth factors or chemoattractant signals locally, aiming to recruit stem cells and expedite healing [174-177]. It is essential to highlight that the appropriate scaffold design is paramount for programmed morphogenesis in the intricate environment where neotissue formation occurs [10, 24, 36, 38] (**Fig 2.6**). Careful consideration of the spatial and temporal evolution of signals throughout the regeneration process is necessary [8, 10, 18], which involves predicting transport phenomena and monitoring mass transport parameters [15, 19, 21, 23, 35]. The selection of biological cues should align with the pathology of the damaged tissue [168, 172, 178-181], emphasizing the importance of designing systems that can provide biological cues in a time-controlled manner to closely mimic the normal healing process [182]. This section further discusses this concept, focusing on selection of biological cues for diabetic wound healing and bone regeneration.

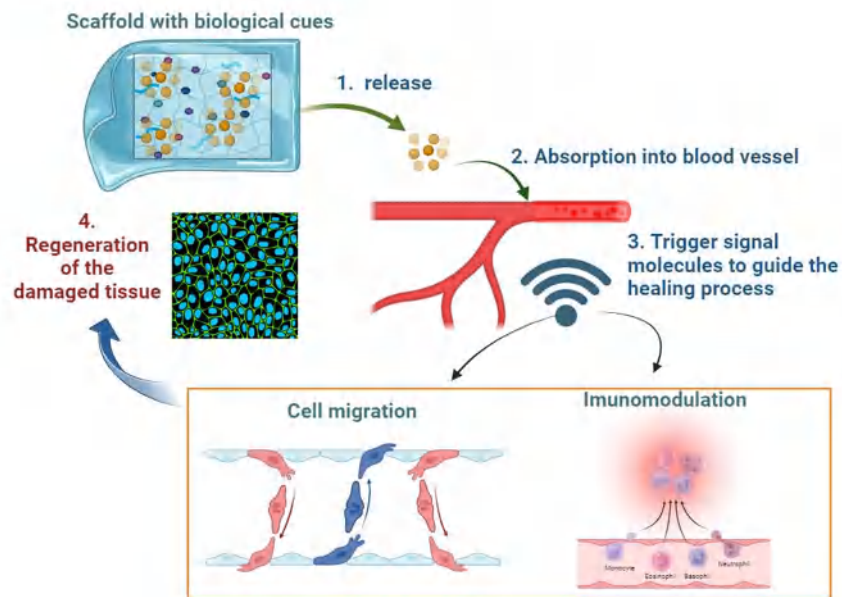


Figure 2.6: The illustration of the function of biological cues in developing the functional scaffold.

To activate resident cells for the regeneration process, the ideal design of the scaffold involves the addition of homing factors to guide the cells. These factors would (1) release from the hydrogel scaffold, (2) enter the bloodstream, and (3) make these signals to guide the migration of cells and to guide the difference process of cells in support of new tissue growth (4).

2.2.2.1 Diabetic wound

2.2.2.1.1 The wound healing process and the alterations in diabetic conditions

The wound healing process includes several sequential phases: inflammation, proliferation, granulation, and tissue remodeling [28, 167, 169, 180, 182]. It is a complex cellular response to injury involving the activation of various cell types, including fibroblasts, endothelial cells, macrophages, and platelets [3, 19, 24, 36]. The initial step in wound healing is clot formation, which recruits fibroblasts and immune cells to the injured area [36]. The inflammatory phase, lasting approximately four days, is significantly influenced by macrophages [35, 36]. Macrophages migrate to the wound, explicit necrotic material, and produce factors that induce angiogenesis by endothelial cells, epithelialization by keratinocytes, and matrix deposition by fibroblasts, leading to ECM reconstruction [36]. Subsequently, re-epithelialization involves the mitigation of epithelial cells and keratinocytes across the wound barrier and granulation tissue [35].

Nitric oxide, a gaseous molecule, is widely recognized for the treatment of wounds [170, 171, 183-186]. NO is typically involved in the natural process of wound healing [184-187]. During

hemostasis, NO is produced by endothelial cells to activate the cyclic c-GMP pathway; consequently, it prevents platelet aggregation and then increases vasodilation [169, 171, 186]. Vasodilation helps to increase blood flow to the wound site and then increases the influx of inflammatory cells [35]. The concentration of NO at the wound site is increased due to the activation of iNOS in neutrophils and macrophages [36]. This way, the phagocytosis pathway is activated to clean the wound site [186, 187]. In the inflammatory phase, M1 macrophages produce significant amounts of NO ($>0.5\text{mM}$) to combat microbes and prevent wound infection [187]. As the wound transitioned to the proliferation stage, NO levels decreased significantly ($0.01\text{-}0.25\text{mM}$) [187, 188]. M1 macrophages convert to M2 macrophage cells, which do not produce NO [36]. During this phase, NO production depends on fibroblasts and keratinocytes, which stimulate the proliferation and migration of more keratinocytes within the wound [187, 188].

In the diabetic state, persistent hyperglycemia triggers the overproduction of superoxide through various pathways, including the polyol pathway, xanthine and NADPH oxidases, cyclooxygenases, uncoupled nitric oxide synthase, glucose auto-oxidation, and the mitochondrial respiratory chain [187-190]. This results in elevated levels of reactive oxygen species (ROS) and diminished antioxidant levels, defining a state of oxidative stress [191]. Excess superoxide activates the NF- κ B transcription factor, leading to upregulation of NOS-2 and subsequent NO production [189, 192]. In the presence of high superoxide levels and low levels of superoxide scavenger molecules, NO rapidly reacts with superoxide levels to generate peroxynitrite [191], thereby reducing the bioavailability of NO [187, 188]. Additionally, increased ROS and peroxynitrite levels in hyperglycemia limit the production of BH₄ (an essential co-factor for NO production and induce uncoupling of nitric oxide synthase (NOS), resulting in superoxide production instead of NO [191]. Another contributing mechanism is the concentration of arginase, which competes with NOS enzymes for the substrate arginine, reducing NO availability and favoring superoxide production [193]. Increased arginase activity levels have been observed in diabetic wounds, correlating with delayed healing in various studies [28, 166-168, 176, 181, 193, 194]. NO deficiency disrupts endothelial vascular function, impacting vessel functionality [189, 191, 192].

Furthermore, in diabetic patients with elevated cholesterol levels, peroxynitrite promotes lipid peroxidation and triggers an inflammatory response, leading to platelet aggregation [195]. These effects are particularly pronounced in small blood vessels, contributing to the progression of neuropathy [189, 191, 193]. Vascular impairment can result in persistent ischemia, and wounds in diabetic individuals are often associated with low oxygen tension [196]. Under physiological conditions, cells express HIF-1 α , a pivotal transcription factor that enables them to adapt to hypoxic conditions by promoting angiogenesis, cell proliferation,

survival, and energy metabolism [195]. NO is a crucial regulator of HIF-1 α [190, 191]. During the initial phases of wound healing, when M1 macrophages are predominant, high NO production from cells may facilitate the stabilization of HIF-1 α to generate an adaptive response to injury [192, 193, 196]. As the resolution phase begins, lower NO levels from other cells resolve the wound environment and restore its normal state [187, 188]. Fibroblast-produced NO regulates collagen production and may act as an initial response before immune cells arrive at the wound site [189]. Low NO levels influence extracellular remodeling/turnover [191, 192]. The dynamic modulation of NO doses at the wound site during the healing process ensures the expected production and regulation of growth factors to maintain the cell phenotype and function at each stage [188-190]. Due to its reactivity and short lifespan, NO's overall effect on cells is influenced by aggravating factors, with mechanisms in place to maximize its biological effect for an appropriate host response [171, 183, 186, 197]. Maintaining nitroso-redox balance is crucial, and any persistence of stimuli or inadequate feedback can disrupt the equilibrium of the wound environment, which is characteristic of dysregulated healing in diabetic wounds [198].

2.2.2.1.2 L-arginine

The most straightforward method for assessing the impact of NO on wound healing is to administer the molecule in its gaseous form [187, 199-201]. The direct application of NO gas at wound sites focuses on infiltrating microorganisms using a gNO cylinder [187, 191-193, 200]. Although the administration of gNO is relatively simple in a clinical context, its use restricts patient mobility due to the equipment's requirement, particularly the gNO cylinder size [202]. Additionally, direct NO gas induces risk to patient health, posing substantial safety risks to the gas exchange system [201, 2023]. To overcome the drawbacks of gNO delivery, NO precursors have been introduced [203].

Among them, L-arginine has been favored over other NO precursors. L-arginine is a natural amino acid that is classified as a semi-essential amino acid in human metabolism [166-168, 176, 181, 194, 197]. L-arginine is a substrate of NOS. Through this pathway, NO and L-citrulline are formed [194]. Endothelial NOS (eNOS) uses arginine to stimulate vasodilation and acts as a neurotransmitter [166]. L-arginine is taken up by M1 macrophages to generate NO [167]. Interestingly, along with nitric oxide, L-arginine is also used by macrophages M2 to produce ornithine [192, 193, 196]. These ornithines produce signals for cell growth and differentiation, collagen fiber synthesis, and repair processes. Therefore, arginine is frequently used to increase NO production in diabetic wounds [192, 196, 203].

Nevertheless, extracellular L-arginine raises challenges related to the activity of immune cells [166-168]. Studies by M. Pekarova et al. [204] recorded an increase in superoxide anion in stimulated macrophage culturing with L-arginine. It is well known that the affinity binding

between NO and superoxide anions (10^5 - 10^6 M⁻¹ S⁻¹ [205]) is higher than that of superoxide anions and scavenger agents such as proteins/metal ions (1.9×10^{10} M⁻¹ S⁻¹ [206]) and scavenger superoxide dismutase (2×10^9 M⁻¹ S⁻¹ [192]). Thus, the formation of peroxynitrite which is a more reactive and toxic compound, was more pronounced. Peroxynitrite is a signaling molecule that controls cell proliferation and anti-bacterial activity [189, 191, 192, 207]. However, a high concentration of peroxynitrite induces significant toxicity in renewing cells. The addition of ROS scavengers could dismiss this effect [188, 202, 203]. In patients with diabetes, the formation of peroxynitrite is alarming. Due to the elimination of peroxynitrite in blood plasma, nitrotyrosine levels are very high in patients with diabetes [208, 209]. The higher concentration of peroxynitrite in blood plasma is also the main reason for delayed wound healing in patients with diabetes [204]. Thus, a combination of ROS scavenging agents is necessary to exploit the therapeutic value of L-arginine in wound healing.

2.2.2.1.3 Resveratrol

Resveratrol (trans-, 3,5,4'-trihydroxystilbene) is a naturally occurring polyphenol present in red wine [180, 184, 198, 210-214]. Interest in resveratrol in diabetic wounds has increased tremendously due to its multiple therapeutic values [180, 210, 211]. Resveratrol has an antioxidant-based structure. Resveratrol has a central carbon-carbon double bond that connects the phenolic ring and is responsive to antioxidant capabilities [214]. These functional moieties help quench harmful radicals via hydrogen atom transfer or electron transfer processes [180]. The formation of resveratrol dimers during this process also helps stabilize the radical [214]. Also, resveratrol is a bioactive compound that can regulate the activity of SIRT1 to combat oxidative stress [215, 216]. The expression of SIRT1 enhances the activity of nuclear factor erythroid 2-related factor 2 in controlling the balance between reduction and oxidation inside cells [216]. Further, SIRT1 activates the deacetylation of the X-box, consequently promoting the transcription of the collagen gene and assisting in the remodeling of damaged tissue [217, 218]. Additionally, many studies have demonstrated the function of resveratrol in the increase in the expression of the eNOS enzyme, leading to the indirect support of the increase in NO concentration [180, 213, 219]. Furthermore, resveratrol can be a homing factor for immune cells to the vascular wall and can mitigate vascular inflammation [198, 210, 212]. These multifaceted mechanisms collectively contribute to the *in vivo* effects of resveratrol on vascular function and blood pressure [212]. Based on these advances, various studies have developed resveratrol-based topical products for diabetic wounds [31, 32, 180, 213, 215].

2.2.2.2 Bone

Bone is a hard tissue with a highly vascularized system that can heal and remodel considerably [27, 178, 179, 182, 219, 220]. The critical roles of bone are to support the body, serve as a mineral reservoir, support muscular contractions, and protect the internal organs [174, 220,

221]. The bone healing process is complicated and requires various cell message signaling cascades [27, 219]. Usually, this process can be divided into 3 phases: inflammation, neo-tissue formation, and remodeling [174]. The hierarchical structure of the bone matrix provides significant bioinspired motifs for biomaterial and scaffold design [66]. The extracellular matrix (ECM) of this tissue is composed of both a non-mineralized phase (osteoid) and a mineralized phase comprising calcium phosphate salts [161, 221, 222]. Therefore, the hydrogel scaffold should be involved in the biological cues with osteoconductive features to assist the healing process of bone; along with the stiffness, the hydrogel scaffold should be involved in the biological cues [90, 174, 220, 221]. In this section, we focus on bioglass, an osteo-friendly material.

Bioactive Glasses (BGs), classified as bioceramics, were originally developed by Professor Hench in 1969 and introduced as 45S5 Bioglass® by Novabone in Florida [174]. Bioglass® is a quaternary system composed of 45 wt.% SiO₂, 24.5 wt.% CaO, 24.5 wt.% Na₂O, and 6.0 wt.% P₂O₅. Since their inception, numerous studies have explored the application of BGs in the repair of bone defects [221]. When implanted, BGs undergo dissolution, forming hydroxycarbonate apatite (HCA) on their surfaces. HCA has a composition and structure similar to those of bone mineral, facilitating the bonding of bone to the material [221]. The presence of HCA on BG-containing materials can influence protein adsorption, ultimately promoting osteoblast-like cell adhesion and differentiation through fibronectin mediation [27]. Additionally, it is widely recognized that the ionic dissolution products of BGs can stimulate osteogenesis and angiogenesis, both *in vitro* and *in vivo* [174]. In recent years, several BG products have been commercialized for bone regeneration, such as PerioGlas®, Biogran®, and BonAlive®, as well as for addressing tooth hypersensitivity, as observed with NovaMin® [178]. These products benefit from BGs' remarkable bioactivity and biocompatibility [174, 179, 220]. Beyond their excellent osteoconductivity and osteostimulatory properties, numerous studies have highlighted that the controlled release of specific therapeutic ions, including silver (Ag⁺), gallium (Ga³⁺), zinc (Zn²⁺), copper (Cu²⁺), and cerium (Ce³⁺), when incorporated into the glass network, can confer antibacterial properties [178]. The attractive characteristics of BGs, resulting from the biological effects of released ions, have expanded their applications, including soft tissue repair, especially in the context of healing skin wounds, as exemplified by Mirragen™ from Avalon Medical USA [182, 223]. Intriguingly, the ionic dissolution products of BGs have been observed to enhance vascularization by stimulating the release of angiogenic growth factors from fibroblasts [27, 33, 221].

It is important to assess the concentration of ions released from biomaterials containing BGs before considering them as potential products for tissue regeneration [90, 174, 220, 221]. The synthesis methods and structural properties of multifunctional BGs and their composites have

continuously evolved [173]. They aimed to address the unmet requirements for the controlled release of therapeutic ions into the defect area to facilitate tissue regeneration. Chemical interactions between the particles and the hydrogel matrix can be of marked significance for better control of the composite properties [172, 223]. Enhancing BG particles with biopolymers such as polydopamine and integrating these modified particles into hydrogels represent a promising approach for fine-tuning degradation behavior, mechanical strength, sustained ion release, and introducing additional functionalities to the system [174, 221, 224]. This results in a material that elicits a desirable biological response [224].

Recent advancements in incorporating bioglass (BG) into hydrogels have shown significant potential for transforming the regenerative medicine landscape [33, 174]. Controlling and optimizing several key factors are crucial [178, 179, 220]. These include the kinetics of gelation, biodegradability, compatibility with the mechanical properties of the host tissue, and cytocompatibility of the hydrogel formulation [30, 33]. The studies reviewed within the scope of this work consistently demonstrated that BG particles can enhance the stiffness of hydrogels and confer bioactivity to non-bioactive polymeric biomaterials by promoting the formation of apatite[30]. Furthermore, the inclusion of BG particles can significantly influence the gelation mechanism of hydrogels. This influence arises from the silanol groups on the surface of the BG particles and the release of divalent metal ions (e.g., Ca^{2+} , Cu^{2+} , and Sr^{2+}) from these particles, strengthening the crosslinking within the hydrogel networks[172]. The ionic dissolution products of BGs may lead to time-dependent and dynamic crosslinking of alginate-based hydrogels, resulting in changes in the physicochemical properties of these gels over time and enhancing their biological capabilities [174]. Moreover, introducing BG particles imparts new functionalities to hydrogels, presenting promising applications [33]. The ionic dissolution products of BGs not only confer apatite-forming bioactivity to the materials but also promote angiogenesis and osteogenesis by activating relevant genes, such as ALP, VEGF, bFGF, bFGFR, and Col-I[172, 173, 182]. Achieving controlled release of ionic species from the BG phase is essential to elicit the desired cell responses and prevent the concentration of these ions from exceeding cytotoxic levels that could negatively impact mammalian cell viability, proliferation, and differentiation [90, 174, 220, 221]. Furthermore, BGs are also suitable carriers for growth factors such as BMPs and could modulate their release, or in synergy with TGF- β , EGF, or other medication such as DFO or RLX could remarkably promote tissue growth and wound healing [174, 220, 223].

Injectable hydrogels, especially thermally responsive hydrogels with sol-gel transitions, exhibit poor mechanical properties, falling significantly short of load-bearing tissues [71, 81, 225]. Including BGs can improve the strength and elastic modulus of these hydrogels' strength and elastic modulus below the ideal mechanical properties for natural tissues [27, 30, 33, 174].

To address this challenge, scientists have designed novel BGs doped with active metal ions such as Cu, Sr, Ag, and Zn [221, 224]. These ions offer therapeutic potential for achieving favorable biological outcomes tailored to specific host environments [27, 174]. Importantly, BGs can exhibit diverse functionalities depending on their composition. Drawing inspiration from the peroxidase-like function of cerium oxide, researchers have explored the co-synthesis of ceria with bioglass to control the enzymatic activity of hybrid nanoparticles [226, 227]. In another study by Ziqi Xu et. al., the incorporation of gold nanoclusters into the BG network revealed a novel function for BG: peroxidase-like catalytic activity [224]. Consequently, BGs with peroxidase-mimicking properties may offer more effective strategies for designing mussel-inspired hydrogels with injectability, adhesiveness, and enhanced bioactivity.

2.3 Pluronic derived thermal responsive hydrogel-forming materials

2.3.1 Introduction to Pluronic

Poloxamers are a class of non-ionic triblock copolymers first introduced by BASF in 1973. These copolymers consist of a central hydrophobic chain composed of poly(propylene oxide), often referred to as PPO, flanked by two hydrophilic chains composed of poly(ethylene oxide), commonly known as PEO. They are marketed under various trade names, including Pluronics and Synperonics [54, 81, 84, 228].

Due to the customizable chain lengths of PEO and PPO, Poloxamers are of various types, allowing for different PEO and PPO unit ratios[94]. BASF markets these polymers under other names, which consist of a letter "P" followed by three digits. When multiplied by 100, the first two digits represent the molecular weight of the poly(propylene oxide) chain. In contrast, when multiplied by 10, the last digit indicates the percentage of poly(ethylene oxide) in the copolymer. For instance, P188 is a non-ionic triblock copolymer composed of a poly(propylene oxide) chain with a molecular weight of 1800 g/mol and 80% poly(ethylene oxide). Pluronics – another name for poloxame, has a distinct vocabulary: the initial letter signifies the physical state of the product (e.g., P for paste, L for liquid, F for flakes). The first digit (or the first two digits in three-digit names) represents the molecular weight of the poly(propylene oxide) chain multiplied by 300, and the final digit, when multiplied by 10, designates the percentage of poly(ethylene oxide) in the copolymer.

Pluronics are biocompatible polymers because the PEO block repels opsonizing agents in the endothelial reticular system, allowing them to circulate in the bloodstream for an extended period, reaching their target tissues [56, 62, 84]. Additionally, they have a relatively small molecular mass compared to other polymers, making them more susceptible to filtration and elimination by excretory systems [229]. These qualities have led to the FDA's classification of certain hydrophilic Pluronics like F127, F68, F88, etc., as "Generally Recognized as Safe"

(GRAS)[94]. This designation streamlines the FDA approval process for formulations containing Pluronics.

2.3.2 Pluronic F127

One of the best-known and widely used Poloxamer for making hydrogel is Pluronic F-127 (also known as PF-124 or Poloxamer 407), a linear triblock copolymer made up of a 12.500 g/mol poly(propylene oxide) and 70% of poly(ethylene oxide). Pluronic F127 has received FDA approval for various applications, including oral, ophthalmic, and topical medicines[84]. Moreover, it is less toxic to patients than typical formulation components such as cremophor or Tween 80. In addition, Pluronic F127 forms a sol-gel transition following the temperature change, resulting in the potential to inspire novel ideas for clinical treatment and facilitate the advancement of innovative scaffolds for tissue regeneration [83, 84].

Extensive research has been conducted on the behavior of aqueous solutions containing Pluronic F-127. Notably, solutions of Pluronic F-127 with concentrations ranging from 20% to 30% remain liquid when refrigerated but form gels at room temperature [54, 81]. The mechanism behind the gelation of aqueous Pluronic solutions has been thoroughly explored. Studies involving ultrasonic velocity measurements and dynamic light scattering (DLS) of Pluronic F127 solutions have indicated that gelation is primarily attributed to thermally induced changes in micellar properties, specifically the aggregation number and micellar symmetry [228]. Further investigations have suggested that gelation arises from micellar associations and interactions[62]. A significant contribution to this understanding came from Chu et al., who delineated three distinct temperature regions within Pluronic aqueous solutions: unimer, transition, and micelle phases [92, 93].

Research has explored the micellization and gelation behaviors of Pluronic [95, 230]. Static and dynamic light-scattering measurements have shown that the gelation of PEO/PPO block copolymers is driven by the ordered packing of micelles [230]. In summary, gels formed in these systems are predominantly micellar. At lower temperatures, they exhibit a stable liquid micellar solution phase, whereas an increase in temperature induces a transition to a cubic micellar structure [81, 91, 95, 231]. Further temperature elevation resulted in hexagonally packed cylinders [94]. The Pluronic hydrogels exhibit considerable viscosity, partial rigidity, and persistence time due to their ordered micellar packing structure and intermicellar entanglements [92, 93, 230, 231]. These properties make it convenient to incorporate hydrophilic and hydrophobic drugs [84, 94, 135]. Moreover, some concentrated Pluronic aqueous solutions exist in a sol state at room temperature but form a gel at physiological

temperature [76, 90, 232-234]. Therefore, Pluronics is widely used in the injectable in situ forming drug-delivery matrices [81, 91, 95, 231].

2.3.3 Basic potential biomedical applications of Pluronic F127 hydrogel in tissue regeneration

In recent years, Pluronic hydrogels have been extensively used in various applications, including drug and gene delivery, as well as in the prevention of tissue adhesion and burn wound dressings [54, 56, 62, 84, 228]. Advances in gene delivery using Pluronic® have been discussed in literature. Pluronic® polymers are known for their bio-inert characteristics, which are attributed to their PEO chains' hydrophilic and flexible nature. As a result, most cells do not adhere to these polymers, which have been employed as barriers to prevent tissue adhesion [95].

Based on the intrinsic feature of pluronic, Emilia Gioffredi et al. [232] proved that Pluronic F127 could be considered as a scaffold for cell-laden in 3D printing. Pluronic F127 hydrogel with a 25% w/v concentration was chosen as the bio-ink due to its rapid gelation at 37 °C within 5 minutes, favorable viscoelastic properties ($G' = 16500$ Pa at 37 °C), pseudoplastic behavior, and swift viscosity recovery following shearing taking only approximately 5 seconds. Using additive manufacturing techniques, scaffolds were produced in non-cellularized and cellularized forms (with Balb/3T3 fibroblasts). These scaffolds exhibited a 0°/90° pattern. The resulting printed scaffolds demonstrated uniform cell distribution along the filament structure, and cell viability was effectively preserved during printing. Along with Balb/3T3 fibroblasts, it has been observed that Pluronic® can serve as a suitable scaffold for hematopoietic stem cells, providing support for their culture and preservation, surpassing the performance of conventional tissue culture dishes [91]. Recent reports have highlighted the use of Pluronic® F127 in tissue engineering applications. This particular polymer exhibits rapid gelation at 37 °C, achieving this state after just 1 minute of incubation in a 30% solution within the cell culture medium. Nonetheless, following the comment from the authors, F127 gels exhibited significant instability under culture conditions, showing signs of dissolution within a few minutes of incubation in the culture medium. As a result, it was impossible to carry out long-term in vitro studies to evaluate cell viability and proliferation under extended culture conditions. One notable application involved using F127 as a scaffold for lung tissue engineering, which yielded promising results regarding tissue growth with minimal inflammatory response [91]. Weinand and colleagues [90] conducted an *in vitro* study focusing on bone regeneration, in which a β -tricalcium phosphate (β -TCP) scaffold was used in conjunction with an F127 hydrogel to facilitate cell delivery and distribution. It was observed

that after one week in culture, F127 was no longer present in the channels of the β -TCP scaffold, indicating possible degradation. At the same time, in bone tissue growth, the constructs displayed lower stiffness than other hydrogel composites like fibrin and collagen I. Yu Liu et al. discovered that Pluronic F127 gel could be helpful as an injectable delivery hydrogel for peptides and proteins with short half-lives to prolong their therapeutic effect, increase their bioavailability, and improve the clinic outcome [89]. They demonstrated that the *in vitro* release of rHV2 from Pluronic® F127 gel followed zero-order kinetics and did not impact the antithrombotic activity of released rHV2. Subcutaneous injection of rHV2-loaded PF127 gel in normal rats significantly prolonged the antithrombotic effect and plasma levels of rHV2.

The concept underpinning the use of thermoresponsive hydrogels in tissue engineering involves developing hydrogel-based Pluronic with stem cells and active components for the treatment site through injection [91, 92, 231]. The temperature-triggered gelation of Pluronic leads to the formation of a 3D construct at the injected site, and then live cells can differentiate and increase [90, 231]. For tissue engineering purposes, Pluronic-based polymeric scaffolds must replicate the structure and functions of the native extracellular matrix (ECM)[44, 45, 51]. Smart hydrogels have proven instrumental in cartilage tissue engineering, bone tissue engineering, and nucleus pulposus cell encapsulation. These matrices facilitate 3D cell culture, maintain nutrient and waste exchange, and efficiently fill tissue defects as they can be injected in a liquid state [76, 84, 228]. This unique feature enables minimally invasive surgical procedures for implant delivery. However, the application of hydrogel pluronic F127 is not considered. The main problems are the weak mechanical, high CGC value, quick erosion, and non-biodegradability [94, 231].

Addressing these limitations through modification is essential to enhance the performance and applicability of Pluronic-based materials in various fields [76, 81, 231]. Modifying Pluronic with polysaccharides through chemical integration or physical blending is a widely adopted approach to address those mentioned above [81, 89, 235-238]. Conversely, in specific scenarios, it may involve the modification of a polysaccharide with Pluronic [24, 61, 83, 101, 103, 119, 131, 239, 240]. Polysaccharides are often blended with Pluronic to impart thermo-responsiveness [81, 235-237]. However, for polysaccharides, water is a suitable solvent over the entire range of composition [92]. The Pluronic F127 has a hydrophilic/hydrophobic segment structure that is less soluble in water at increasing temperatures [94]. In addition, at low temperatures, the EO block presents a polar conformation that interacts with water; by increasing the temperature, the system entropy favors the polar structures, and the interaction with water is diminished [233, 241, 242]. The miscibility gaps can be found [76, 135]. Even for compatible polymers, preparing homogeneous mixtures with an arbitrary composition is

seldom possible [62, 228]. Due to the region having two phases, the experimental conditions for the design of materials by using polysaccharide and pluronic mixtures require a careful examination [225, 243]. Therefore, for making injectable hydrogel, grafting strategy or crosslinking is the preferred method [81, 89-91, 95, 231, 235-238]. This method could solve the occurrence of heterogeneous zones in the blending techniques. The development of thermal responsive hydrogel via the incorporation of polysaccharide in pluronic F127 structure under the chemical strategy is outlined in table 2.2. This modification enables the combination of Pluronic's thermoresponsive properties with the high biodegradability of natural polymers, thereby improving mechanical strength and swelling capacity [81, 235, 236]. However, it's worth noting that natural thermo-responsive polysaccharides typically exhibit an upper critical solution temperature (UCST), which can be less suitable for biomedical applications [54, 107, 112]. To render them injectable, they would need to be heated beyond their UCST, potentially compromising the viability and functionality of living cells [43, 88, 96]. Therefore, the ratios in the grafting techniques or crosslinking should be carefully controlled to obtain the suitable sol-gel condition as well as the stiffness of the matrix.

Table 2.2: A comprehensive review of thermal responsive hydrogel-based pluronic F127 and polysaccharide: materials, synthesis method, key findings, and application.

Material	Synthesis method	Key Findings	Potential application	Reference
Pluronic F127/Hyaluronic acid (HA)	Dopamine-modified pluronic (PDA) Cysteamine-modified HA (HA-DN)	- Temperature-induced sol-gel transition increases from 19°C to 29°C with the addition of HA-DA. No sol-gel transition if HA-DN > 10 wt% - Pluronic F127 hydrogel degraded after three days, while the addition of HA-DN prolonged the degradation time by up to 30 days - The injection of HA-DN/PDA into mice model was stable for 21 days, while the single	Wrinkle filler, tissue engineering, and drug deliver	[135]

		components started to dissolve in 3 days		
Chitosan (CS) / pluornic F127	Dopamine-modified chitosan (CHI-C) Thiol-terminated Pluronic F-127 (Plu-SH)	<ul style="list-style-type: none"> - CHI-C forms hydrogel by itself at 1% and requires 48h to complete the crosslinking - CHI-C and Plu-SH required three h for reaction - The erosion of Plu and CHI-C was three days, and the introduction of crosslinking between Plu-SH and CHI-C was over 30 days. - CHI-C/Plu-SH hydrogel showed the improvement of adhesive feature in tissue 	injectable drug delivery depots, tissue engineering hydrogels, tissue adhesives, and antibleeding materials	[237]
Poloxamer 407 (PF127)/carboxymethyl chitosan (CMCS)	poloxamer 407 (PF127) and carboxymethyl chitosan (CMCS) using glutaraldehyde as a cross-linking agent.	<ul style="list-style-type: none"> - Sol-gel transition temperature was nearly body temperature (32-37°C), controlled by the density of CMCS. - The gelation time was around 4.5-8 minutes - Tunable the rate of drug release based on the CMCS content, pluronic F127, and cross-linker 	Drug delivery system for local administration	[238]
Chitosan grafted pluronic F127	Hydroxyl group on Pluronic F127 was activated with succinic anhydride, which was reacted with amine groups	<ul style="list-style-type: none"> - Gel formed at a concentration >16%, and the phase transition was at 25 °C - The swelling was maintained over ten days 	Cartilage regeneration	[81]

	on chitosan, resulting in Chitosan-g-pluronic F127	<ul style="list-style-type: none"> - At 20wt%, a storage modulus of about 40 kPa was achieved, while single pluronic F127 could not. - Pore size ~ 10 μm, suitable for cell migration 		
Hyaluronic acid grafted with Pluronic F127	Amine end-capped Pluronic F127 to carboxylic groups of HA using EDC/NHS coupling agents	<ul style="list-style-type: none"> - HA-g-Pluronic (68.7% Pluronic grafting percent) showed a sol-gel transition temperature similar to F127 at the same concentration. - The impact of the additives could be eliminated in the case of HA-g-Pluronic, while pluronic F127 could not. - HA-g-Pluronic hydrogels exhibited a better mechanical feature than either Pluronic F127 - HA-g-Pluronic hydrogels could maintain a robust gel structure for several weeks. 	Cartilage regeneration	[23]
Alginate grafted pluronic F127 (AP)	Pluronic activated with 4-nitrophenyl chloroformate and then reacted with ethylenediamine to form monoamine-terminated PF127 (MATP) Alginate was activated with EDC/NHS and then	<ul style="list-style-type: none"> - 15wt% was sufficient for AP to exhibit sol-gel transition behavior, while 20 wt% was required for pluronic F127 or a mixture of pluronic F127/ alginate - The sol-gel transition temperature was 30 °C, while pluronic F127 or mixture pluronic F127/ alginate were at 23.7°C. 	Skin regeneration	[236]

	reacted with MATP, resulting in Alginate-g-pluronic F127.	- PF127 exhibited a fibrous structure, while AP showed highly porous structures, with pores ranging 10–20 μm in diameter		
Pluronic F127-graft-Carboxymethyl Pullulan (PC)	Pluronic F127 was first activated with 4-nitrophenyl formate and then reacted with ethylenediamine, forming MATP. Carboxymethyl Pullulan was activated with 2-ethoxy-1-ethoxycarbonyl-1,2-dihydroquinoline to react efficiently with MATP at amino motifs.	- The CGC value of grafted copolymer was > 11%, whereas the gelation of poloxamer was not. - The gelation time was correctly adjusted with the participant of Carboxymethyl Pullulan. - PC hydrogel provided the sustained delivery of amoxicillin as compared to the native Pluronic F127	Drug delivery system for local administration	[235]

2.4 Alginate- A Versatile Material For Regenerative Medicine Applications

Sodium alginate is among the most abundant polysaccharides. This polysaccharide is obtained as the waste product after isolating mannitol and iodine from brown algae [102, 234, 244]. The structure of this polysaccharide is constructed from β -mannuronic acid (M) and α -guluronic acid (G) via (1 \rightarrow 4) glycosidic bonds [242, 245]. However, the organization of these monomers is random; thus, the alginate structure is characterized by the length of segments such as GM, MM, and GG[246]. Because of the abundance of carboxylate groups and hydroxyl groups, the structure of alginate could be modified to make the derivative alginate with the best suitable mechanical feature as well as biological functions by selective crosslinking methods or by the grafting of other polymers [82, 96, 107, 113, 229]. Notably, alginate has the advantages of good biocompatibility, low cost, and mild gel formation conditions and is widely used in biomedical fields [246-251].

Various products based on alginate are already in the market, such as Gaviscon® (for acid reflux), Bisodol® (for heartburn), Algicell® (wound dressing), Comfeel® Plus (wound dressing), NU-GEL™ (wound dressing), Purilon® gel (diabetic wound), Kaltostat® (wound dressing), Ocusert® (contact lens), etc. In addition, many applications of alginate as an agent for weight control and as temporary acellular scaffolds to mitigate adverse cardiac remodeling are now in clinical trials [102, 241, 242, 246, 249, 252]. Moreover, commercially available tissue engineering alginate products for 3D cell culture include AlgiMatrix from Invitrogen and NOVATACH peptide-coupled alginates from FMC Biopolymers. Along with commercial products and clinical trials, using alginate as a scaffold in tissue regeneration is very attractive [253]. A comprehensive summary of the developed alginate system in tissue regeneration can be found in **Table 2.3**.

Table 2.3: Utilization of alginate in tissue regenerative techniques for various tissues/organs

Alginate form	Cross-linker	Key finding	Potential application	Reference
Alginate bead	CaCl ₂	<ul style="list-style-type: none"> - The shear modulus G increased by the increase of alginate concentration, from 5.9 kPa (1% alginate) to 11.4 kPa (2% alginate) - The differentiation of MC3T3-E1 cells was increased with the increase of shear modulus G 	Bone	[254]
Hydrogel	CaCl ₂	<ul style="list-style-type: none"> - The type of alginate adjusted the stiffness of alginate hydrogel. 2% alginate had E=44.4±3.21 kPa, while the alginate sulfate had E=2.4±0.57 kPa. - The viability of both types of hydrogel was similar - The chondrogenic phenotype was more pronounced on alginate sulfate hydrogels. 	Cartilage	[255]

Hydrogel	CaCl ₂	3% alginate solution with 1% CaCl ₂ was formed 3D structure HepG2 laden hydrogel under bio-printing.	Liver	[256]
Hydrogel / 3D printing	CaCl ₂	<ul style="list-style-type: none"> - The viability of cornea cells was higher in a 0.6% (w/v) alginate than in a 1.2% (w/v) alginate - Increases in the size of internal pores in alginate gels correlate with increases in cell viability - The hybrid system based on alginate and hydroxyethyl cellulose resulted in a suitable compressive modulus for the cornea cells. 	Corneal	[257]
Hydrogel/ 3D printing	CaCl ₂	<ul style="list-style-type: none"> - 1.5% alginate and 75 mM CaCl₂ mixed with adipose-derived stromal vascular fraction (SVF) cells to form the cellular spheroids Via a direct-write bioprinting instrument - SVF cell population remains viable, and the spheroid integrity was maintained for 16 days in suspension culture 	Vascular	[257]
Hydrogel	CaCO ₃	<ul style="list-style-type: none"> - The stiffness of alginate scaffolds can be varied by tuning the alginate polymer concentration. - The viscoelastic property of the 2%wt alginate disk was 	Intervertebral disc	[258]

		<p>matched to the nucleus pulposus.</p> <ul style="list-style-type: none"> - 2% alginate scaffold no longer matched the stiffness of the nucleus pulposus after 10 days due to the diffusion of calcium ion 		
Hydrogel	calcium gluconate	<ul style="list-style-type: none"> - 1% (wt/vol) alginate and 0.3% (wt/vol) calcium gluconate formed the injectable hydrogel with a 29-gauge needle. - Alginate hydrogel improved left ventricular remodeling and function like neonatal cardiomyocyte transplantation. 	Ventricular/Heart	[259]
Alginate bead	CaCl ₂	<ul style="list-style-type: none"> - The alginate hydrogel bead was formed with a final cell concentration of 5×10^6 cells mL⁻¹. - The alginate concentration of 2.2 %wt was suitable for differentiating embryonic stem cells to astrocytes and neuronal lineage cells. 	Central nervous system	[260]

Alginate facilitates the in situ formation of hydrogels through the chelating agents in mild conditions, including pH and temperature, enabling straightforward cell encapsulation and entrapment [241, 242, 246-248, 253-255, 261]. These hydrogels inherit the natural features of a soft material similar to the many native tissues [232, 242, 260]. Furthermore, the mechanical properties of alginate can be adjusted to cover a spectrum of stiffness representative of various tissues [262]. The mechanical characteristics of hydrogel-based alginate are well-known to depend on the M/G ratios and sequence [262, 263]. The GM block or MM acts as a flexible chain, while the GG block is a stiff chain [264]. Hence, the stiffness of the resulting hydrogel can be easily controlled by carefully selecting M/G ratios and sequences. By changing the

alginate with difference M/G ratios or difference MG, MM, and GG segments, the stiffness of hydrogel-based alginate can shift from 1 to 1000 kPa in terms of compression modulus and from 0.02 to 40 kPa in terms of shear modulus [242, 246, 256, 260, 261, 263, 264]. Further, the modified alginate by chemical reaction, the concentration of alginate, the density, and the type of the cross-linker can be used to modulate the mechanical feature of the resultant alginate hydrogel [263, 264].

Also, alginate benefits from incorporating thermo-responsive polymers to perform thermo-responsive systems [232, 233, 242]. As Lim et al. reported, the combination of alginate and Pluronic resulted in the in situ tissue regeneration scaffold [234]. The introduction of alginate into Pluronic hydrogel significantly improved cell viability, reaching up to 90% [232]. This obtained hydrogel demonstrated the gel's strength improvement due to the alginate concentration. Using 3% alginate, the resultant hydrogel showed an excellent microenvironment for encapsulating cells. Interestingly, the presence of alginate also played a role in controlling the mechanical properties of the Pluronic hydrogel system. However, due to blending techniques, the separation phase between alginate and Pluronic was reported as the main drawback of this system [242]. Similarly, the introduction of alginate as the backbone for PINIPAAm formed the great thermal responsive hydrogel for tissue engineering [265]. A study on swelling kinetics revealed that these hydrogels reached their equilibrium swollen state within 3 hours [88].

Therefore, alginate, through its hybridization with thermo-responsive polymers, emerges as a highly suitable candidate for hybrid thermo-responsive polymer systems. This synergy enables the development of customized bio-scaffolds with optimal gelation temperatures and concentrations, desirable elastic viscosity, and gel strengths.

CHAPTER 3: MATERIALS AND EXPERIMENTAL METHODS

3.1 Materials

3.1.1 Chemical agents

Table 3.1 presents all the information about the chemical agents used in the study, including the origin and the product's code.

Table 3.1: List of used chemical agents.

Order number	Chemicals' names	Origin	Note
1	Sodium Alginate	Sigma Aldrich	A2033, medium viscosity
2	Pluronic F127	Sigma Aldrich	F127, P2443
3	Cystamine dihydrochloride	Sigma Aldrich	C121509
4	Peroxidase from horseradish	Sigma Aldrich	HRP enzyme, P6782
5	Resveratrol	Sigma Aldrich	(Sigma Aldrich, R5010, 99%)
6	L-arginine	Sigma Aldrich	Sigma Aldrich, 99%
7	4-Nitrophenyl chloroformate	Acros Organics	p-NPC, >98%
8	3-ethyl ethyl carbodiimidechloride	Acros Organics	EDC, >97%
9	3-amino-1-propanol	Acros Organics	≥98%
10	3-Hydroxytyramine hydrochloride	Acros Organics	DOPA, >99%
11	Hexadecyltrimethylammonium bromide	Acros Organics	CTAB, >99%
12	Ammonium hydroxide	Acros Organics	28-30 wt%
13	Hemin	Acros Organics	>98%

14	Tetraethyl orthosilicate	Acros Organics	TEOS, >98%
15	Triethyl phosphate	Acros Organics	TEP, 99%)
16	calcium nitrate tetrahydrate	Acros Organics	CaNT, >99%
17	Streptozotocin	Alfa Aesar	STZ, J61601, lot: X16G00
18	2,2-diphenyl-1-picryl-hydrazine-hydrate	TCI, Japan	DPPH, 99%

3.1.2 Others chemical reagents

All the organic solvents used during the synthesized reaction and characterization were HPLC grade and came from Fisher Chemical™. Ethanol and isopropyl alcohol (IPA) were provided from VN-CHEMSOL (Viet Nam). Repligen's Standard Grade Regenerated Cellulose (RC) membrane was used to purify the sample and in the release study.

3.1.3 Reagent for cell culture

The reagents used for in vitro cell assay were summarised in Table 3.2

Table 3.2: List of used reagents in the cell studies.

Order number	Chemicals' names	Origin	Note
1	Fibroblast cells, BJ	ATCC	ATCC® CRL-2522™
2	Mesenchymal stem cells, MSCs	Lonza company	
3	Macrophage cell line	ATCC	TIB-71, Raw 264.7 cells
4	Minimum essential medium	Gibco	MEM
5	Dulbecco's modified Eagle's media without the addition of arginine	Gibco	SILAC DMEM Flex Media
6	Dulbecco's modified Eagle's medium	ATCC	DMEM, ATCC
7	Fetal bovine serum	Gibco	FBS, non-US origin

8	Sodium bicarbonate	Sigma Aldrich	
9	Penicillin/streptomycin	Gibco	10,000 units/mL
10	Trypsin-EDTA	Gibco	0.25%
11	Phosphate buffer saline	Gibco	PBS, 1X
12	Lipopolysaccharides	Sigma Aldrich	LPS, from Escherichia coli O111:B4
13	Propidium iodide	Sigma Aldrich	PI, >99%
14	Acridine orange	Alfa Aesar	AO, >99%
15	Alizarin red S	Mackun	
16	Hoestch dye 33342	Invitrogen	
17	DAX-J2™ PON Green 99	AAT Bioquest	16316
18	SRB Assay Kit	Abcam	ab235935
19	MTT Assay Kit	Abcam	ab211091
20	Griess kit assay	Abcam	
21	Cytochrome c reduction assay	Abcam	ab65311
22	2,4,6-trinitrobenzene sulfonic acid or TNBS	Thermo Fisher Scientific	MFCD00064382
23	L-Name	Sigma Aldrich	

3.1.4 Reagent for anti-bacteria

The reagents used for the anti-bacteria assay are listed in Table 3.3

Table 3.3: List of used reagents for bacteria test

Order number	Chemicals' names	Origin	Note
1	<i>Escherichia coli</i>	ATCC873	
2	<i>Salmonella typhimurium</i>	ATCC 14028	
3	<i>Pseudomonas aeruginosa</i>	ATCC27853	
4	<i>Staphylococcus aureus</i>	ATCC 6538	

5	Methicillin-resistant Staphylococcus aureus – MRSA	ATCC 33592	
6	Agar	Himedia	
7	LB broth	Himedia	
8	Bacitracin disks	Merck	6 mm
9	Penicillin	Sigma Aldrich	

3.1.5 Animal study

The animals used in this study are listed in Table 3.4.

Table 3.4: List of animals involved in this study.

Number Order	Animal	Origin	Note
1	Rabbits	Institute of Drug Quality Control Ho Chi Minh City.	New Zealand White, Male
2	Mice	Institute of Drug Quality Control Ho Chi Minh City.	CD-1 ICR, Coat Color White – Albino

3.2 Instruments for characterization

The types of equipment used in the study were at the Institute of Applied Materials Science (IAMS) and other national research institutions (Table 3.5)

Table 3.5: List of used equipment

Number Order	Equipments' name	Note	Location
1	Transmission electron microscopes	TEM, Jeol JEM-1400	Institute of Materials Sciences

2	Scanning electron microscope equipment with Energy dispersive spectrometry (EDS)	SEM, JSM IT-200 Jeol	
3	X-ray diffraction was performed with D8 Advance ECO	Bruker AXS, Germany	Institute Of Chemistry
4	UV-vis spectrophotometer	UV-1900, Shimadzu, Japan	IAMS
5	Fluoromax Plus C	Horiba-Japan	Institute Of Chemical Technology
6	High-performance liquid chromatography system equipment with mass spectrometer	Scientific	IAMS
7	Microplate reader	HumaReader HS	IAMS
8	Material Testing Machine	5kN, Shimadzu, Japan	Rubber Research Institute of Vietnam
9	Dragonfly High-Speed Confocal Microscope Systems	Andor	IAMS
10	High-performance liquid chromatography, HPLC, with an evaporative light scattering detector ELSD	Agilent 1100	IAMS
11	Rheometer	- HAAKE 6000, Thermo Fisher Scientific, USA - TA DHR-3 Rheometer, USA	HCM VNU-UT and Thang Long Company
12	FT-IR spectrometer	Horiba, Japan	IAMS
13	NMR Spectrometer	¹ H-NMR, Bruker, 600HZ	Institute Of Chemistry
14	Nanopartica Series Instruments	Horiba SZ-100	IAMS
15	Ball Milling	Mill PM 100, Germany	IAMS

16	Dialysis tubing cellulose membrane	Biotech Regenerated Cellulose, Repligen, Massachusetts, USA	3.5kDa, 12-14kDa
17	pH Meter	The HI5221, Hanna Instruments, Germany	

3.3 Synthesis of polymer

3.3.1 Preparation of Pluronic precursor

3.3.1.1 Preparation of the Pluronic-NPC

This procedure was based on the previous reports [71-72]. The hydroxyl group in Pluronic F127 was activated by p-nitrophenyl chloroformate (pNPC), resulting in a carbonate derivative (strong electrophile), which is easy to form a covalent bond with nucleophile functional groups such as amino NH_2 . Pluronic F127 was melted at 70°C under nitrogen conditions to remove water contamination. After that, pNPC (the mol ratio between pluronic F127 and pNPC was 1:2.5) was added to the melt pluronic F127. The vacuum was connected to this system to increase the reaction yield (removing the by-product, hydrochloric acid). After 6h, the mixture was cooled to $40\text{-}45^\circ\text{C}$ before adding organic solvent (THF, $\sim 30\text{mL}$). The solution was then returned to room temperature and stirred overnight. 3-amino-1-propanol (the mol ratio with pluronic F127 was 0.5) was drop-wised into the reaction to obtain the mono-activated pluronic F127, Pluronic-NPC. Cold diethyl ether was used to precipitate Pluronic-NPC. The washing step with cold diethyl ether was done at least three times to remove the excess pNPC. The sign to recognize the final washing step was based on the color of the diethyl ether after adding sodium hydroxyl (1M). The remaining solvent was evaporated under a vacuum. The obtained product was then stored at 4°C for further study and characterization.

3.3.1.2 Preparation of Pluronic-DOPA (PDA)

The pluronic-dopamine (PDA) derivative was synthesized with the help of p-NPC. After activating pluronic F127 with pNPC, the carbamate linkages were formed between the carbonates group in pluronic-NPC and amino groups in DOPA. Briefly, 1 mol of pluronic F-127 was dissolved in dichloromethane (DCM, 50 mL). 2.5 mol p-NPC equivalent to pluronic F127 was prepared in DCM and then drop-wised into the reaction. After 24h activation, 4.8 mmol DOPA was added and reacted for 12 h at room temperature. The DCM was removed by rotary evaporation under vacuum. The chloroform was added to precipitate the free DOPA. The supernatant was then dropped into the cold (-20°C) diethyl ether. The precipitate was collected under vacuum filters. The washing step was repeated 4-5 times to remove the excess pNPC in this reaction. Finally, rotary evaporation under vacuum was applied to obtain the main

product, PDA. The obtained product was then stored at 4°C for further study and characterization.

3.3.2 Preparation of alginate precursor

3.3.2.1 Preparation of alginate-cystamine

The derivative alginate-cystamine (AC) was synthesised with the help of EDC (1-ethyl-3-(3-dimethylaminopropyl)carbodiimide), NHS (N-hydroxysuccinimide). The carboxylate groups on alginate were activated with EDC to form the strong electrophile O-acylisourea, which easily formed the chemical bond with nucleophilic NH₂ on cystamine molecular. NHS was used along with EDC to increase the stability of O-acylisourea derivative alginate. At room temperature, sodium alginate (Na-alg) was dissolved in the mixture solvents (DMSO: water at volume ratio 1:1). The pH value of Na-alg dispersion was adjusted to 5.5 by HCl 1N before activating. 0.00046 mol EDC·HCl for 1 g Na-alg was dissolved in water (1mL) and then dropped into the reaction. After 15 minutes, 0.00046 mol NHS (the amount for 1 gram of Na-alg) was added and continued for 30 minutes at RT. 0.00046 mol Cystamine (Cys, the amount of Cys was calculated via the amount of EDC) was added, and the reaction was left for at least five hours. The reaction was then dropped into absolute alcohol. The product, alginate-cystamine (AC), was precipitated. The residue was re-dissolved into water before applying the dialysis method. MES buffer acted as the media for washing the precipitation. The dialysis solution was lyophilized, yielding derivative AC. The obtained product was then stored at 4°C for further study and characterization.

3.3.2.2 Preparation of alginate-DOPA

The derivative alginate-DOPA (ADA) was synthesized with the help of EDC. The carboxylate groups on alginate were activated with EDC to form the strong electrophile O-acylisourea, which easily formed the chemical bond with nucleophilic NH₂ on cystamine molecular. Na-alg was dissolved into Mes buffer (pH 6.0, 50mL for 1g Na-alg) before adding EDC (5.22 mmol for 1g Na-alg). After 30 minutes, an equivalent DOPA to EDC was added to the reaction, and the stirring was maintained for 3-4 hours. The reaction was then drop-wised into a mixture of solvent, ethanol/IPA (50:50) to collect the sediment. The precipitation was re-dissolved into deionized water and then the precipitated step was repeated at least three times to remove excess EDC and DOPA. Finally, evaporation in the vacuum removed the remaining organic solvent from the precipitation. The obtained product (ADA) was stored at 4 °C for further study.

3.3.3 Preparation of alginate-cystamine –Pluronic

Alginate-cystamine-pluronic (ACP) resulted from the carbamate linkage between pluronic-NPC and NH₂ group on the derivative AC. AC was dissolved in water at a fixed concentration (1g/10 mL). NPC-Pluronic was prepared in the cool water at a difference concentration of 5g/90mL and 7g/90mL. NPC-Pluronic solution was dropped into the prepared solution containing AC under stirring conditions at a cool temperature (4°C) for at least five hours. Absolute ethanol was used to purify the product via the dialysis process with cellulose membrane (MWCO 12-14kDa) for the first 2 hours. Then, deionized water (DI) was used for three days before freeze-drying to obtain the ACP copolymer as a white solid. The obtained product (ACP) was stored at 4 °C for further study.

3.3.4 Characterization technique for the resultant structure

The chemical structure of the products was verified using Fourier transform infrared spectroscopy, proton nuclear resonance, and UV-vis (UV-1900, Shimadzu, Japan). The density of amino groups on the alginate was determined by 2,4,6-trinitrobenzene sulfonic acid (TNBS, Thermo Fisher Scientific) using l-alanine as an internal standard. The substitution degree of DOPA on alginate or Pluronic was calculated using the monitoring absorbance at 280 nm.

3.4 Preparation of peroxidase mimicking bioglass

3.4.1 Preparation of HNP

HNP nanoparticles were prepared from hemin using the hydrothermal method in methanol. Hemin (25mg) was dissolved into 50 mL methanol (HPLC grade, Fisher) following the sonication for 30 min and subsequently transferred to a hydrothermal autoclave reactor (100 mL). This reactor was put into Vacuum Oven VOS-210C and heated at 150°C for two hours. The reactor was cooled to room temperature in the oven before taking out. Evaporation was applied to remove the organic solvent. Water was used to dissolve the crude. The obtained products were filtered by 0.22 membrane (FINETECH). The HNP was obtained thrice after centrifugation at 20,000 rpm for 20 minutes. The obtained product (HNP) was stored at 4 °C for further study.

3.4.2 Preparation of HNP BG

Bioactive glass nanoparticles composed of 64% SiO₂–31% CaO–5% P₂O₅ (in mol %) were prepared using the sol-gel process. In brief, CTAB (0.05 M) was dissolved into ethanol and water in the volume ratio 70:30 after adding 5mL ammonium hydroxide. This mixture was

stirred at a constant speed (450 rpm) at room temperature for at least 24 hours to allow the stability of the micelles. Next, 0.064 mol TEOS was added to this mixture. After 30 minutes, 0.005 mol TEP was put into the reaction. 0.031 mol CaNT was dissolved into water and then dropped in. Next, the reaction was performed at 60°C under vigorous stirring (~1000 rpm) for 48 h. Evaporation was used to form the gel. The obtained gel layer was put into Vacuum Oven VOS-210C at 120 °C for 12 h to remove the water. The gel was then calcinated at 700 °C for 10h with a 5°C/min heating rate and cooled to room temperature at 5°C/min. The obtained product was then washed with ethanol to remove calcium-rich areas and air-dried before performing the next step.

BG was uniformly dispersed in HNP solution following a 2-hour one-pot reaction in an autoclave at 150 °C. After cooling to RT, the solution was stirred vigorously for another 48 hours. The resulting precipitate (HNP BG) was collected by centrifugation at 10,000 rpm and washed with deionized water. The final product was freeze-dried for 24 hours and stored at room temperature for further use.

3.4.3 Structure characterization

Morphology was identified by scanning electron microscopes (SEM, JSM IT-200 Jeol) equipment with energy dispersive spectrometry (EDS) to analyze the element distribution. X-ray diffraction was performed with D8 Advance ECO (Bruker AXS, Germany) to identify the crystallization of products. The UV–vis absorption spectra were recorded in a UV–vis spectrophotometer (UV-1900, Shimadzu, Japan). Fluorescence spectra were recorded by Fluoromax Plus C (Horiba, Japan).

3.5 Peroxidase-like activity test

3.5.1 Pyrogallol assay

Pyrogaollol assay was conducted to identify the ability to mimic the catalyst function of peroxidase. HRP enzyme was used as a reference. Pyrogaollol was prepared with ultrapure water in an amber vial at the concentration of 30 mM for stock solution. Hydrogen peroxide concentration was fixed at 20 mM, which was selected based on the previous study. The stock concentration of HRP enzyme was 1mg/mL prepared in cold water and diluted into 40µg/mL before use. HNP and HNP BG were also prepared using ultrapure water at the design concentration. For the assay, 0.15 mL H₂O₂ in 3 mL ultrapure water was mixed with 0.3 mL pyrogallol in 4.0 mL quartz-cuvette and was equilibrated at 25 °C in the dark condition. Then 0.05 mL of HRP enzyme or peroxidase mimicking HRP (HNP, HNP BG) was added into cuvettes. The reaction was recorded with UV–vis spectrophotometer (UV-1900, Shimadzu,

Japan). In the kinetic study, various concentrations of pyrogallol (0-3mM) were involved. The kinetic mode in UV-1900 was selected to measure the change in the absorbance at 420 nm for 5 minutes. The initial velocity was calculated using the UVProbe. The oxidative pyrogallol activity was determined by measuring the amount of Purpurogallin produced using an extinction coefficient of $\epsilon=2460 \text{ M}^{-1}\cdot\text{cm}^{-1}$. The typical characterization of an enzymatic reaction is the Michaelis-Menten equation, which is $V_0=V_{\text{max}}\cdot[S]/([S]+K_m)$. The turnover number, $k_{\text{cat}}=V_{\text{max}}/[E]$, can be calculated by the Lineweaver-Burk plot ($1/V_0$ as the y-axis and $1/[S]$ as the x-axis), which is independent of substrate and enzyme concentration.

3.5.2 Oxidative dopamine reaction

The oxidative reaction of dopamine (DOPA) was used to evaluate the mimicking reactions of peroxidase in the preparation of polydopamine. HRP enzyme was used as a reference. DOPA (0.4 mM) was prepared in ultrapure water. H_2O_2 (37%) was diluted to 30 mM with DI water. For the reaction, 0.5 mL DOPA was mixed with 0.1 mL H_2O_2 and then transferred to a cuvette containing 3.0 mL of an aqueous solution containing HNP BG or HRP enzyme. The spectrum of this reaction was measured from 250-700 nm during the 2700s. This oxidation reaction was analyzed with UHPLC ultimate 3000 – MSQ Plus.

3.6 Preparation of hydrogel

3.6.1 Preparation of hydrogel from alginate-cystamine-Pluronic

The ACP powder was dissolved in DI water at different concentrations (0-20 wt%) and stirred at 10–15 °C. The aqueous solution was stable at 4°C for 24h before forming gel at room temperature. The concentration of ACP at which the gel form was identified using the inverted tube method and then confirmed with rheology.

3.6.2 Preparation of dopamine crosslinking hydrogel

Solution A: The derivative Pluronic-DOPA (PDA) was dissolved in DI water and stirred at 10–15 °C. The aqueous solution was stable at 4°C for 24h. The concentration of PDA needed to make gel was investigated starting at 20 wt%.

Solution B: The derivative alginate-DOPA (ADA) was dissolved in DI water and stirred at room temperature.

For cross-linked PDA hydrogel, solution A was mixed with H_2O_2 before adding HNP BG. The concentration of PDA in combination with HNP BG to make hydrogel was investigated based on the study of hydrogel from solution A without oxidative agents and catalysts.

For dual DOPA derivative hydrogel (PDA-ADA), the procedure was identical to that for the PDA hydrogel. Briefly, solution A and solution B was mixed and stirred with a mild speed (~200 rpm) to ignore the bubble. Afterthought, HNP BG was added along with H₂O₂. and the concentration of A was selected based on the study about the formation of PDA hydrogel with H₂O₂ and HNP BG. The concentration of ADA varied from 0.1 to 2 wt%.

The polymer concentration at which the gel formed was identified using the inverted tube method and then confirmed with rheology.

3.7 Characterization of the morphology of the resultant hydrogels

The dry morphology was done by SEM. Briefly, the obtained hydrogels were lyophilized. The hydrogel at the dry stage was put on the conductive carbon adhesive tapes before coating with platinum.

Andor Confocal was involved in the examination of the wet morphology. The hydrogel at the solution stage was mixed with AO dye. The temperature was raised to 40 °C for 30 minutes. The washing step to remove the free dye was done at 40 °C. Then, a confocal microscope was used to observe the specimen at 525nm.

3.8 Thermal responsive testing

3.8.1 Test tube inversion method

The CGC and GT values of the design materials were evaluated with the test tube inversion method via the flow of polymer solution in the tube at the tested temperature and concentration. In brief, the vial (2mL) was loaded with 1mL material solution. This vial was incubated at the design temperature using a water bath. After 10 minutes of equilibration, the vial was invested. If the solution in the vial could not flow to the bottom side within 60 seconds, it was recorded as gel and signed as sol.

3.8.2 Rheological analysis

Rheology was studied with Thermo HAAKE 6000 or TA Discovery HR 30. Both machines were measured with a cone and plate. A cone and plate geometry (40 mm, 2.0° cone plate, Peltier plate Stainless steel) was chosen. The minimum sample volume was 0.585 mL. The truncation gap was 50 μm while the trim gap offset was 20 μm. The oscillation temperature ramp was set up with a range of 5 °C to 50 °C (ramp rate: 2.5 °C/min) or 20 °C to 50 °C (1°C/min). The strain and angular frequency were fixed at 1% and ten rad/s. For the frequency sweep, the strain was set at 1%, and the temperature was at 37 °C or 20 °C. For the oscillation amplitude, the function of strain was measured from 0.1 to 200 % at 10 rad/s with a conditioning time of 180 seconds and 5 points per decade. The shear rate for the continuous

flow sweep was from 0.1 to 200 (1/s) with 5 points per decade. For cyclic train time sweep, oscillation time sweep (200s) at 0.1% strain, 10 Hz, and then oscillation time sweep (200s) with high strain at 200% strain, 10 Hz. The cycle was repeated 6 times.

3.9 Water uptake and degradation test

The ability of the hydrogel to uptake and degrade water was performed with DMEM, PBS 1×, and DI water. The water uptake ability was investigated via the change in the mass of hydrogel as a function of time after soaking in selected media. The degradation of hydrogel was calculated via the change in the mass of the copolymer before and after soaking.

The ACP copolymer was weighed and dissolved in different media such as DMEM, PBS 1×, and DI water (copolymer concentration was determined at gel concentration via inverted tube method). The mass of vials was recorded and labeled as W . A determined weight of ACP solution (W_i , 0.5mL) was loaded into the vial. The vial was placed in the water bath at 37°C for 30 min before adding 10 mL of DMEM or 1× PBS (these mediums were warmed at 37°C). The media was withdrawn at the designed time points, and the cotton paper was included to eliminate the excess water before weighting (W_f). The percentage of water uptake was calculated following the below formula (1):

$$\text{Water uptake (\%)} = 100 \times \frac{W_f - W_i}{W_i - W} \quad (1)$$

The degraded test dissolved ACP copolymer at CGC points (m_i) in 0.5 mL media, 1× PBS, or DMEM. After forming the gel stage at 37°C, 10 mL of DMEM or 1× PBS was added. The medium was withdrawn at the determined time points, and the hydrogel was collected and lyophilized before being weighted (m_t). The degraded level was estimated via the remaining mass percentage of ACP hydrogel following the below formula (2):

$$\text{Remaining mass percentage} = \frac{m_t}{m_i} \times 100\% \quad (2)$$

3.10 Drug encapsulation and in vitro release study

3.11 Drug encapsulation

The encapsulated process was performed using the ball milling method [266].

For resveratrol, resveratrol was mixed with 10 %wt ACP solution and then transferred to a ball milling machine (the ball size was 32mm, the speed was 450 rpm, the duration time was 30 minutes, and no on-off cycle was used). Then, the remaining ACP copolymer was added into the container to make 20 %wt ACP in the final concentration. The centrifugation at 2000 rpm at 5°C was used to collect the resveratrol loading ACP hydrogel (R-ACP hydrogel). The pre-test about the loading capacity of hydrogel was done with 500 mg resveratrol. After the collected from the centrifugation, the dialysis in water using a dialysis bag (3.5 kDa) was included to remove the free resveratrol. The temperature was 40°C, and the time was 6 hours. The solution in the bag was collected and then freeze-

dried. The obtained products were re-dissolved in isotonic saline. The HPLC was involved in determining the concentration of resveratrol in the hydrogel sample.

For L-arginine, L-arginine was suspended in an isotonic saline solution. The ACP copolymer (20 %wt) was added to this solution. To obtain a hydrogel, this solution was warmed up to 35°C. The identifying concentration of L-arginine was based on the change in pH solution on the DMEM media (using the pH meter) and the toxicity to fibroblasts (following section 3.12.1).

For the resveratrol and L-arginine system, donated AR-ACP hydrogel, resveratrol was first loaded into 10 %wt ACP solution. This prepared solution was transferred into a ball tank with a ball for milling. The determined concentration of L-arginine and the remaining ACP copolymer were added to the ball tank (the final concentration of ACP was 20 %wt). The ball process was similar to R-ACP hydrogel processing. The centrifugation at 2000 rpm, 5°C, was applied to remove the unloaded product and the ball. The resultant product was freeze-dried. The AR-ACP hydrogel was prepared by dissolving the AR-ACP lyophilized product in the isotonic saline.

3.11.1 Released test

The release of biological cues was tested via dialysis with a 3.5kDa cellulose membrane. The L-arginine and resveratrol were co-detected by using the HPLC method in combination with ELSD. The running program was based on C18 Phenomenex, 5.5 pore size as the stationary phase and the mixture of Acetonitrile and acid water as the mobile phase. The gradient program was applied following the increase of ACN from 0-5% in the first 5 minutes, from 5-70% in the next 7 minutes, and then increased up to 100% ACN in the final 3 minutes.

For the release study, the single-loaded hydrogel or dual-loaded hydrogel was placed in the dialysis bag (3.5kDa, 1 mL gel) and then soaked in release media (PBS 1X, pH 7.4). To maintain the good tank condition, the volume of release media was 100 mL. At the determined time, 1 mL released media was withdrawn for analysis while 1 mL fresh media was added into the released vial. According to the standard curve, the concentration of L-arginine and Resveratrol were calculated. The kinetic drug release was recorded by KinetDS 3.0 open-source software.

3.12 Cell Cytotoxic test

The toxicity of the designed hydrogel on the viability of hMSCs cells was tested with two methods.

3.12.1 Cytotoxic test with 2D culture

The hydrogel extract was used in this test following the guidance of ISO 10993-1:2018. The hydrogel was immersed in MEM media (ratio 1 to 5 in weight). The cell strainer (Biologix, 40 μ m) was used to collect the extract of hydrogel. The media in 96 well-containing cells (hMSC cell, BJ cell, or Raw 264.7 cells) at the density of 1×10^3 cells/mL per well was replaced by the extracted solution. At the pre-determined time, an SRB assay was applied to estimate the viability of cells following the guidance of the manufacturer.

3.12.2 Cytotoxic test with 3D cell culture

In 3D culture, the materials for making hydrogel were dissolved in the culture media at the determined concentration. The hydrogels were cooled down before mixing with BJ cells or hMSCs cells (the density of 1×10^4 cells/mL). This solution was loaded into a 25G needle to transfer to the culture dish, which was heated at 37°C. These culture dishes were incubated at 37°C, 5%CO₂ and 90% humidity. The warm medium was added to each culture dish after 1h of marinating. The staining step was applied at the determined time with AO/ PI and/ or Hoestch. The cell was then fixed with glutaraldehyde (3%) for 6h. The results were recorded using confocal microscopic at the multi-fluorescence channels.

3.12.3 The function of cell-laden hydrogel

The ability of a cell to move and become adhesive on a culture dish after culturing inside a hydrogel was used to test the function of cell-laden hydrogel and investigated via fluorescent dye. The cell was loaded into hydrogel as the presence in section 3.12.2. The culture plate was placed on the hot plate (37 °C). The cell-laden hydrogel was injected into this culture plate via 25 needles. The warm media was added. The plate was placed in the cell incubator. At the determined time, the staining step was applied. The image was recorded using confocal microscopic at the multi-fluorescent channels.

3.13 Anti-bacteria assay

The anti-bacterial was performed using diffusion and micro-dilution tests.

The LB plate was coated with 10^6 – 10^7 colony-forming units (CFU)/mL bacteria for the inhibition zone experiments and incubated for 24h before testing. The tested samples (10ul) were loaded on the Bacitracin disks (6 mm) and then placed on the prepared plate. After 24 incubation at 37°C, the zone from the Bacitracin disks was measured. The positive control was penicillin, while the negative control was PBS 1X pH 7.4.

For the micro-dilution tests, the viability of bacteria was measured using UV-vis at OD 600nm. Briefly, the LB agar plate was coating the hydrogel layer. These plates were incubated for 24h at 37°C. The agar plate was then collected and mixed with LB solution (0.1g agar/20mL LB solution). After 2 h incubating at 37°C, the optical density of the suspension was measured at OD 600 nm. The dead percentage was estimated following the ratio of the OD value of the tested sample to that of the negative control. The negative control was the plate without treatment. The positive control was penicillin. The concentration of penicillin was different between these bacteria strains. For example, *P. aeruginosa* and *S. aureus* were used with 50 ppm, while MRSA was used with 200 ppm. Each experiment was repeated five times.

3.14 Anti-oxidant test

3.14.1 DPPH assay

DPPH assay was evaluated via the absorption of OD 517nm. The concentration of DPPH used in this test was 0.5 mM prepared in methanol. The vial contained 0.3 mL of tested samples. All the samples were incubated at 37°C for at least 30 min before adding 4 mL DPPH. These vials were placed in the dark at 37°C. At each designed time point, the solution in the vial was collected and measured at 517 nm with the help of UV-Vis. The scavenging ability of the tested sample was calculated following the below equation (3):

$$\text{DPPH scavenging effect (\%)} = \frac{\text{OD}_{\text{blank}} - \text{OD}_{\text{sample}}}{\text{OD}_{\text{blank}}} \quad (3)$$

Where OD_{blank} was the absorbance of non-treated DPPH and $\text{OD}_{\text{sample}}$ was the absorbance of tested sample. Each experiment was repeated five times.

3.14.2 Superoxide anion assay

The superoxide anion ($\text{O}_2^{\cdot-}$) assay was tested via the amount of $\text{O}_2^{\cdot-}$ produced in macrophage-like cells Raw 264.7 cells after activating by LPS by cytochrome c reductase kit. The culture condition had followed the guidance from ATCC with a bit of change. The culture media was free arginine to test the impact of these biological cues on the behavior of cells. The culture dishes were coated with tested material before seeding cells. The cell density used in this study was 1×10^6 cells/well. After allowing the cell to attach the surface, the completed prepared media, including 100 ng/mL of LPS, was added. The superoxide anion ($\text{O}_2^{\cdot-}$) produced in Raw 264.7 cells was monitored by a cytochrome c reductase kit. The procedure has followed the protocol described in the previous report [30]. The concentration of superoxide was estimated with the reduced cytochrome c extinction coefficient at 550 nm (~28 per mM).

3.14.3 Monitoring the oxidative stress with BMSC cells

This experiment was done with BMSC cells. This cells was induced stress with 100mM H₂O₂ (10 μL/ 2 mL culture media) for 24 hours. Trypsin-EDTA was used to collect all cells. The stressed cells were then seeded on the surface of the tested sample (300 μL of hydrogel). The nitric oxide was measured via Griess kit assay. The culture media was collected and then incubated in this test with Griess kit assay. Sodium nitrite was used to build the standard curve. The amount of superoxide anion was estimated as similar to that described in section 3.14.2. To monitor the formation of peroxynitrite (ONOO⁻), the cells were stained with DAX-J2™ PON Green 99. The signal of this dye was observed under a confocal microscope with Ex/Em = 480/525 nm. Together with DAX-J2™ PON Green 99, the Hoestch dye 33342 was used to mark the nuclei of BMSC cells.

3.15 Hemolysis assay

The blood compatibility feature of the tested hydrogel was estimated via the hemolysis assay. Briefly, the tested samples were prepared in DI water and then soaked in isotonic saline for 30 minutes. The whole blood collected from mice at the lateral tail vein (0.5mL) was added to each prepared test tube containing the tested sample. The centrifugation (2000 rpm, 5 minutes) was applied to collect the supernatant. The hemolysis of hemoglobin was estimated using the cyanmethemoglobin method. Triton X100 (10mM) was used as the positive control, while isotonic saline was used as the negative control. The hemolysis ratio was determined following the below equation (4):

$$\text{Hemolysis percentage (\%)} = \frac{\text{Hemoglobin in the test sample}}{\text{Total blood hemoglobin sample}} \quad (4)$$

Each experiment was repeated five times.

3.16 Biominimization assay

3.16.1 Biomineralization process in SBF

The hydrogel scaffolds were incubated in SBF to investigate the biomineralization process. Afterward, the remaining hydrogels were collected and lyophilized. SEM investigated the dry samples, and the chemical composition of mineralization products was characterized using EDS analysis during SEM observation. Also, XRD was performed in a 2θ range of 10–80° with Cu K radiation to investigate the crystal profiles depositing on the surface of these hydrogels after soaking in SBF.

3.16.2 Osteoinductive assay

The assay was done with hMSCs cells. hMSCs cells were plated at a density of 1×10^4 cells/in 24-well plates. After 24 hours for adhesion, the cells received a completed MEM medium supplemented with hydrogel (10 mg/mL). Alizarin red assay was used to monitor the formation of calcium from the cells. Briefly, Glutaraldehyde (3 vol.%) was used to fix the cell after performing the washed step with 0.15 M PBS (pH 7.2). After fixation, the cells were washed with 0.15 M PBS (pH 7.4) and ultrapure water. Then, 1 mL of alizarin red dye (2%) was added, and the cells were incubated for 15 minutes at room temperature. The excess dye was removed, and the cells were washed with ultrapure water. After that, washing with 0.15 M PBS (pH 7.2) was performed for 15 minutes, followed by rapid washing with ultrapure water and drying at room temperature. Cells were observed under light microscopy. The control sample was the hMSCs cell culturing in the normal MEM.

3.17 Animal study

The animal study was conducted at the University of Science, Nam National University, Ho Chi Minh City, and Ho Chi Minh Medicine University. The growth condition obeyed the lab regulations.

Mice were fed a normal diet. For the diabetic mice, water with 5% glucose was supported in the morning (10 A.M. after measuring blood) and afternoon (6 P.M) along with tap water. For normal mice, tap water was only provided during the experiment.

For rabbits, the regular diet was applied. The tap water was supported all day.

3.17.1 Skin irritation test

The protocol was established with the guidance of ISO 10993-10:2010 (E). The hair on the back of rabbit was removed before taking the experiment about 2 days. The tested sample was placed on the rabbit skin. The behavior of skin after dressing was recorded by camera in 5 minutes, and from 1 hour to 10 hours. The irritation level was estimated based on the criteria describing in ISO 10993-10:2010 (E).

3.17.2 Toxicology studies

The toxicity of hydrogel was tested with a subcutaneous injection performed at Ho Chi Minh Medicine University (ethical number: 958/GCN-HĐĐDNCTĐV signed date 16/6/2023). All mice (CD-1 ICR, Coat Color White – Albino, seven weeks old, 20 - 24 g) were kept in 12

hours of light and 12 hours of dark periods under pathogen-free conditions with adequate food and water.

Hydrogel at different doses (0.2 mL and 0.4 mL) was injected subcutaneously into the hind flank of mice. The skin at the injected site and the physical impairment of mice were tracked daily until the end of the study. At the determined time, the blood test was conducted. The white blood cells (WBC), including granulocytes, monocytes, and lymphocytes, were estimated with Mindray BA-88A (Mindray, China) with the respective kit test (ELITech, France). For C-reactive protein (CRP), CRP ELISA Kit (Mouse) was involved. The procedure followed the guidance of the manufacturer. After 7 days, histopathological analysis was performed using standard laboratory procedures. All mice skins were collected and fixed with 10% (v/v) formalin solution. Slices were stained with hematoxylin–eosin (HE) and examined by a pathologist using an optical microscope.

3.17.3 Establishing the diabetic mice model with STZ

The diabetic mice were established with Streptozotocin (STZ), performed at the University of Science, Nam National University, Ho Chi Minh City (ethical number: 79B/KHTN-ACUCUS signed date 30/06/2020). The mice in this experiment were male and weighed 30 ± 0.5 g. To identify the STZ dose for single intravenous administration, the range of STZ from 100mg/kg to 240 mg/kg was examined with survival analysis (via Kaplan-Meier (KM) model) and blood glucose concentration (Accu Chek Performa). The dose-induced mice with a blood glucose level > 250 mg/dL for over 14 days and a survival rate of $> 90\%$ were selected for further study.

3.17.4 Establishing the burn wound model on diabetic mice

A heated metal rod (0.5 cm) made the wounds for the burn wound model. Briefly, ketamine (100 mg/mL) and xylazine (20 mg/mL) were mixed at a ratio of 1:1 in volume. The prepared anesthesia was injected in mice at 0.2 mL/100 g body weight. The mouse's hair on the back was eliminated. 1% polyvinylpyrrolidone iodine was applied to disinfect before applying the heated metal rod. The treatment was performed after 1 day. The tested sample was loaded in a 25G needle. The injection took place on the wound in a cool condition. The dose was 0.4 mL/wound. The dressing was replaced for each 2 days.

3.17.5 Evaluation of wound healing process

The closure process of wound was recorded in each 2 days. The wound was measured with caliper. The wound closure was identified via the change in wound area before and after treatment. The wound area was estimated following the previous protocol [71, 72].

Histopathological studies were conducted with H&E, and Masson's trichrome (MT). These studies were done in the Pathology Department- University of Medicine and Pharmacy at Ho Chi Minh City, Vietnam. Zeiss microscopy was used to observe these skin tissues. The re-epithelization was estimated based on the thickness of the epidermal layer with the help of the AxioVision 3.0 program. The estimation of the collagen fraction was done using ImageJ software. The details of this protocol are presented in **Appendix M1**. Each experiment was repeated three times.

3.18 Data analysis

OriginPro2022B and Graph-Pad Primes 2021 were used to calculate and present the results. All data was collected from 3 replications. The significant difference was concluded if the p-value was lower than 0.05. The selection of the statistical test was detailed in the result section.

CHAPTER 4: CONSTRUCTION OF THERMAL RESPONSIVE HYDROGEL FROM ALGINATE AND PLURONIC VIA GRAFT TECHNIQUES FOR DIABETIC WOUND HEALING

4.1 Characterization of alginate-pluronic copolymerization

Pluronic F127 was grafted on the alginate backbone via the cystamine bridge as presented in **Figure 4.1**. Cystamine was first introduced on the alginate via the help of EDC/NHS, resulting in the amine-functionalized alginate, donated as Alginate-cystamine (AC). To graft pluronic on Na-alg-cys at the amino group, the hydroxyl terminal group of pluronic was activated with p-nitrophenyl chloroformate (NPC) to form p-nitrophenyl carbonate, donated as NPC-F127. The p-nitrophenyl carbonate group on NPC-F127 is very reactive towards the amino group of AC by means of stable urethane linkages. Thus, the reaction between NPC-F127 and Na-alg-cys occurred in water at RT condition. The chemical structure was analyzed with FT-IR, ¹H-NMR, and UV-vis.

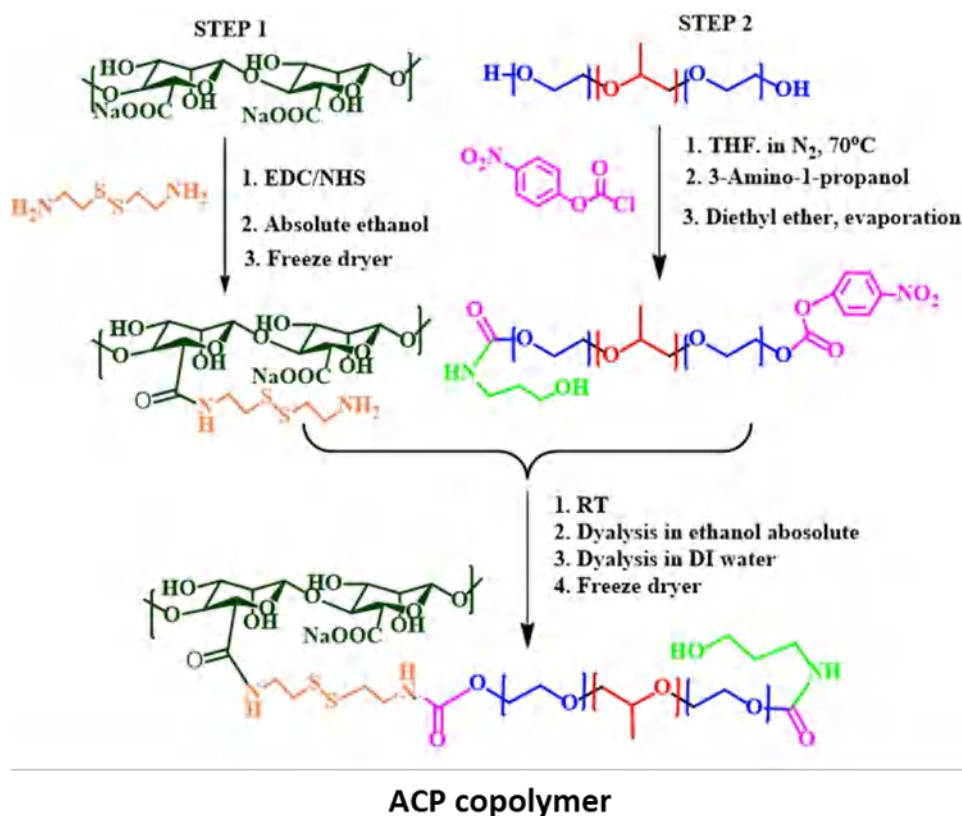


Figure 4.1: The diagram for making the copolymer from alginate and pluronic F127 in this study.

4.1.1 Characterization of the precursor alginate, alginate-cystamine

The change in the functional groups of alginate after modifying with cystamine was recorded by FT-IR technique, and the result was presented in **Figure 4.2**. The FT-IR spectrum of Na-

Alg exposes a broad band centered at 3411 cm^{-1} corresponding to the presentation of hydroxyl groups (-OH), the low-intensity bands 2923 cm^{-1} attributing to the symmetric vibration of aliphatic (CH_2), peaks at 1619 cm^{-1} and 1412 cm^{-1} corresponding to symmetric and asymmetric stretching ($-\text{COO}-$), respectively [251]. In the range $1294\text{--}815\text{ cm}^{-1}$, some vibrations are assigned to ether functional groups (C-O-C) in glycosidic linkage [267]. The vibration peaks in $890\text{--}815\text{ cm}^{-1}$ belong to the C-C and C-O bond in mannuronic acid [250] while the exhibition of the pyranoid ring in guluronic acid is characterized at $1294\text{ cm}^{-1}\text{--}1037\text{ cm}^{-1}$ [251]. After modification with cystamine, the major characterizations of Na-alg (O-H, C=O) are still presented in FT-IR spectra of Na-alg-cys (AC). However, the blue shift is observed for symmetric and asymmetric carboxyl groups compared to the pure Na-Alg, suggesting the interaction of amine groups on carboxylic groups [268]. The spectrum shows the additional peak with strong absorption at 2890 cm^{-1} assigned to the vibration of primary amine, along with a small signal of SH bonding at $2298\text{--}1960\text{ cm}^{-1}$. Along with the shift of carboxylate mode, the FT-IR spectrum of Na-alg-cys is also established by the slight shift of guluronic acid and mannuronic acid. Notably, the anomeric peak of the fingerprint at 1700 cm^{-1} and 1243 cm^{-1} shows the characteristic absorption bands of N-C=O (amine I) and N-H bending vibrations, proposing the amidation of the carboxylic group of alginate molecules.[252] .

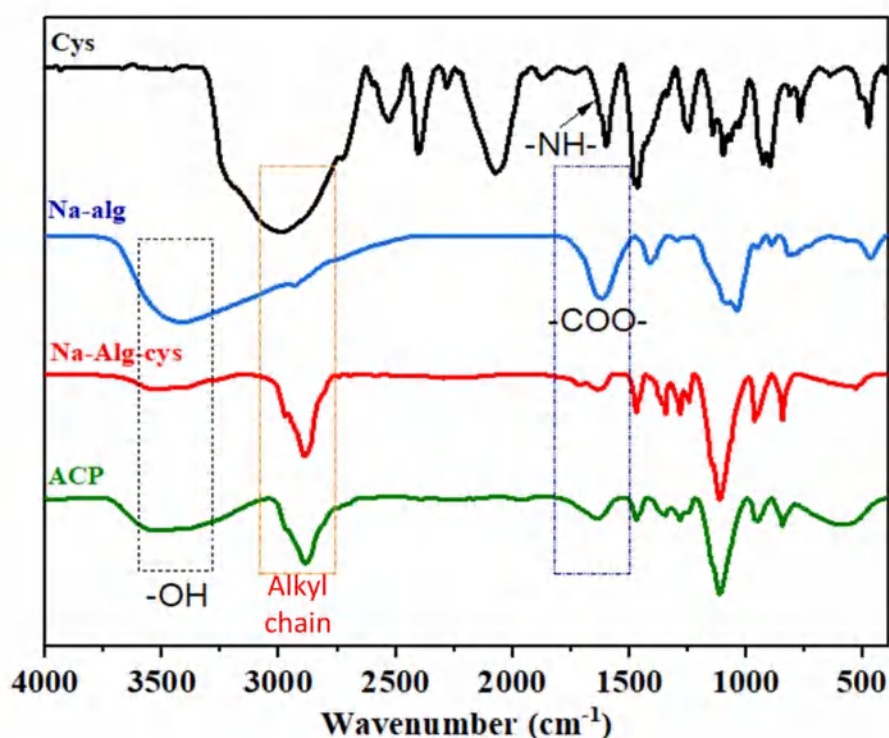


Figure 4.2: FT-IR spectra of pure cystamine, Na-alg, Na-alg-cys (AC), and ACP copolymer.

The success of aminated functional Na-alg was further confirmed via $^1\text{H-NMR}$ spectra in **Figure 4.3**. Both the characteristic proton peaks of Na-alg and cystamine are presented in the $^1\text{H-NMR}$ spectra. The spectra display the anomeric proton on the guluronic unit (H1-G) and mannuronic unit (H1-M) at $\delta = 5.05\text{ppm}$ and $\delta = 4.45\text{ppm}$ [269, 270], respectively. These spectra also show the chemical shift corresponding to proton C-5 of the guluronic unit (H5-G, at $\delta = 4.37\text{ppm}$) and mannuronic unit (H5-M, $\delta = 4.20\text{ppm}$)[245]. In addition, the spectrum exhibits these signals at $\delta = 3.1\text{ ppm}$ (Ha) and $\delta = 3.4\text{ ppm}$ (Hb) arising from the respective proton of $-\text{CH}_2\text{CH}_2\text{S}-$ and $-\text{NHCH}_2\text{CH}_2\text{S}-$, which help to confirm the success of amine functional Na-alg step [249]. Throughout the TNBS assay, the coupled amine content is $54.70 \pm 0.36\text{ mg/g}$ of Na-alg-cys, yielding $78.04 \pm 2.31\%$.

4.1.2 Characterization of the precursor Pluronic, Pluronic -NPC

The hydroxyl groups on the Pluronic F127 backbone were first activated with pNPC, and its chemical characterization was presented in **Figure 4.3A**. This spectrum shows a chemical shift for the protons of the aromatic ring of p-NPC at $\delta = 7.41\text{ ppm}$ (H2) and $\delta = 8.29\text{ ppm}$ (H1). The proton signals of PPO blocks of Pluronic F127 are at $\delta = 1.15\text{ ppm}$ (H4, methyl group ($-\text{CH}_3$)) and $\delta = 3.41\text{ppm}$ (H3, methylene group ($>\text{CH}_2$)), whereas the proton $-\text{CH}_2-\text{CH}_2-$ units of PEO blocks is at $\delta = 3.67\text{ ppm}$. In addition, the chemical shift at $\delta = 4.44\text{ ppm}$ (H5) is assigned to the proton on the methylene group in the ester bond with p-NPC, $\text{CH}_2\text{-O-NPC}$. Also, the peak at $\delta = 4.22\text{ppm}$ corresponds to methylene protons of $\text{CH}_2-\text{CH}_2\text{-O-Ami}$ moiety, confirming the success of the second route.

4.1.3 Characterization of alginate-Pluronic copolymerization

Alginate-pluronic (ACP) was formed by conjugating NPC-activated F127 onto the Na-alg-cys. The successful synthesis of ACP was also confirmed by FT-IR (**Fig 4.2**) and $^1\text{H-NMR}$ spectra (**Fig 4.3**). ACP copolymer exposes all typical characteristic peaks in the FT-IR spectrum of Na-alg-cys. The introduction of Pluronic on the Na-alg-cys backbone induces the changing intensity of the stretching of ester groups (C=O) and hydroxyl groups (OH). The proof of the covalent chemical bond between Pluronic and AC is the presence of the deformation vibrations of NH bonds and stretching vibrations of CN in the FT-IR spectrum of ACP copolymer. $^1\text{H-NMR}$ was used to confirm this result further.

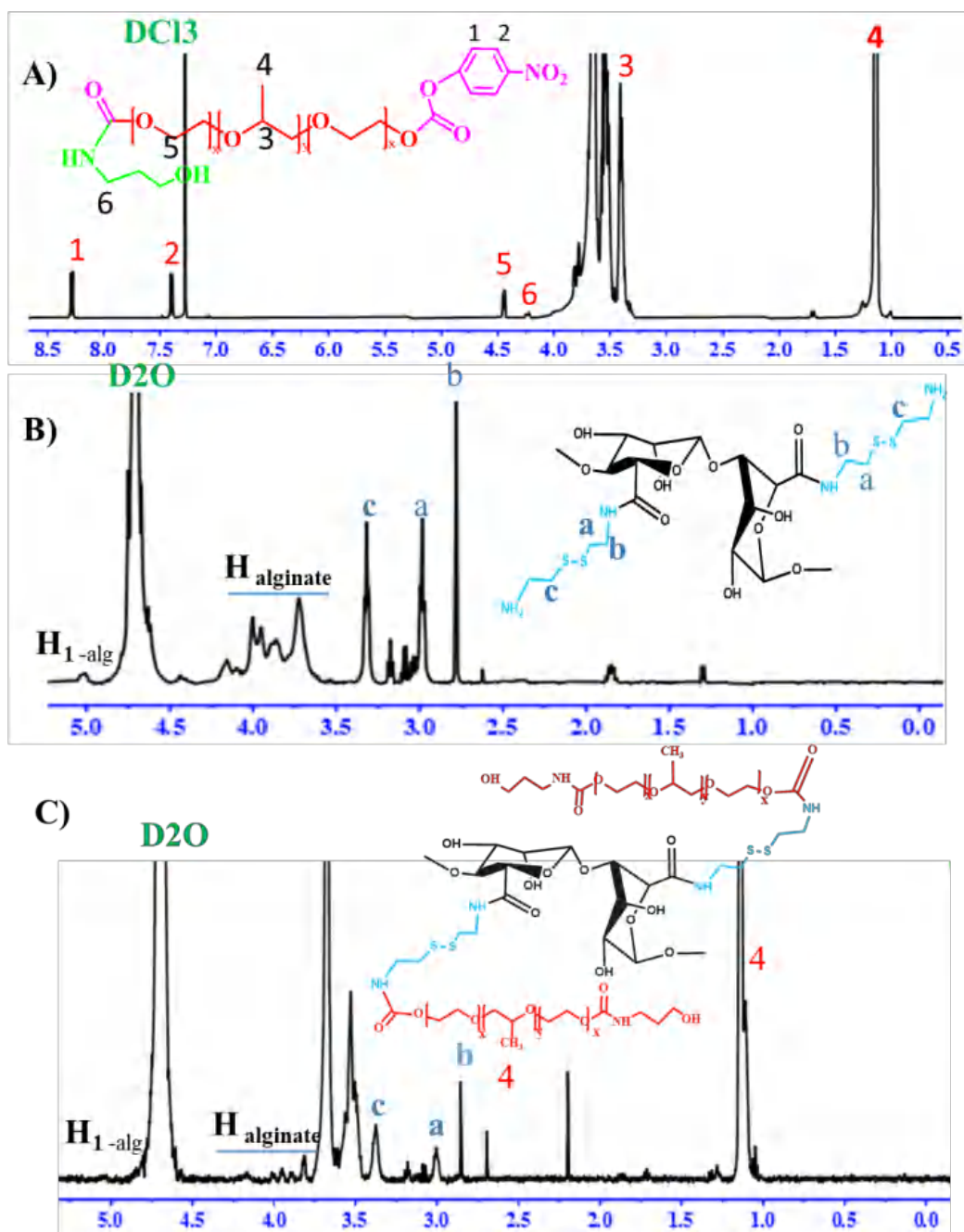


Figure 4.3: $^1\text{H-NMR}$ (in DCl_3 , 500MHz) spectrum of A) activated Pluronic F127 and $^1\text{H NMR}$ (in D_2O , 500MHz) characterization of B) Na-Alg-cys and C) ACP copolymer.

As shown in **Figure 4.3**, along with the anomeric proton signals of Na-alg, this spectrum shows the chemical shifts corresponding to the proton of Pluronic F127. The peak at $\delta = 1.03$ ppm gives evidence of methyl group ($-\text{CH}_3$) in the PPO block of F127, whereas the peak at $\delta = 3.70$ ppm is corresponding to ($-\text{CH}_2-\text{CH}_2-$) units of PEO blocks of F127. It can also discern the shift of methylene proton ($-\text{CH}_2$) in this spectrum, although the intensity of this proton is reduced. The proton signal of methylene in (NHCH_2-) has disappeared in this spectrum because the characteristic signal for methyl groups on the PPO block is at the same position,

$\delta = 3.40$ ppm. These results revealed the formation of ACP. Regarding the remaining amine content calculated by TNBS assay, the activated Pluronic grafting reaction efficiency was about $44.47 \pm 0.74\%$.

4.2 Preparation of the thermal sensitive hydrogel from alginate-Pluronic copolymerization

4.2.1 The effect of alginate on the thermal sensitive property of the resultant hydrogel

Cohesive energy density is a term in rheology to measure the strength of the internal structure of a material [271, 272]. For hydrogel, this term refers to a measure of the material's elastic strength [272]. This property is associated with the interaction energy between hydrophobic components. Generally, a stronger interaction energy corresponds to a higher level of structural stability. Therefore, the cohesive energy of the grafted copolymer was exploited and compared to pure Pluronic F127 at 20 % wt. As shown in **Table 4.1**, the cohesive energy of Pluronic F127 was improved after grafting to the alginate backbone. The cohesive energy density of the Pluronic F127 solution (20 % wt) at 35 °C was 28.8 Pa. After grafting on the alginate backbone (APC with Pluronic accounting for 87.5 % by mass), the cohesive energy was 1655 times improved. It is known that higher cohesive energy density can result from the existence of strong intermolecular interactions[272]. In other words, combining alginate into Pluronic networks could improve the strength of the internal structure. However, when the feed ratio of alginate increased (APC with Pluronic accounting for 83.33% by mass), the cohesive energy density was reduced. It was 158.84 Pa, about 300 times reduction compared to APC from 87.5% Pluronic. This property is associated with the interaction energy between hydrophobic components. Generally, a stronger interaction energy corresponds to a higher level of structural stability. [243, 273].

Table 4.1: The cohesive energy of tested materials

Sample 20 %wt	Cohesive energy at 35°C
Pure Pluronic F127	28.8 Pa
APC with Pluronic accounting for 87.5% by mass	47 652 Pa
APC with Pluronic accounting for 83.33% by mass	158.84 Pa

The effect of grafting ratio on the sol-gel transition of ACP copolymer was determined by amplitude oscillation sweep, and the results are illustrated in **Figure 4.4**. The linear viscoelastic region (LVR) or the region where both moduli and phase angle are independent of applied strains are examined to know the structure of solution at specific temperature. At LVR, G' and G'' are constant and are used presentation for un-disturbed structure [274]. When both moduli tend to decrease, the structure of the sample is disturbed [275]. In other words, the behavior of

modulus in LVR gives the information about the structure. The domination of G' suggests the gel-like structure. However, if G'' is dominated, the sample is classified as liquid [243]. Due to the purpose of hydrogel for tissue regeneration, the gel stage was required at a temperature range of 30-37 °C and liquid at a cool temperature [56, 71, 80, 81]. It was found that viscoelastic parameters are mainly determined by the content of Pluronic F127 in grafting samples. When the feed content of Pluronic F127 is at 83.33%, the LVE region exists at incredibly low shear strain, under 2% for 45 °C and 4% for 35 °C. Also, it was observed that ACP copolymer solution at this ratio showed viscoelastic liquid behavior at 35 °C, suggesting that this solution could not form a gel stage at a temperature below 37 °C even though its copolymer concentration was up to 20 %wt as seen in **Figure 4.4A**. A marginal shift in the LVE region was observed when the mass fraction of Pluronic F127 increased to 87.5%. The LVE region was 3.8% at 35°C and 8.4% at 10°C. In addition, with 87.5% Pluronic F127 in the grafting reaction, the values of the loss modulus G'' were more significant than the value of store modulus G' at 35 (**Fig. 4.4B**), confirming that at the beginning of the test, the superstructure formed a consistent, three-dimensional network [243]. These results agreed with cohesive energy density. To better understand the effect of alginate, the critical gel concentration of pure Pluronic F127 was determined. As shown in **Appendix A1**, the sol-gel transition of Pluronic F127 at 20 wt% was at 20.01°C. Incorporating alginate, this gel critical temperature (GCT) was shifted to the higher point. For example, with ACP from 83.33% Pluronic, the GCT was at 44.89°C while ACP from 87.5% Pluronic set up their GCT at 35.1 °C. The GCT values were depended on the amount of Pluronic F127. It is evident that with an increase in the concentration of alginate, the system becomes more hydrophilic due to the nature of alginate [72, 86]. The higher amount of alginate increases the density of hydrogen bonds with water molecules and then increases the enthalpy of dehydration [24, 232, 233]. The presence of alginate hinders both the initial structural rearrangement of micelles and the subsequent caging to form a packed, ordered gel structure [232, 233] and, consequently, increases the gelation of the temperature. Because only ACP with 87.7% of Pluronic expressed the gel within the range 30-37°C, this grafted copolymer was used for further study.

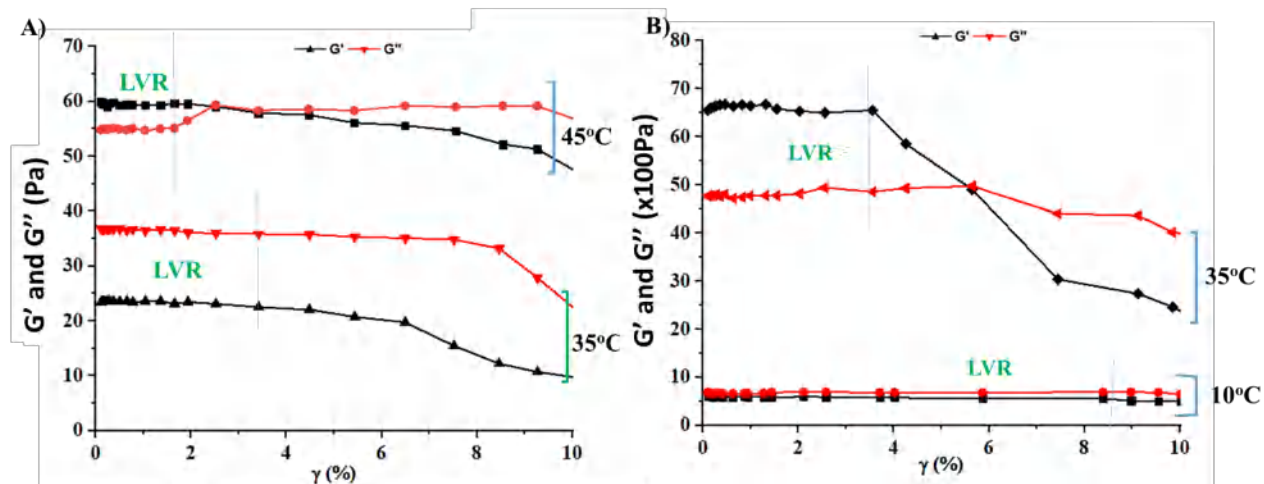


Figure 4.4: The viscoelastic parameters of two ACP copolymers (20 %wt) at different Pluronic contents.

A) 83.33 % and B) 87.5 %, as a function of strain amplitude at a fixed angular frequency of 1.0 rad/s in different temperature conditions.

4.2.2 The effect of copolymer concentration on the thermal sensitive property of the resultant hydrogel

The effect of the copolymer concentration on the thermal behavior of the resultant hydrogel through a sol-gel transition was tested and displayed in **Figure 4.5**. The Tgel was identified with the temperature sweep [276]. All the tested ACP concentration ranges showed the crossing-over between G' and G'' , confirming the transition of the sol-gel structure.

The sol-gel transition of the ACP copolymer solution was strongly dependent on the concentration of ACP. When the copolymer concentration increased from 13 to 20 % wt, the temperature-induced Tgel is reduced. Specifically, at 13 % wt, the crossing point is at 41.2 °C, while it is reduced to 35.1 °C at 20% % wt. Moreover, the maximum value of G' followed the order of concentrations, which is attributed to the decrease of copolymer ACP flexibility at higher concentrations [232, 233]. Increasing ACP concentration leads to a higher density of hydrophobic interaction, thus resulting in a higher capacity to build the microstructure. Furthermore, Pluronic's behavior in response to the change in temperature induces the folding of the alginate backbone, creating a more hydrophobic zone [241, 242]. Similar to the jamming-induced gelation in pure Pluronic systems, the thermal gelation in the ACP system could be due to the packing of micelles within the alginate folding pocket [241]. Subsequently, it potentially stores the deformation energy and reduces the isotropic gel [275]. Therefore, the higher concentration of ACP required lower heat energy to introduce the aggregation of ACP, consequently achieving lower Tgel.

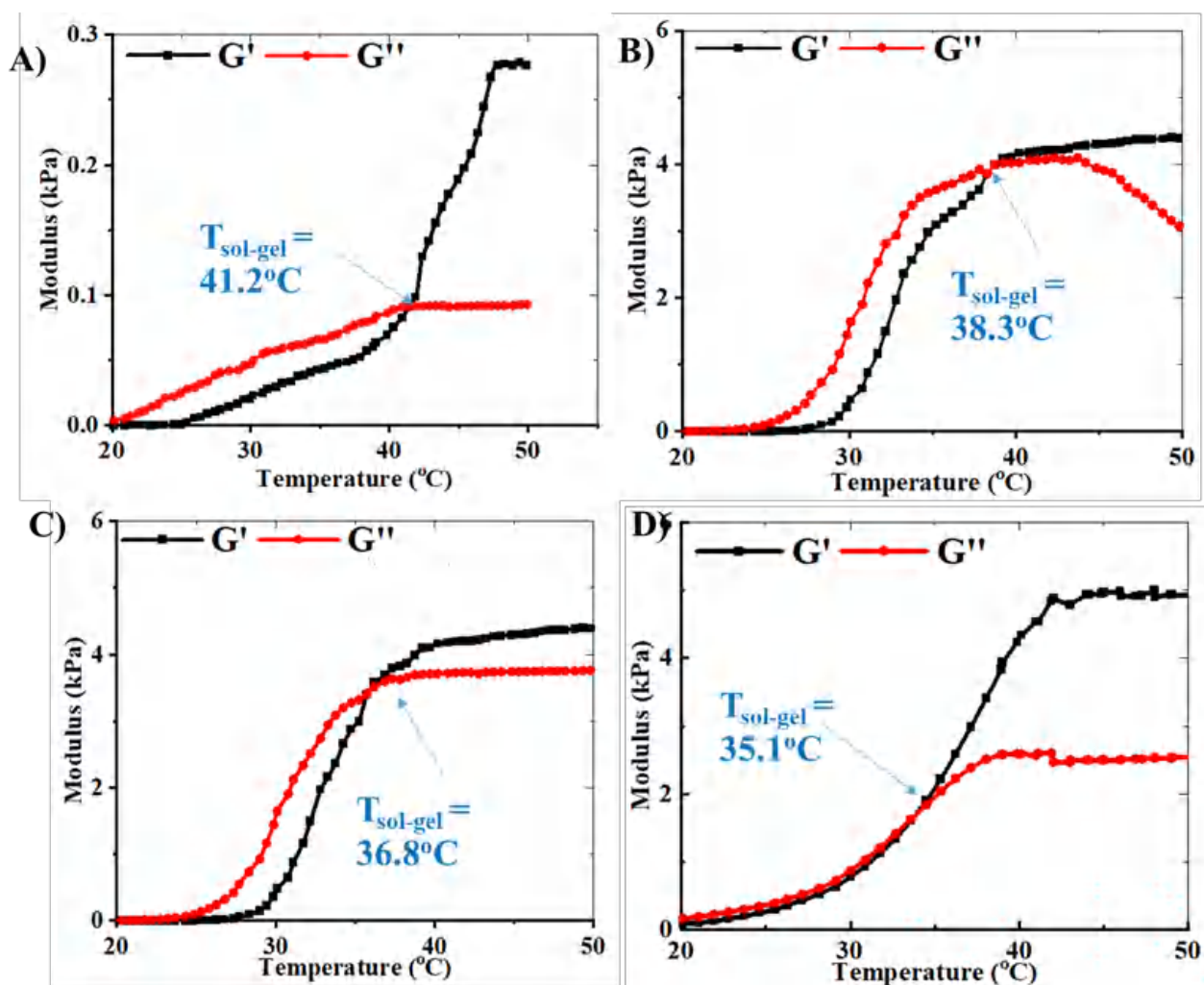


Figure 4.5: Evolution of the dynamic moduli in temperature sweep experiment of ACP with various concentrations. A) 13 %wt, B) 15 %wt, C) 17 %wt and D) 20 %wt.

4.2.3 The influence of the physiological solvent on the sol-gel transition of hydrogel

Next, besides the influence of concentration on T_{gel}, the effect of the aqueous media dissolved ACP copolymer on sol-gel transition temperature was investigated. As shown in **Figure 4.6A**, at 20 %wt of the copolymer, ACP dissolved in DI water, PBS buffer, or DMEM media undergoes the sol-gel transition in response to the heating condition. Regarding inverted tube methods, there is a non-remarkable difference in the gelation temperature (GT) range of ACP solutions preparing in different mediums (**Fig 4.6B**). ACP solution (20 %wt) in all tested media appears to be in the gel state at 25°C and complete gel development (G' over G'' in oscillation rheology) is achieved above 30°C. In terms of rheology, the solvent causes small variations in T_{gel} values of ACP (**Fig 4.6C**). ACP dispersed in DI water (20 %wt) undergoes the sol-gel transition at 35.1°C during heating. However, when physiological buffer (PBS 7.4) or culture medium (DMEM) was used to prepare ACP hydrogel (20 %wt), the gelation occurs at a lower

temperature as compared to DI water. This may be due to the interaction of Na-alg with ions in the medium[102, 249, 265, 268]. When ACP polymer is dissolved in this solvent, the cationic ions diffuse into ACP networks and form the ionic inter-chain bridges[233]. Therefore, the lower T_{gel} induced by medium can be explained by the synergistic effect between the Pluronic copolymers and sodium alginate chains. Due to cationic agents in buffer media, the water surrounding the Pluronic chain may be reduced with the increase of temperature[230], the PPO segments become more hydrophobic and less polar[93], providing a platform for promoting gelation. In the range of concentration and all solvent tested, ACP hydrogel (20 wt) could be a suitable scaffold for cell encapsulation processes, specialized application in soft tissue such as wound healing.

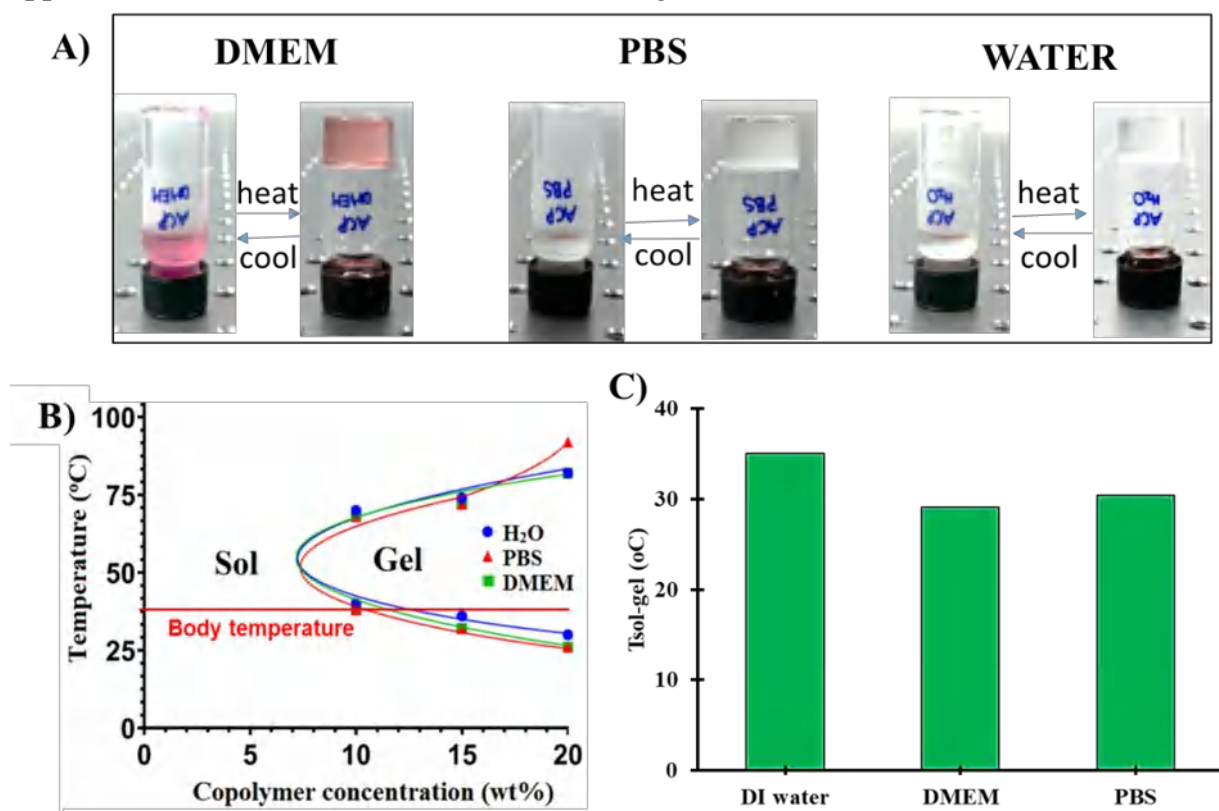


Figure 4.6: Thermal responsive via the sol-gel transition of ACP copolymer solution in different solvents (DI water, DMEM, and PBS).

A) Optical images of inverted glass vials containing ACP copolymer solutions below 20 °C and above 30 °C. The sol-gel transition temperature diagram of the ACP hydrogels prepared in different solvents measuring by B) inverted tube method and C) temperature sweep experiment.

4.3 The bio-adhesive property of ACP copolymer

In tissue engineering, hydrogels with adhesive property to the adjacent tissue can avoid detachment of biomaterial from target tissues *in vivo* and eventually promote the potential

biointegration[112, 228, 276]. Herein, we evaluated the adhesive property of ACP with porcine skin. **Figure 4.7** indicates that the adhesive strength of ACP hydrogel to porcine tissues significantly increased as amine functionalization Na-alginate increased from 0 to 12.5% (labeled as ACP1, ACP2, and ACP3). The adhesion force for pure Pluronic gel (20 wt%) is eminently fairly, about 0.70 ± 0.16 kPa, but in good agreement with literature reports [135]. The adhesion force increases 233 % (1.63 ± 0.17 kPa) when amine-functionalized alginate cooperating with Pluronic F127 is 5% (ACP3). It is known that alginate alone does not promote cell adhesion because it lacks cell adhesion components[106]. Increasing the feeding AC in ACP copolymer from 5% (ACP3) to 12.5% (ACP1) significantly increased the adhesive strength of ACP hydrogel to 396%, evidencing the critical role of amine functional groups on alginate in tissue adhesion properties.

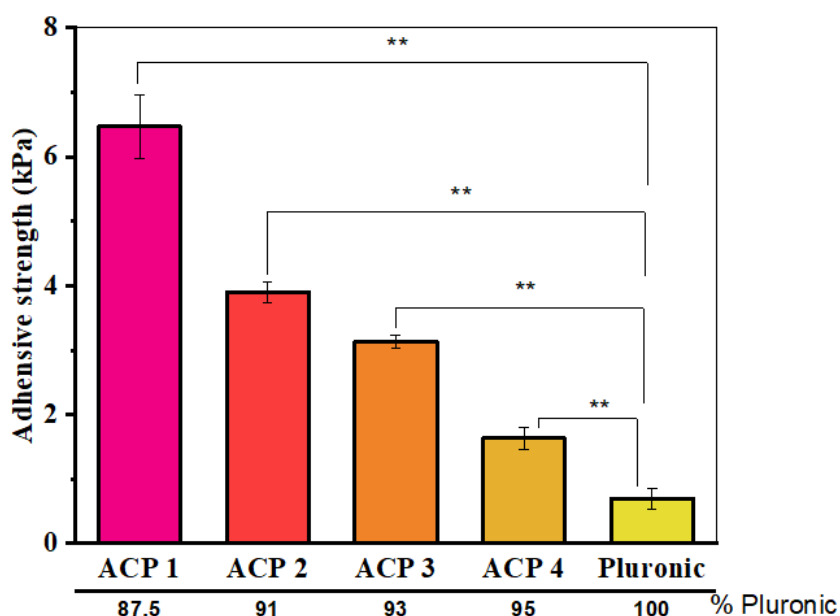


Figure 4.7: The adhesive strength of ACP hydrogel with various grafted Pluronic F127 (from 87.5% to 100%) to porcine tissue.

All tested sample is 20 %wt. The lap-shear adhesion tests at 37°C were repeated three times. **p < 0.05.

4.4 Morphology of the hydrogel

The morphology of ACP hydrogel was visualized by SEM for dry ACP hydrogel and confocal microscopy for wet hydrogel. ACP hydrogel has a good manifestation of three-dimensional interconnected microstructure in both methods as presented in **Figure 4.8A, B**. In addition, ACP hydrogel possesses homogeneously distributed pores with a size in the range of 30-80 μm , suggesting that ACP hydrogel may be suitable for cell delivery in tissue engineering[44, 45].

4.5 Swelling and degradation of hydrogel

Along with the required microstructure of hydrogel, the degree and rate of swelling are some of the most critical parameters that affect the release rate of solvents and drugs from polymeric hydrogel networks [44, 46, 55, 57, 75, 80, 113]. Swelling studies were performed in physiological buffer PBS 1X and DMEM. Because the pH of both media was 7.4, the remaining carboxylic groups on the Alg backbone turned to ionize, leading to the increase of repulsion between the carboxylate group [102, 244]; consequently, ACP began to swell at the initial immersed stage.

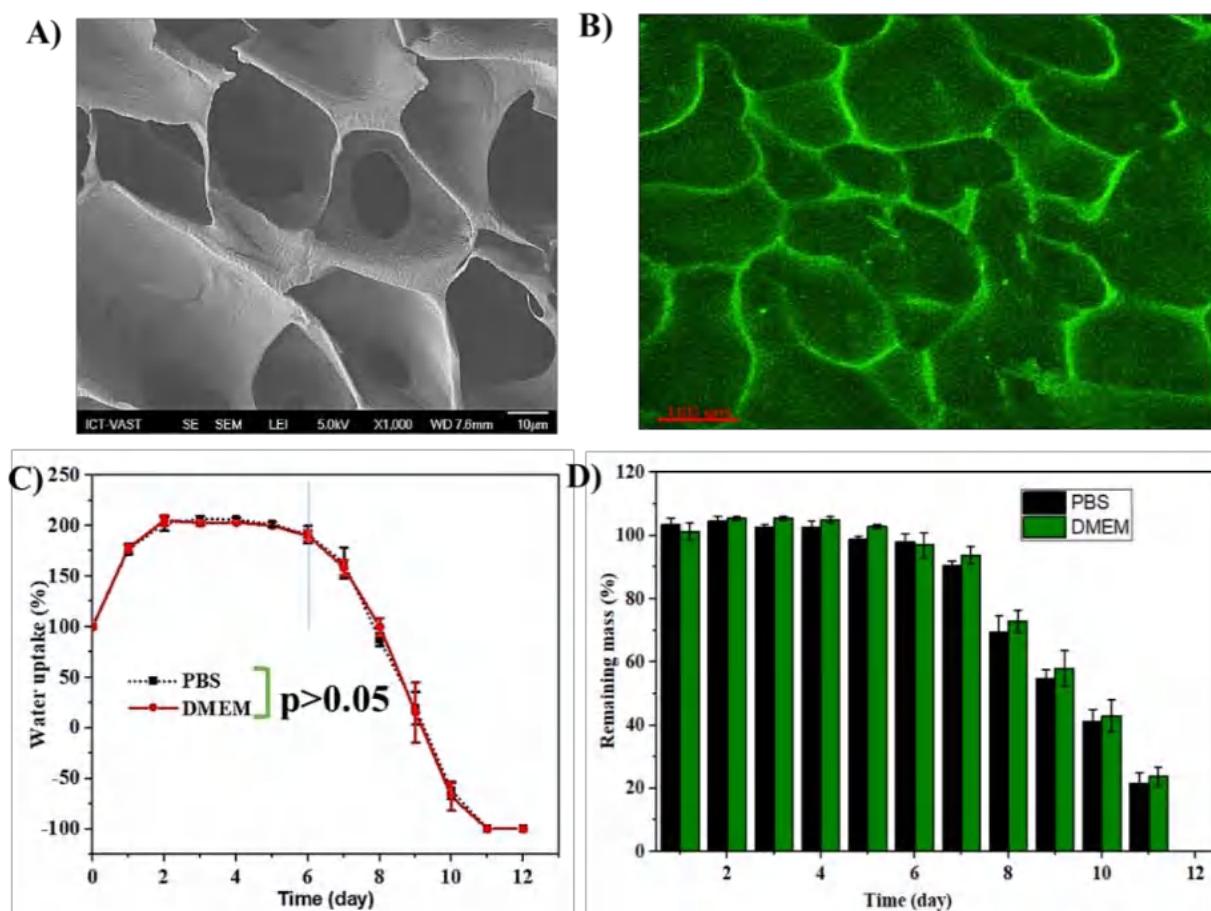


Figure 4.8: Characterisation of the ACP hydrogel (20 %wt).

Morphology was observed by A) SEM at the dry stage and B) by confocal for the wet stage. C) The water uptake capacity was measured via the change in the mass of hydrogel before and after immersing in PBS and DMEM. D) The degradation of hydrogel in PBS and DMEM was estimated via the remaining mass

As shown in **Figure 4.8C**, ACP hydrogel swelled quickly after immersion in both media. Interestingly, the disintegration process did not occur after reaching the maximum point. From the 2nd to the 6th day, ACP hydrogel possessed a high resistance to dissolve. The amount of the uptake water was around 200%, indicating the equilibrium swelling process. Presumably,

the ionization of Na-alg may interact with cationic ions in an immersed medium, resulting in the equilibrium in osmotic pressure, thereby controlling the driving force for the penetration of water molecules[248]. However, from the 7th day, the water content in ACP hydrogel decreased in both immersed mediums. This effect is likely resulting from the fact that the density of hydrogen bonds with water molecules within the ACP hydrogel network was exhausted, and the 3D microstructure became damaged [88, 96, 106, 107]. Also, the degradation course of ACP hydrogel in both immersion media was identical (**Fig. 4.8D**), which agreed with the water uptake ability of ACP in both media. The mass of lyophilized ACP copolymer had been maintained over 7 days (all p values >0.1). The degradation of ACP hydrogel in both media was started on day 8. In PBS media, about 31% of the initial weight of ACP hydrogel was lost, while 28% of ACP hydrogel mass was lost in DMEM. There is a non-remarkable difference in the degradation rate of ACP hydrogels in DMEM or PBS, about 11% per day. After 12 days of immersing in PBS or DMEM solution, the ACP hydrogel could not be recovered, confirming the complete degradation course of ACP hydrogel.

4.6 The cytotoxicity of the resultant hydrogel

Cell vitality is important to conclude whether the material could be applied in tissue engineering and regeneration [46, 47, 55, 86, 228]. Human fibroblast cells were used to evaluate the toxicity profile of the fabricated hydrogel. Culture dishes coated with 0.1 wt% Gelatin were served as the control. Non-significant toxicity effects on BJ cells were observed for both ACP hydrogel and 0.1 wt% gelatin, and above 90% cell viability was achieved, as shown in **Figure 4.9A-B**. A negative toxicity effect of the ACP coating dish was also proven via live/dead staining. In both coating cases, the green nucleated cells (live cells) due to the presentation of AO are more pronounced while a very small number of cells are dyed with red color (dead) (**Fig 4.9C**). Fibroblast cells culturing on ACP proliferates quickly that is similar to that on the gelatin-coating dish ($p > 0.1$, **Fig 4.9B**). After 48 hours incubation, BJ cells covered approximately 70-80% surface of both coating dishes. In addition, the morphology of fibroblast cells displayed typical elongated and spindle-like -shape on both coating conditions (**Fig 4.9C**). These results suggest that ACP hydrogel provides a good surface for promoting cell proliferation[55]. In order words, no negative dramatic effect of ACP hydrogel on the proliferation, viability, and morphology of BJ cells, showing the excellent biocompatibility of ACP hydrogel, following the recommendation of ISO 10993-1:2018.

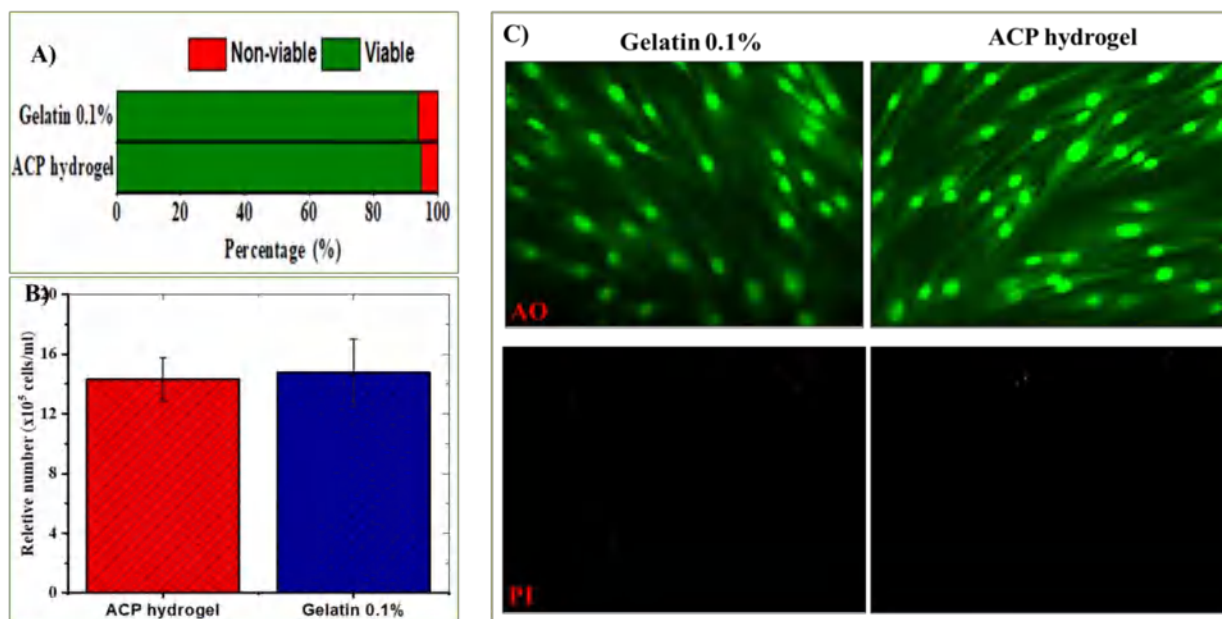


Figure 4.9: The cell biocompatibility of ACP hydrogel with fibroblast cells, BJ (ATCC® CRL-2522™).

A) Cell viability was measured with live/dead assay and B) the quantification of cell number was determined by the Trypan Blue technique. D) The live/dead assay image via dual staining AO/PI after 2 days of culture. Scale bar: 100 μ m. Gelatin 0.1 wt% was used as a control.

4.7 The ability of the ACP hydrogel as a delivery platform for fibroblast cell transplantation

The transplantation of scaffolds containing cells represents a promising approach for regenerating tissues that have sustained damage from injuries or diseases[40]. In treating irreversible tissue damage and functional impairments, cells are typically sourced from biopsied tissue samples taken from the patient's body. These cells are then cultured externally, expanded, and subsequently transplanted, either independently or in conjunction with biomaterials, to facilitate tissue regeneration at the site of injury [45, 51, 75]. To prove the well-designed tissue-specific scaffolding template, fibroblast cells were encapsulated inside the ACP hydrogel matrix. After 48h culture, the viabilities of fibroblast cells were around $96.7 \pm 3.5\%$, estimated by the number of green cells over red cells. For long-term culture, above 90% of fibroblast cells were primarily stained green which demonstrates that most of the cells are viable. In other words, this indicates that the cells were grown in good culture conditions. After 24 h incubation, BJ cell adhered to the wall of ACP hydrogel proliferated and extended within the ACP scaffolds after 120 hours. The growth of fibroblast cells increased rapidly and created a layered network saturating the hydrogel matrix after 168 hours (**Fig 4.10**). Furthermore, the morphology of fibroblast cells culturing inside ACP hydrogel was elongated, which is rarely seen under 3D culture conditions[40]. The elongation of fibroblast culturing

inside hydrogel has been reported with a hydrogel system forming with biological cues such as alginate hydrogel modified with RGD peptide [41] or a hydrogel system forming with ECM derivative materials such as gelatin [52] or collagen[50]. In this study, the number of elongated fibroblast cells shown at 120 hours and 168 hours was higher compared to the established 3D hydrogel in these studies, confirming that ACP hydrogel mimicked the ECM structure.

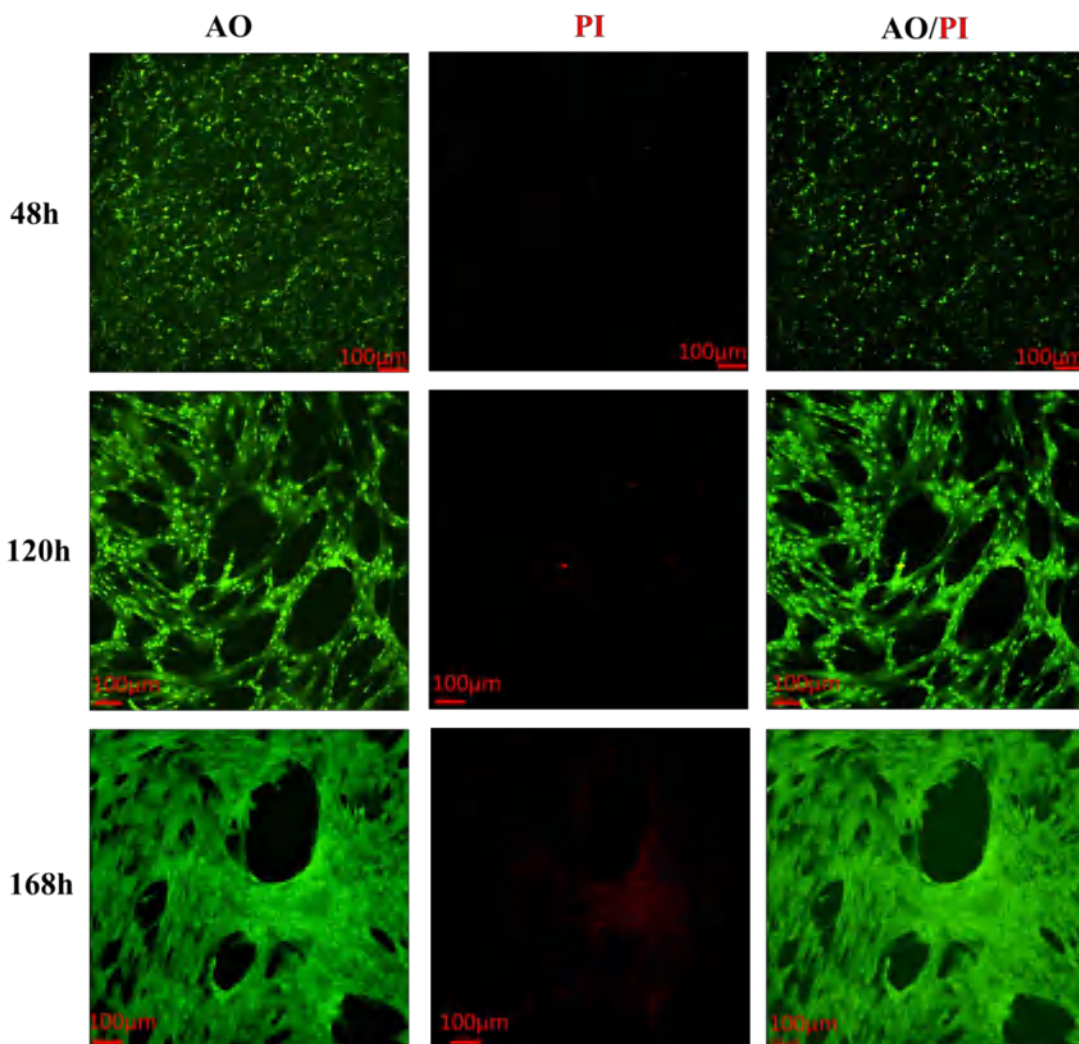


Figure 4.10: The live/dead image of BJ cells after seeding into ACP hydrogel. Human fibroblast encapsulated in ACP hydrogel at 48 h, 120 h, and 168 h. Cells were treated with dual AO/PI. Scale bar: 100µm.

A migration assay was performed to prove that the function of fibroblast cells encapsulated in ACP hydrogel has remained. After 48 hours of incubation, Z-stack imaging exposed the appearance of single cells at the edging ACP cluster (**Fig 4.11A**) and even more pronounced after 120 h (**Fig 4.11B**). The outgrowth cells from ACP clusters seemed to be elongated and even longer spindle-like cells after releasing from a cluster. Further, the outgrowth cells established the confluent cell layer on the surface of the culture dish at the 5th-day culture. Upon this observation, this could confirm that the ACP scaffolds provided a favorable

microenvironment for cell adhesion, spreading, and proliferation. Therefore, our ACP hydrogel might be applied for tissue engineering with cells as the main composition [277].

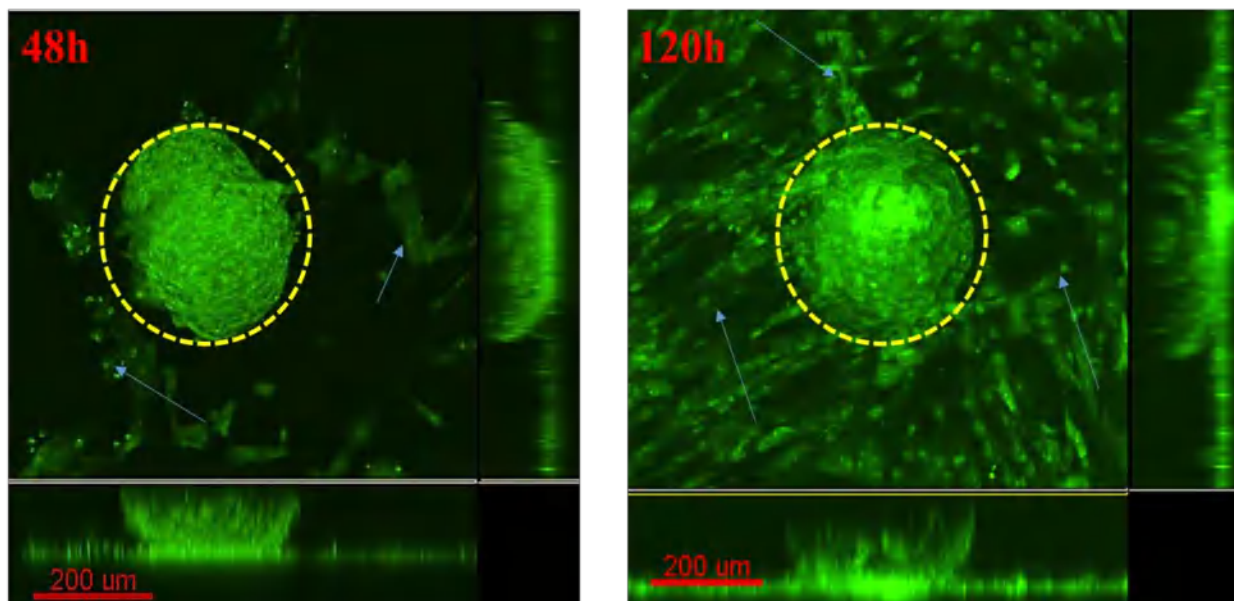


Figure 4.11: The migration of BJ cells from the ACP hydrogel cluster after 48 hours and 120 hours of culture.

Z-stack fluorescence images of cells migrating out of an encapsulated hydrogel droplet (20 μ l) show dual AO/PI staining. A squared dot circle shows the formation of the ACP cluster, and blue arrows identify the outgrowth cell from the ACP hydrogel.

4.8 The ability of the resultant hydrogel in dual active compound incorporation

4.8.1 The reason for selection

Nitric oxide (NO) is a gaseous free radical and natural gasotransmitter that plays a crucial role in wound healing [171, 186, 187, 279]. It is produced from the endogenous amino acid L-arginine (L-Arg) through the catalysis of NO synthase (NOS) enzymes[29, 166-168]. NO functions as a mediator in wound healing and possesses broad-spectrum antibacterial properties[186]. Its actions include regulating cytokines to initiate the inflammation process, recruiting immune cells to combat microbial infections, and promoting tissue remodeling. Importantly, NO does not induce drug resistance[166]. Studies have shown that the topical application of gaseous NO or artificial NO donors, such as S-nitrosothiols, metal NO complexes, bis-N-nitroso compounds, N-diazeniumdiolates, and Roussin's black salt, can enhance wound healing while preventing bacterial infections [187]. Combining these artificial NO donors with an effective wound dressing system has demonstrated promising potential in wound treatment, serving as a biosafety barrier and facilitating the healing process.[279] For chronic wound, the addition of L-arginine is required to maintaining the immune status[170, 279]. A deficiency in extracellular L-arginine can contribute to the suppression of immune

functions, resulting in reduced proliferation of immune cells and diminished production of nitric oxide (NO), which can lead to delay the wound healing process[187]. However, the stimulated macrophage cells during the inflammatory stage induced the massive amount of O^{2-} , which can easily react with iNOS derived NO and form highly reactive ONOO⁻ mediated tissue injury[169, 195]. Therefore, to take the advance of L-arginine therapy in wound healing, the sides of this problematic should be solved.

In order to address the challenges association with L-arginine, the extensive research conducted on polyphenols and antioxidants has consistently shown their ability to prevent endothelial dysfunction caused by oxidative stress[280, 281]. This is primarily achieved through the reduction of cell damage mediated by free radicals. Regulating inflammation is important in reducing the oxidative stress within the wound environment, which in turn aids in the absorption of proliferative drugs by the wound[215, 282, 283]. Among drug/compounds with potential application in wound healing, polyphenol compounds has a lot of interested due to their biological function which can help to regulate the inflammation phages as well as remodeling phages[165, 213, 215]. Resveratrol, a non-flavonoid polyphenol compound derived from plants like grapes, possesses potent antioxidant, anti-inflammatory, and anti-tumor properties[180, 213-215, 220, 282]. Research has demonstrated that Resveratrol promotes angiogenesis and suppresses inflammation in the healing of skin wounds[180]. Also, Resveratrol has been found to inhibit the inflammatory characteristics of macrophages and decrease the secretion of associated inflammatory factors[185, 199]. In addition, Resveratrol increases the production of nitric oxide (NO) in endothelial cells by upregulating the expression of endothelial NO synthase (eNOS), stimulating eNOS enzymatic activity, and preventing eNOS uncoupling[199, 211, 212]. Therefore, the combination of L-arginine and Resveratrol would produce a dual functionality scaffold, where Resveratrol acts as a strong anti-oxidative agent, while L-arginine forms an exogenous substrate for eNOS activity.

4.8.2 Optimization the concentration of L-arginine and resversatrol

ACP hydrogel encapsulated with L-arginine (A-ACP hydrogel) or Resveratrol (R-ACP hydrogel) alone or both (AR-ACP hydrogel) was fabricated by the solid dispersion method with the help of ball milling method. The maximum loading capacity of ACP hydrogel to Resveratrol was 22.12 ± 1.26 %. Further, the cytotoxic of ACP hydrogel with maximum concentration of Resveratrol was non-toxic to fibroblast cells (**Fig. A2, Appendix**). In addition, the addition of Resveratrol was intolerance to sol-gel transition temperature of ACP hydrogel. Therefore, ACP hydrogel was designed with Resveratrol at the concentration of 50 and of 100 μ g/mg of ACP polymer as R10-ACP and R20-ACP hydrogel, respectively. For L-

arginine loading system, various amount of L-arginine (50-200 $\mu\text{g}/\text{mL}$) had been manufactured. However, A-ACP hydrogel induced the cytotoxic to fibroblast cells in a dose-dependent manner. The density as well as the morphology of the fibroblast cells cultured on ACP hydrogel with L-arginine concentration of 50 $\mu\text{g}/\text{mL}$ or 100 $\mu\text{g}/\text{mL}$ were almost identical to the control sample (**Fig A2, Appendix**). When the concentration of L-arginine in ACP hydrogel was increased to 150 $\mu\text{g}/\text{mL}$, fibroblast cells showed characteristic changes in morphology, which was similar to the senescence morphology of fibroblast cells induced with oxidative stress [278]. In addition, a massive apoptotic signal was observed. The shrunken and rounded cells with significant cytotoxicity were detected on ACP hydrogel with the highest concentration of L-arginine (200 $\mu\text{g}/\text{mL}$). In addition, the addition of A-ACP hydrogel showed the change in the pH value of culture media as the function of L-arginine. The pH value was 7.2 -7.4 when the concentration of L-arginine in ACP was 50 $\mu\text{g}/\text{mL}$ or 100 $\mu\text{g}/\text{mL}$. The higher alkaline environment ($\text{pH} > 8.0$) was recorded with ACP hydrogel containing 150 $\mu\text{g}/\text{mL}$ or more. It is well-known the impact of the pH environment of dressing on the wound healing process [279, 280]. The alkaline environments decelerate cell migration, causing prolonged healing time, especially on the wound of hard-to-heal wounds such as diabetic wounds [279]. From the preliminary investigation, the concentration of arginine in all hydrogel dressings was 100 $\mu\text{g}/\text{mL}$.

The dual loading systems, denoted as AR10-ACP hydrogel and AR20-ACP hydrogel, were fabricated via the pre-determined loading concentration. In difference, in a single loading system, the color of the resultant ACP solution was shifted to a dark brown color (**Fig 4.12A**). The retention time of L-arginine and Resveratrol in a dual system was identical to a single one on the HPLC chromatogram (**Fig A3, Appendix**), confirming that there was no chemical reaction between L-arginine and Resveratrol. From some of the literature reviews about Resveratrol [282] as well as arginine [283, 284], the change in the color of the dual system might be due to the hydrogen bond between the methylene bridge in Resveratrol and guanidine group of arginine. Notably, all the extracted hydrogels did not induce any toxicity to fibroblast cells (**Fig A4, Appendix**). The density as well as the morphology of fibroblast was similar to the control sample. Therefore, it could be concluded that the concentration of these biological cues could be used for further study.

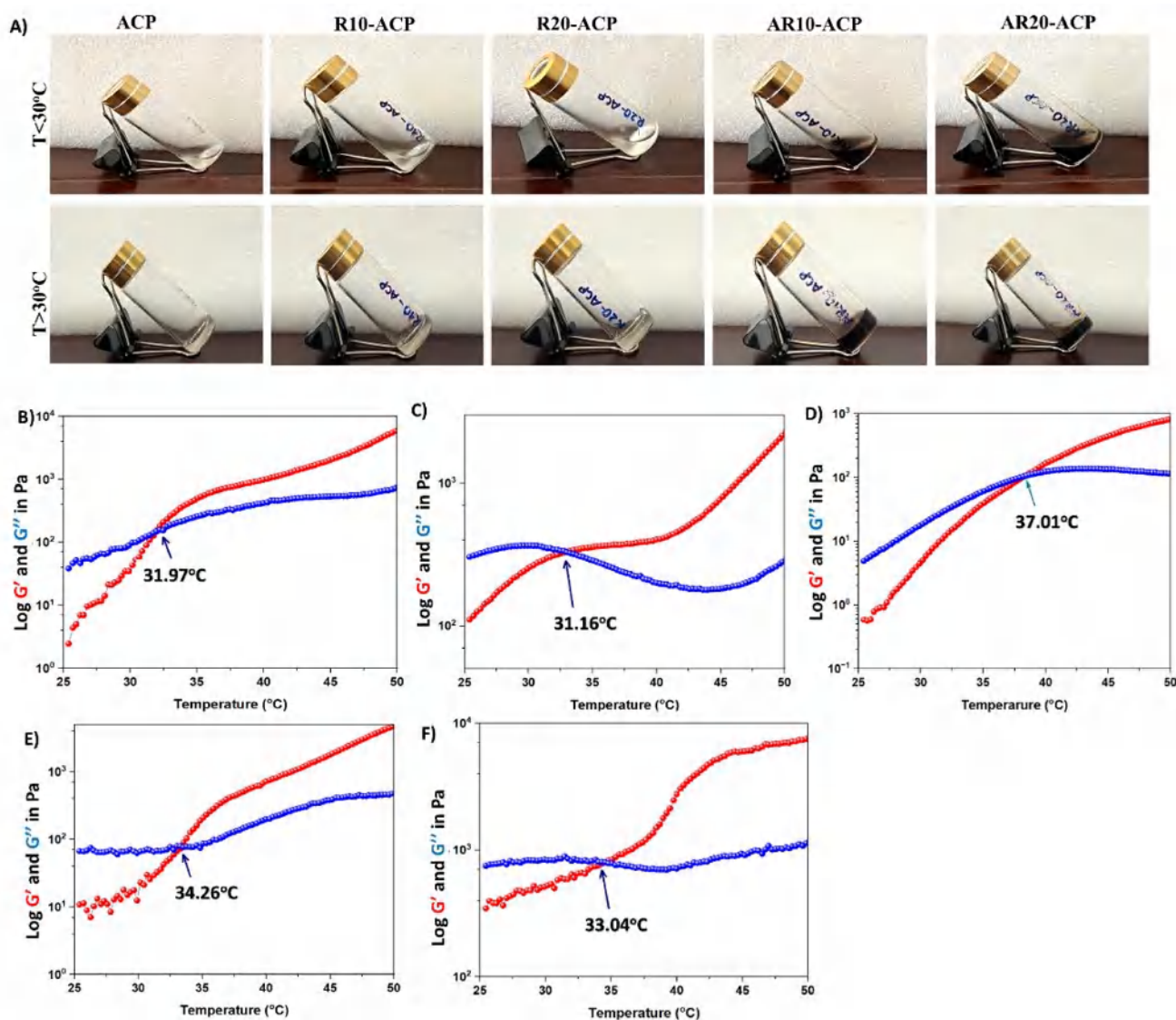


Figure 4.12: The effect of the biological cues (*L*-arginine and resveratrol) on the sol-gel transition of ACP hydrogel.

A) Photographs showing reversible sol–gel transition behavior of ACP hydrogel with different loading agents in ACP hydrogel and its rheological study under oscillation temperature ramp (strain =1% and frequency = 10rad/s): B) A-ACP hydrogel; C) R10- ACP hydrogel; D) R20 - ACP hydrogel; E) AR10 – ACP hydrogel; F) AR20 – ACP hydrogel.

4.8.3 Characterization of thermal behavior of AR-ACP hydrogel

In term of thermal gelling behavior, AR10-ACP hydrogel and AR20- ACP hydrogel showed sol-gel transition when the temperature surpassed 30°C and conversion to flowable sol upon cooling (**Fig. 4.12A**). This result was also authenticated by rheological behavior (**Fig 4.12 B-F**). However, the biological cues expressed the impact on the rheological property of ACP hydrogel. The incorporation of Resveratrol reduced the critical sol-gel transition temperature.

The gel–sol transition was shifted from 34.83 °C (Fig 4.12B) to 31.97°C (Fig 4.12B) when the amount of Resveratrol in ACP was 50 µg/mg. This pattern was clearly confirmed with ACP hydrogel containing a higher amount of Resveratrol (**Fig 4.12C**). In addition, the storage modulus was drastically increased following the incorporation of Resveratrol as similar as hydrogel encapsulating hydrophobic molecules [29, 72, 281]. Increased storage moduli in the liquid phase and reduced gelation temperatures as Resveratrol concentration rises imply a possible decrease in the distance between the clusters of the aggregated PPO segments due to the multiple hydrophobic sites [72, 281]. In contrast, the incorporation of L-arginine induced a higher gelation temperature (**Fig 4.12D**). L-arginine is a hydrophilic and positively-charged amino acid [166, 282, 283]. L-arginine causes the electrostatic interaction with the carboxylate group on alginate in the ACP copolymer. The reduction in elastic moduli confirmed that the ionic strength was strong enough to suppress temperature-dependent solubility of PPO in water [281]. Therefore, a higher temperature was required for the sol-gel transition. Intriguingly, the modeling behavior of ACP hydrogel in a dual-loading system was adjusted by L-arginine and Resveratrol. The gelation temperature R10-ACP was increased from 31.97 °C to 34.04 °C following the support of L-arginine (**Fig 4.12E**). For relatively higher Resveratrol, R20-ACP, these phenomena were similar (**Fig 4.12F**). In other words, in the presentation of Resveratrol, the PPO segments might be manageable to close-packed to overlap the hydrophilic density [72]. Adding hydrophobic Resveratrol might reduce the influence of the electrostatic interaction between alginate and L-arginine. The thermogelling behavior of A-ACP hydrogel was decreased in the suitable application.

The scanning electron microscopic image of these hydrogels is presented in **Figure 4.13A**. The porous structure of the ACP hydrogel was maintained despite adding different loading agents. Due to the difference in properties of arginine and Resveratrol, the interconnected compact morphology with different pores was observed. With the addition of L-arginine, some of the fibril bundles are exposed in the interpenetrating channel, revealing the ionic coordination bonds between the alginate backbone and L-arginine. In the case of Resveratrol, the internal arrangements of the ACP network remained; however, there was a more compact porous structure than that of the pure one. This may result from the addition of the hydrophobic intermolecular fashion of Resveratrol and the hydrophobic zone of the ACP hydrogel network, consequently causing the tighter cross-linking between these micelles.

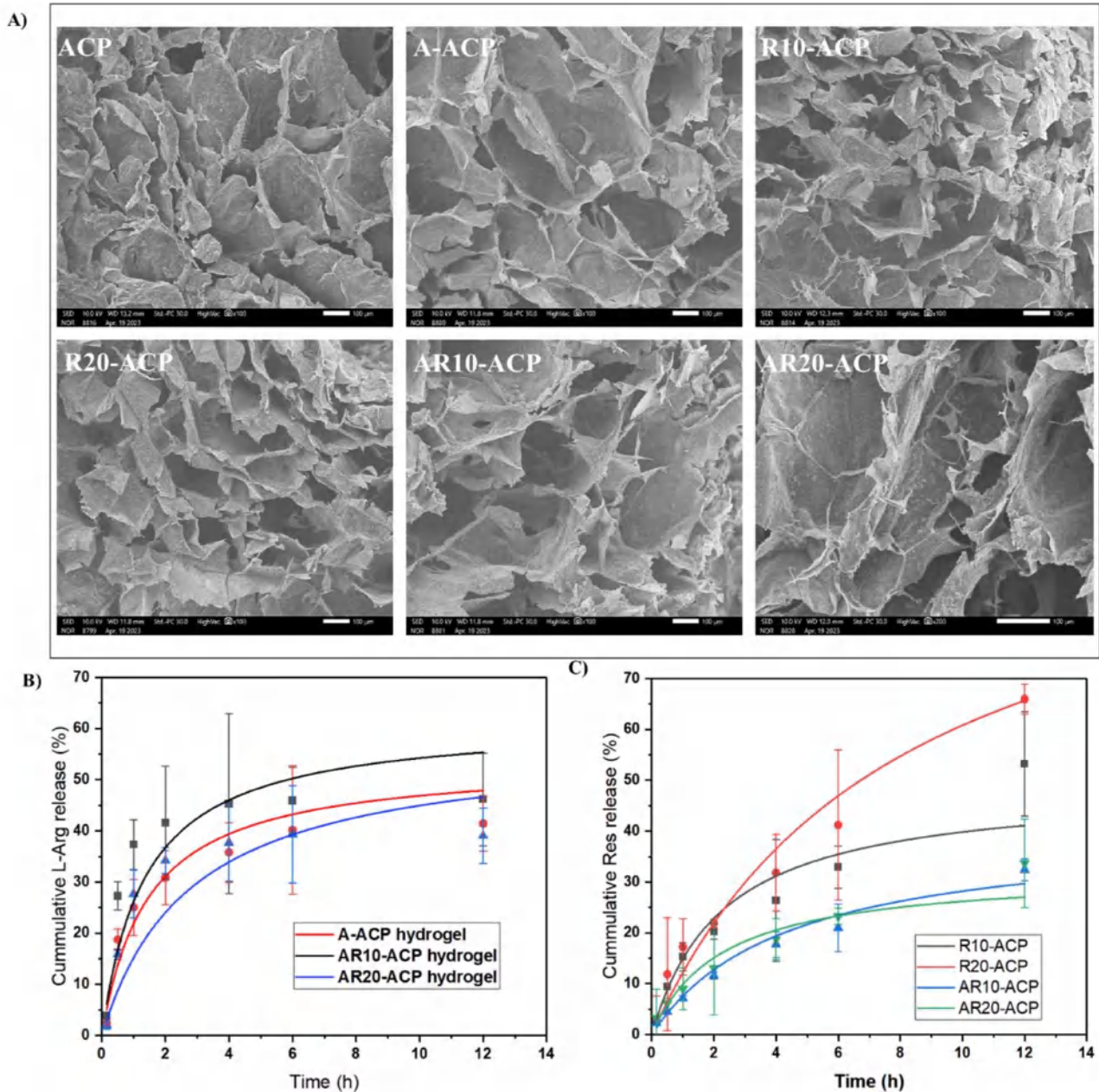


Figure 4.13: Characterisation of ACP hydrogel with the biological cues: Morphology and release pattern.

A) The morphology of these hydrogel was obtained by SEM techniques with magnification = 100, SED = 10KeV. The release profiles of B) arginine and C) Resveratrol from ACP hydrogel was monitored during the first 12h. Data was presented as mean \pm SD (n=4).

4.8.4 The release behavior of L-arginine and Resveratrol from AR-ACP hydrogel

The release profiles of both arginine and Resveratrol were extensively investigated (**Fig. 4.13 B-C**). The kinetic released models for drug release from the polymeric matrix [284, 285] such as zero order, first order, Higuchi, Hixson-Crowell, Korsmeyer-Peppas and its modified form with time lag were presented in **Table A1 (Appendix)**. Both arginine and Resveratrol had a

comparatively rapid release in the first 2 hours and then showed a sustained release. As shown in **Table A1**, the modified Korsmeyer-Peppas has a greater potential to be employed as a predictive model for Arginine from ACP hydrogel. Of note, L-arginine is hydrophilic molecules. L-arginine molecules are preferred to locate at or near the hydrogel surface. Therefore, about 30-40% arginine was leaked from the ACP system during 2 hours of immersion. After burst release, ACP with arginine showed a sustained pattern after that. The introduction of L-arginine induced more coordinative bonds due to the protonation of L-arginine and a deprotonating carboxylic group on ACP leading to control amount of L-arginine diffusing in the network. Similar, the arginine from the dual loading system, modified Korsmeyer-Peppas was outperformed all examined models. The use of Resveratrol along with L-arginine did not influence the initial release time (t_{lag} for A-ACP, AR10-ACP, and AR20-ACP were 0.23 hours, 0.26 hours, and 0.24 hours, respectively). The diffusional exponent n was below 0.45 in all cases, confirming the L-arginine release mechanism's similarity. Interestingly, the kinetic coefficient k value was much lower for arginine from the dual system as compared to the single system, confirming the influence of Resveratrol in decelerating L-arginine release. For Resveratrol system, Zero-order and Korsmeyer-Peppas models and its modified Korsmeyer-Peppas models appeared to trend with the experimental data. By comparing the Akaike Information Criterion (AIC) value, Zero-order and Korsmeyer-Peppas models were the preferred models. Because all the diffusional exponent n for R10-ACP and R20-ACP were in the range 0.43–0.85, the release of Resveratrol was influenced by both diffusion and swollen matrix [284]. The drug release models to describe Resveratrol release behavior from dual loading systems, AR10-ACP, and AR20-ACP, were similar to R10-ACP and R20-ACP hydrogel. Also, the diffusional exponent suggested that Resveratrol release from a variety of dual systems relies on non-Fickian diffusion ($0.43 < n < 0.85$) [285]. However, the transport constants (K) were much higher for a single Resveratrol loading system than for Resveratrol from a dual system. This phenomenon was similar to the release of L-arginine from a dual system. For the R-ACP system, the density of the hydrophobic zone in the ACP hydrogel increased following the encapsulation of Resveratrol [113]. After immersion in a release buffer, water diffused into the network, causing the hydration of these zones; consequently, Resveratrol could easily escape [72]. The support of L-arginine in the microstructure might provide more of a cage for Resveratrol. Therefore, the release profiles of Resveratrol in the dual system have been adjusted suitably.

4.8.5 The synergic of L-arginine and Resveratrol dual loading ACP hydrogel in anti-oxidation activity

4.8.5.1 L-arginine controls the scavenging rate of Resveratrol in the manner way

The 1,1-diphenyl-2-picrylhydrazine (DPPH) radical assay was first carried out to estimate the antioxidant properties of hydrogels from the adsorption peak of 517 nm. Due to the participation of sulphhydryl molecules in the ACP copolymer structure, which are effective free radical scavengers [290, 291], the resultant ACP hydrogels exhibited promising antioxidant capacity. It was found that the addition of Resveratrol or L-arginine displayed more remarkable scavenging capacity than those native ACP hydrogels (Fig. 4.14A-B). Besides, a deep purple color of pure DPPH (0.5mM) vanished following the increase of incubation time (**Fig. 4.14A**) with the loading system, revealing the enhanced antioxidant property as a result of the compound release. For 4 hours of incubation, all types of ACP hydrogel exposed the ability to cancel the radical agent of DPPH with a similar rate (all $p < 0.05$). The scavenging function of loading factors was evident after 8 hours. Hydrogel with Resveratrol showed an excellent DPPH clearance rate compared to others. The combination of Resveratrol and arginine seemed to be antagonistic; $22.38 \pm 3.49\%$ or $26.55 \pm 3.96\%$ of DPPH radical was scavenged by R10-ACP hydrogel or R20-ACP at 8 hours. However, with the addition of L-arginine, the scavenging rate was nearly two orders of magnitude lower than that of the single system ($p = 0.00248$, R10-ACP vs AR10-ACP; $p = 0.00493$, R20-ACP vs AR20-ACP). During 24 hours, ACP with Resveratrol removes over 50% of radicals in the solution, while the AR system removes about 40% of DPPH. However, the effective scavenging activity of AR-ACP hydrogel and R-ACP hydrogel was comparable after 96 hours of incubation ($p = 0.11688$, R10-ACP vs AR10-ACP; $p = 0.62544$, R20-ACP vs AR20-ACP). In addition, the scavenging abilities against DPPH radicals of ACP hydrogel with L-arginine were increased over time. In the first 8h incubation, the DPPH scavenging efficiency of A-ACP hydrogel was identical to that of native ACP hydrogel (all $p > 0.05$). The antioxidant response to prevent radical agents of L-arginine in A-ACP hydrogel was observed at 24 hours ($p = 0.00322$, A-ACP vs control). Therefore, arginine does not block the effect of Resveratrol in removing radicals. According to the release pattern of Resveratrol in the dual loading system, the reduction in DPPH scavenging capacity of AR-ACP hydrogels could be explained. Many studies demonstrate the importance of radical agents in wound healing [128-129]. On the one hand, a low amount of radical agents aid in defence of wounds against microbial infections and promotes vascularization by activating multiple cellular signalling pathways [127]. On the other hand, the extensive production of ROS in diabetic patients impedes the wound healing process by blocking the normal physiological metabolism [169, 170, 184, 185]. Failure to perform radical detoxification in a timely manner can often result in the wound becoming non-healing and

chronic, thereby posing significant challenges for treatment. [10-21]. Therefore, the combination between L-arginine and Resveratrol provided an effective radical detoxifying strategy.

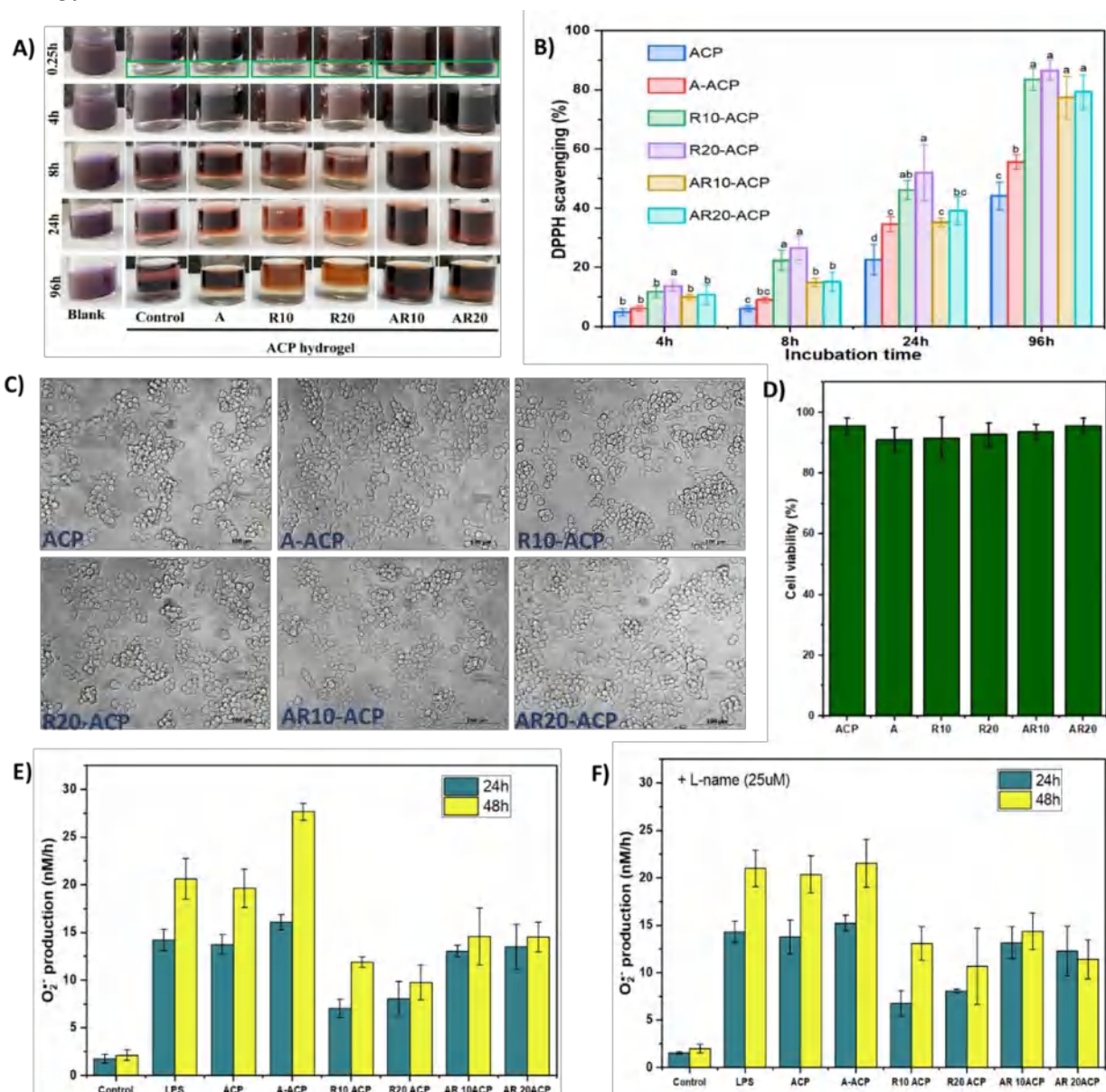


Figure 4.14: Characterisation of the ROS scavenging capacity of ACP hydrogel with biological cues: DPPH assay and superoxide anion.

A) Photographs of DPPH (0.5 mM) adding into various ACP hydrogels at different time points (green box indicated hydrogel layer). B) The percentage free DPPH radical scavenged by ACP hydrogels as compared to non-treated sample (bars with different letters of alphabets have values that are statistically significant ($p < 0.05$)). Superoxide anion ($O_2^{\cdot-}$) was investigate with Raw 264.7 cells. C) Morphology and D) the viability of Raw 264.7 cells were first accessed. $O_2^{\cdot-}$ production in RAW 264.7 cells treating with ACP hydrogels in two time points: 24 hours and 48h E) without NOS inhibitors and F) with NOS inhibitors (L-name). Data was presented

as mean \pm SD (n=5). ACP blank (control), A (A-ACP), R10 (R10-ACP), R20 (R20-ACP), AR10 (AR10-ACP), AR20 (AR20-ACP).

4.8.5.2 Resveratrol prevents the generation of $O^{2\cdot-}$ production in the active immune cells.

$O^{2\cdot-}$ is the first ROS produced by macrophages upon contact with various activating stimuli (e.g., LPS, cytokines, growth factors, and fragments of bacterial membranes) [170, 184, 198]. Therefore, to verify whether the designed hydrogel could have the ability to eliminate intracellular ROS activity, the consumption of $O^{2\cdot-}$ production in stimulated Raw 264.7 cells was performed. Before the assay, the toxic profile induced by all ACP samples on Raw 264.7 cells was assessed (**Fig. 4.14 C-D**). During the 48h incubation of ACP or on ACP hydrogel with a loading agent, the density of Raw 264.7 cells was very high (**Fig. 4.14C**). Over 90% of cells were alive, as estimated by MTT assay (**Fig. 4.14D**), suggesting that all ACP samples were not toxic to cells after 48h of incubation. The cytochrome c reduction assay was used to assess the level of $O^{2\cdot-}$ production. The $O^{2\cdot-}$ formation was very high in the stimulated macrophage cells (**Fig. 4.14E**). After 24h of LPS stimulation, macrophages produced relatively high amounts of $O^{2\cdot-}$ production ($14.2 \pm 1.1\text{nM/h}$), about eight times higher than that of unstimulated cells ($p < 0.05$), which then increased up to $20.6 \pm 2.2\text{nM/h}$ at 48 hours ($p < 0.01$). The effect of the native ACP hydrogel on the production of $O^{2\cdot-}$ could be ignored due to indistinguishable values compared to control LPS. At the same time, other ACP samples induced strong effects on the synthesis of this radical. According to **Figure 4E**, there was a massive increase in $O^{2\cdot-}$ production in the stimulated cells incubated with A-ACP hydrogel, from $16.1 \pm 0.8 \text{ nM/h}$ at 24 hours h to $27.7 \pm 0.9\text{nM/h}$ at 48 hours, relative to 134.3% in LPS-stimulated cells ($p < 0.05$). In contrast, ACP hydrogel with Resveratrol leads to a marked reduction of $O^{2\cdot-}$ production. The concentration of $O^{2\cdot-}$ in both R10-ACP hydrogel and R20-ACP hydrogel was halved over A-ACP hydrogel ($p < 0.05$) or stimulated cells ($p < 0.05$) after 24 hours. Interestingly, the longer culture time did not seem to affect the level of $O^{2\cdot-}$ in the case of ACP hydrogel supplemented with Resveratrol ($p > 0.05$). Presumably, as an effect of Resveratrol, dual AR-ACP hydrogels suppressed the amount of $O^{2\cdot-}$ compared to single L-arginine-loaded ACP hydrogels only. From 24 to 48 hours, the amount of $O^{2\cdot-}$ in the cell media containing AR10-ACP hydrogel or AR20-ACP hydrogel was maintained at around 14–15nM/h. To further define the importance of Resveratrol in the depletion of superoxide anion production in bacterial endotoxin-stimulated macrophages cultured with the A-hydrogel, the analog of L-arginine (L-NAME)- known as NOS inhibitor [197] was added to the culture medium (**Fig. 4.14F**). We found that the treatment of RAW 264.7 cells with L- NAME resulted in incomparable changes in $O^{2\cdot-}$ formation in the case of LPS-stimulated cells, R-ACP hydrogels, or ACP hydrogels, except for the ACP hydrogel containing L-arginine. NOS

inhibitors administered with A-ACP hydrogel did not affect $O^{2\bullet-}$ production within the first 24 hours of incubation. However, after 48h of incubation, more than 22% of $O^{2\bullet-}$ production was blocked when NOS inhibitors were used along with A-ACP hydrogel. This result confirmed the problem of the utilization of L-arginine in wound healing[194]. Importantly, NOS inhibitors did not influence the concentration of $O^{2\bullet-}$ from AR-ACP hydrogel groups. The $O^{2\bullet-}$ production level was in the range of 14–15 nM/h, similar to the levels shown with the non-inhibitor supplemented in the medium. The results suggested that adding Resveratrol could help control the extracellular ROS induced by extracellular L-arginine availability in treatment.

4.8.5.3 Resveratrol helps to prolong the stability of nitric oxide generated from L-arginine in oxidative stress.

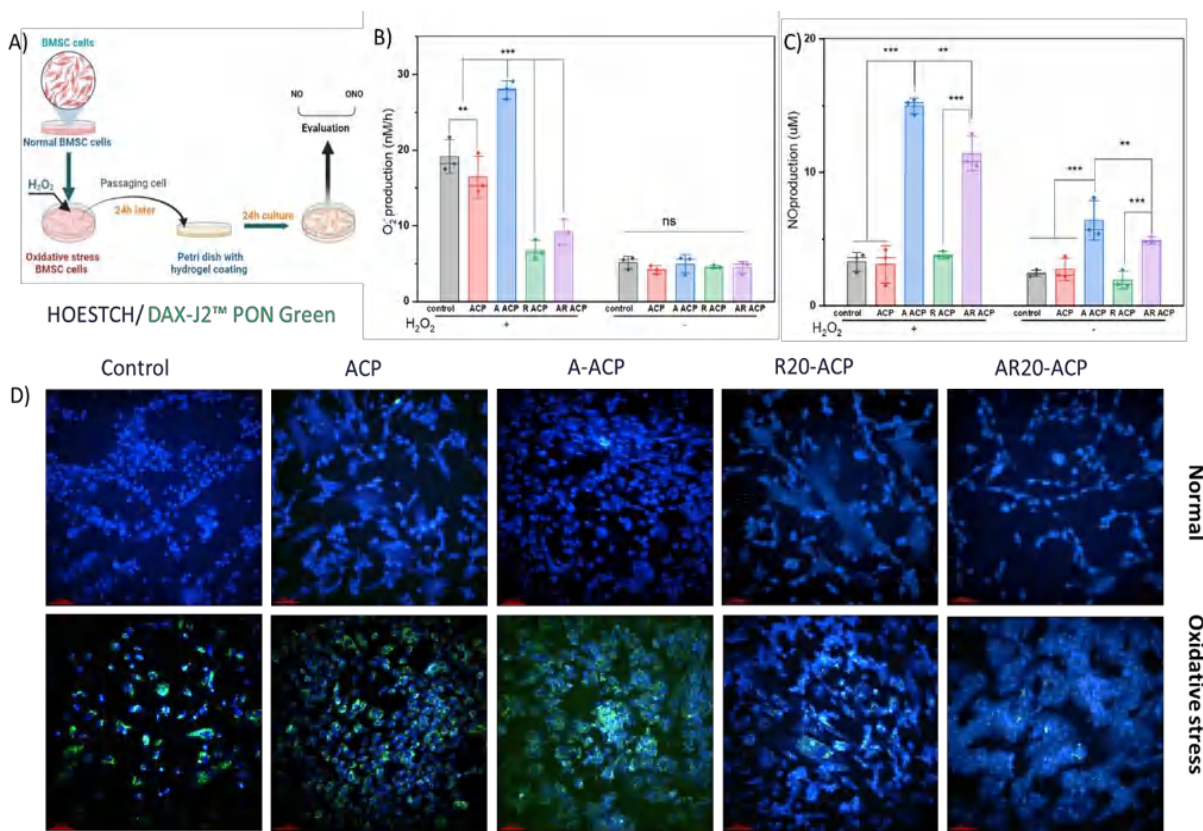


Figure 4.15: The effect of an ACP hydrogel with dual biological cues on the oxidative stress cells model of H_2O_2 -induced oxidative.

A) The illustration of the cell model. B) The concentration of $O^{2\bullet-}$ and C) NO release from normal and oxidative stress cells with different treatments after 24h culture (n = 3 individual experiments). D) The cells stained with DAX-J₂ PON (Green) to peroxynitrite (ONOO⁻) and Hoestch (Blue) to Nucleus. Data are expressed as mean ± SEM. Significant differences were detected by one-way ANOVA with Tukey's multiple comparisons test, *p<0.05, **p<0.01, ***p<0.001.

Further, the cellular models of oxidative stress were established to support evidence about the synergy of L-arginine and Resveratrol in the anti-oxidative process. The BMSC cells were treated with a strong oxidant (H_2O_2) for 24 hours to treat oxidative stress in BMSC cells (**Fig. 4.15A**). After subculturing established cells, O^{2-} was overproduced in stress cells ($p > 0.05$) (**Fig. 4.15B**). Consistent with DPPH results, the formation of O^{2-} was gradually weakened compared to non-treated stress cells ($p = 0.0023$). Like LPS-activating macrophage cells treated with A-ACP hydrogels, the intracellular O^{2-} level was significantly increased in the oxidative stress cells (28.1 ± 2.2 nM/h). Replacing L-arginine as Resveratrol in ACP hydrogel was proven to be an effective strategy to eliminate massive ROS from stress cells. Also, the use of Resveratrol and L-arginine in the combined therapy hydrogel had a prominent effect similar to that of Resveratrol single loading hydrogels. The concentration of endogenous NO released from BMSC cells was monitored (**Fig. 4.15C**). Despite evidence of the stimulation of Resveratrol in response to endothelial NO synthase (eNOS)[211], the endogenous NO release from oxidative stress sBMSC treated with R-ACP hydrogel was comparable to that of non-treated cells or to bare ACP hydrogel. The nitric oxide (NO) level was only dominated in the cells culturing on the hydrogel with L-arginine (**Fig. 4.15C**). In the normal cell condition, NO was also strongly generated from the cells seeded on A-ACP or AR-ACP hydrogel surfaces (all $p < 0.05$) compared to other types, revealing the function of arginine as a nitric oxide donor compound. However, if NO is present nearby, the production of O^{2-} could combine with NO to form peroxynitrite (ONOO^-) - a strong and short-lived oxidant that plays a critical role for host defense [183, 185]. The massive amount of ONOO^- would delay the healing time, though, due to the prolonged inflammatory phase time [28]. Therefore, the fluorescent probe of peroxynitrite (DAX-J2 PON (Green)) was used to monitor the presentation of ONOO^- in the cytoplasm of BMSC cells. For the normal BMSC cells, the signal of the peroxynitrite probe was absent in all treated samples (**Fig. 3.15D**). However, in the oxidative stress cells, the green signal was detected inside the cytoplasm of almost all cells. The cell model cultured on native ACP form did not show a decrease in the density of cells with green fluorescent compared to non-treated. With the support of L-arginine, the ONOO^- signals were strongly displayed, confirming the concern of L-arginine therapy. Interestingly, the green fluorescence was significantly diminished upon treatment with hydrogels containing Resveratrol. Only slight ONOO^- generation was found for R-ACP hydrogels, which could be attributed to the reactions with endogenous NO or O^{2-} . Taking the example of Resveratrol, despite the high amount of NO liberation from cells treated with AR-ACP hydrogel, intracellular ONOO^- generation was very minimal. In other words, the antioxidant capacity of Resveratrol on suppressing intracellular ONOO^- generation can help to maintain the NO concentration that would benefit angiogenesis and tissue repair during the wound healing process.

4.8.6 Hemolysis property, skin irritation tests, and antibacterial activity of AR-ACP hydrogels

Along with cell biocompatibility, haemocompatibility is another essential factor for biomaterials used in wound healing [286]. The behavior of red blood cells in different treated trials (saline - negative control, triton X-100 - positive control, bare ACP hydrogel, and AR-ACP hydrogel) is displayed in **Figure 4.16A**. The color of the supernatant in the hydrogel was clear, transparent with blood coagulation, and comparable to the negative control. The hemolysis ratios of all hydrogel groups were lower than 5% (**Fig. 4.16A**), revealing that ACP hydrogel systems are highly haemocompatible according to international biomaterials standards.

NO in wound healing therapy has two sides [171, 183, 186, 197]. Inadequate levels of NO can potentially result in the development of persistent wounds, particularly in individuals with diabetes, as NO plays a crucial role as a gasotransmitter in promoting the healing of wounds [169]. On the other hand, excessive NO exacerbates wound inflammation, leading to a delay in the healing process and potential skin irritation among patients [197]. Therefore, a skin irritation test for the ACP hydrogel formulation was performed on rabbits. Skin irritation, redness, or dehydration was not detected on the rabbit skin, even after 10 hours of being covered by ACP hydrogels or AR-ACP hydrogels (**Fig. 4.16B**). The calculation of the Primary Dermal Irritation Index and the Grading of Irritancy Potential [287] suggested that the ACP hydrogel or AR-ACP hydrogel had no irritation to the rabbit's skin (the index was 0). Hence, the hydrogel formulation appeared to be biocompatible, safe, and suitable for use as a wound dressing.

Given that Resveratrol and L-arginine have an intrinsic anti-bacterial activity [166, 212], the antibacterial ability of AR-ACP hydrogel was investigated via a zone of inhibition test with some common bacterial strains isolated from infected wounds (the gram-negative bacterial *P. aeruginosa*, gram-positive bacterial *S. aureus* and Methicillin-Resistant *Staphylococcus aureus* - MRSA). As shown in **Figure 4.16C-D**, the antibacterial feature of ACP hydrogel was strong and prominently effective against all tested bacterial strains. The zone of clearance for *S. aureus* was observed in the following order: AR-ACP hydrogel ~ positive control ($p=0.624$) > ACP hydrogel ($p<0.05$). The order was substantially different to *P. aeruginosa*, AR-ACP hydrogel > positive control ($p=0.0024$) ~ ACP hydrogel ($p=0.12$). For MRSA, the sensitivity of penicillin was remarkably lower than AR-ACP hydrogel or even blank ACP hydrogel. Interestingly, the efficacy against all bacterial strains with different ACP hydrogel types was similar (all $p>0.05$). To verify the antibacterial effects of the ACP hydrogel as well as its derivative form, the quantification of the bacteria incubated overnight on the surface of

hydrogels was conducted. The solid media containing bacteria was then dissolved in LB broth and incubated for 2 hours before the optical density of the bacterial suspension was measured. The relative viability of bacteria was achieved by comparing the OD value at 600 nm between non-treated and treated samples.

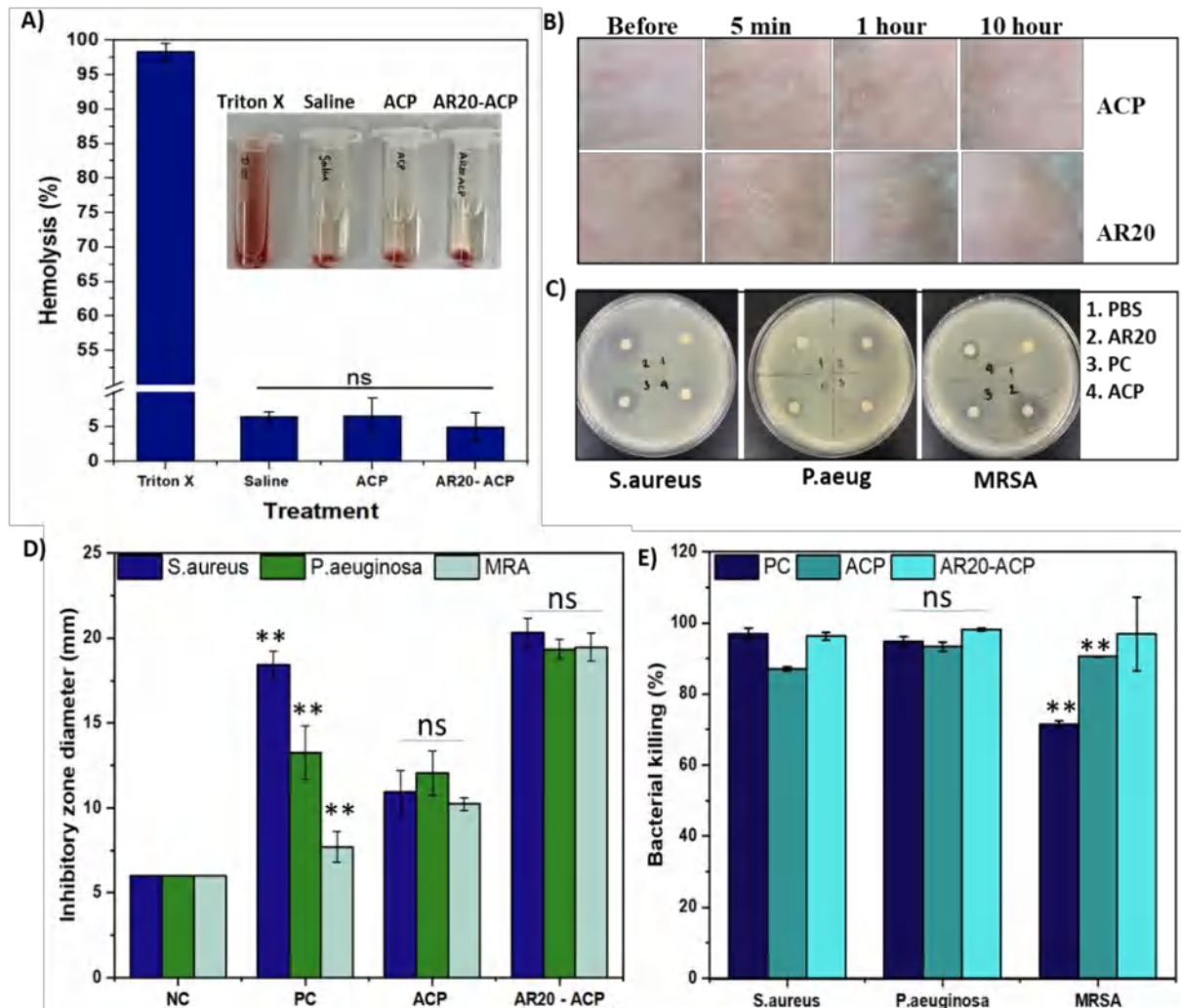


Figure 4.16: Biosafety (hemocompatibility and irritation) and anti-bacterial activity of result hydrogel.

A) Hemolysis assay with the image of blood solution in the extracted hydrogel, B) Skin image after contacting to hydrogel, C) Disk diffusion test and D) zone inhibition of the tested sample on *S.aureus*, *P.aeug* and MRSA, E) the percentage of bacterial kill after contacting the sample. PC: positive control, ACP = blank hydrogel, AR20-ACP = ACP hydrogel with both L-arginine and resveratrol, ns= non-significant, ** = $p < 0.05$.

As shown in **Figure 4.16E**, hydrogel coating agar surfaces remarkably influenced the antibacterial activity against gram-negative and gram-positive strains. The reduction in the viability of *S. aureus* and *P. aeruginosa* count by nearly 95% was similar to that of the positive control ($p > 0.05$). However, the relative viability of these cells remained above 50% for

penicillin treatment, even at the highest concentration (200 ppm). The effectiveness of ACP hydrogel against MRSA was outstanding with the loading agents. AR-ACP hydrogel reduced the number of viable MRSA cells by $96.87 \pm 0.89\%$, while a $71.53 \pm 10.33\%$ reduction was recorded with blank ACP hydrogel. The antibacterial ability of ACP hydrogel had been proven in a previous study via the performance of cystamine. However, in this study, the antibacterial activities of ACP hydrogels could be due to their stiffness. Because the solidity of ACP hydrogel was lower than that of agar and this solid stage could be easily disturbed by temperature, the adhesion of bacteria onto the surface of the plate was prevented. The antibacterial natures of L-arginine and Resveratrol have been well-established in various studies [33-35]. The release of L-arginine would increase the alkalinity of the environment, resulting in challenging conditions for the growth of bacteria. In addition, because L-arginine is positively charged, this compound might interact with the bacterial cell membrane, limiting antibacterial activity. Further, the nitric oxide released from L-arginine can quickly diffuse into bacterial cells, causing oxidative damage to the cells [33]. In addition, Resveratrol can help block the redox homeostasis of bacteria by protecting it against exogenous NO. The data demonstrated that the dual loading of L-arginine and Resveratrol in ACP hydrogel synergistically facilitated wound disinfection, leading to a sustainable wound healing effect.

4.9 In vivo diabetic wound healing performance of the functional ACP hydrogels

Encouraged by the above results, the *in vivo* performance as an ideal wound dressing based on AR-ACP hydrogel in promoting diabetic wound healing using a mouse model with induced STZ (**Fig. 4.17**) was included.

4.9.1 Evaluation of the diabetic model

Various concentrations, from 0 to 200 mg/kg, were first used in this study. As shown in **Figure 4.17 A**, the high STZ doses (over 150 mg/kg) recommended in some studies consistently achieved a diabetic state with a high mortality rate. 100% of mice died during the first week with a dose over 160mg/kg. Mice models with STZ dose at 160 mg/kg had a success rate of 80% for the first 10 days of the experiment. However, nearly 40% of mice died at this dose for a long time. According to the Kaplan–Meier plot (**Fig 4.17B**), the median survival was 17.57 ± 1.76 days in mice given 160mg/kg. The survival rate in STZ-induced diabetic mice by 140mg/kg dose was 100%. Also, the blood glucose examination in this group was significantly higher than the normal blood (the normal blood for mice is 140-160 mg/dl)[288]. To better conclude the model, the blood glucose level of mice receiving 140mg/kg STZ was examined daily.

The data showed that all mice rapidly developed diabetes after a 2-day injection of STZ at 140mg/kg, and the proportion of mice that survived with no complications was 100%. All mice experienced their first blood glucose level exceeding 250 mg/dL for 3 consecutive readings. The mice were not considered to have established our model until they were determined to be severely diabetic (>400 mg/dL). On day 7, the peak blood glucose was between 250 and 300 mg/dL in 30% of mice, 400 and 450 mg/dl in 65% of mice, and ≥ 500 mg/dl in 5%. The symptoms of hyperglycemia in the selected mice were maintained over 21 days. Therefore, a dose of 140 mg/kg STZ was chosen for study, and the wound healing process was evaluated for 21 days. The burn wound was generated in diabetic mice following the previous study [27]. Based on the examination of the damaged tissue by H&E staining, it was concluded that the wounds were third-degree burns. The burn wounds generated in diabetic mice were treated topically with AR20-ACP hydrogel by needle injection (Fig. 4.17C) on the wound, and their assistance in the wound healing process was compared to control groups, which were treated with isotonic saline and native ACP hydrogel.

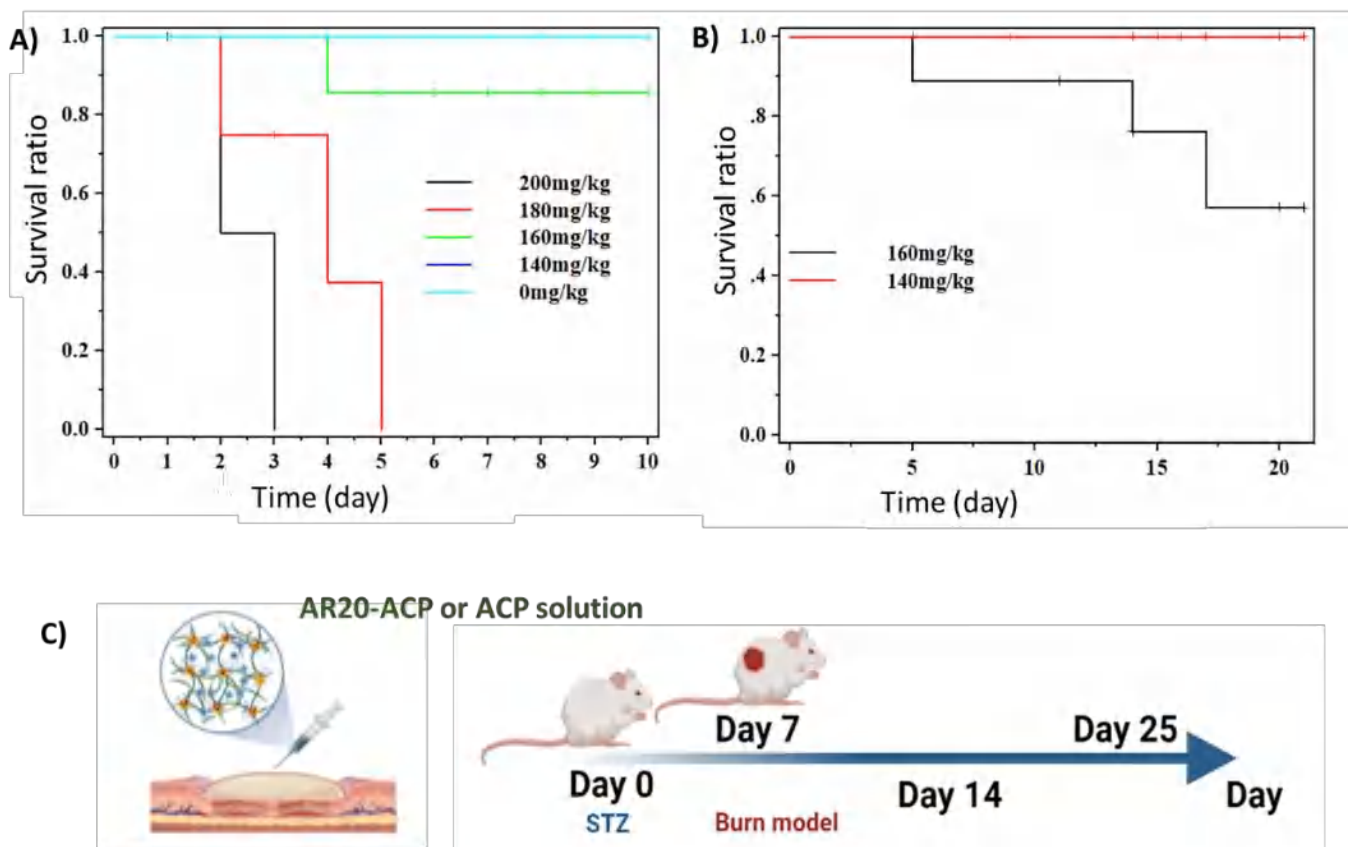


Figure 4.17: The selection of STZ dose in building diabetic mice model.

Kaplan–Meier plot for STZ admiration at different doses: A) 0mg/kg-200mg/kg and B) 140-160mg/kg. C) In vivo burn wound healing on diabetic mice model.

4.9.2 Evaluation of the wound closure

Digital photographs of the wounds in each group are shown at different time points in **Figure 4.18 A**, and the wound healing progress was determined by measuring the wound area over 14 days (**Fig. 4.18 B**).

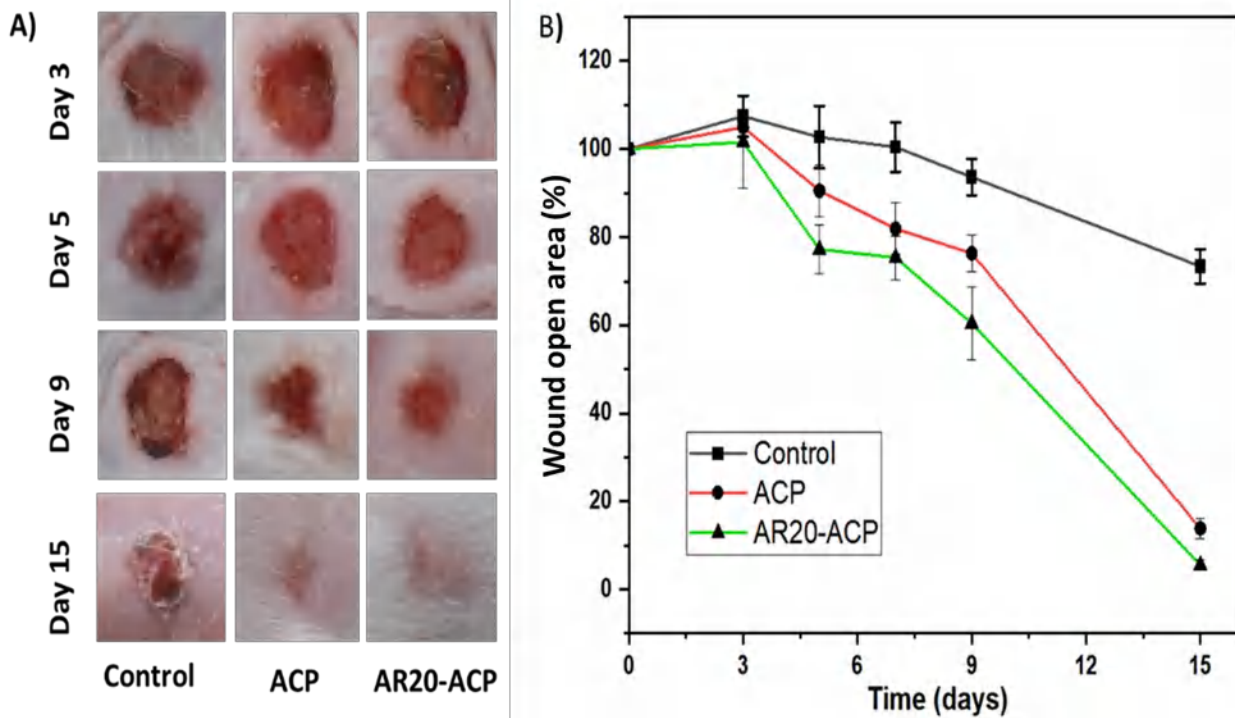


Figure 4.18: *In vivo* burn wound healing on diabetic mice model with AR20-ACP hydrogel compared to ACP hydrogel and non-treated group (control).

A) The photographs and B) the statistical analysis of wound closure ($n=3$) of the burn wounds (100mm) at the given time.

On day 3, wounds in the 3 groups demonstrated non-distinct appearances. The wound size was expanded by over 100%. The saline-treated group did not demonstrate comparable wound closure by the end of the first week. Also, the condition of wounds in saline groups was aggravated. These wounds are still red and swollen, with a small amount of bleeding after nine days. A significant difference in wound healing in this group was only identified at the end of the experiment. The wound became dry with the formation of an abnormal scab. The hydrogel-treated groups displayed extraordinary wound appearance. The phenomenon of the inflammation stage (redness and swelling) was exhibited on day 3. After 5 days of treatment, the advance of the wound dressing-based ACP hydrogel system was observed. Wounds dressed with native ACP hydrogel were kept moist, leading to a bright color on the wound surface. The wound edge started the contracted process, and the average maximum wound area exhibited a reduction of $14.43 \pm 2.35\%$ compared to the 3rd day. AR20-ACP caused changes to

both the speed and pattern of re-epithelialization, the healthy granulation tissue on the center of the wound, and the formation of a scar in the wound edge, which was readily apparent on visual inspection on day 5. In addition, AR20-ACP hydrogel exhibited great accelerated wound closure with $77.23 \pm 5.23\%$ remaining wound area. Despite the good closure rate (23.7%) on day 9, the wound was dark red in the native ACP-treated group. This phenomenon was eliminated in the case of AR20-ACP hydrogel dressing. Also, the wounds in this group exhibited significantly more contraction than their bare form of ACP-treated counterparts. However, no differences in the approximate rates of wound closure were observed among the hydrogel groups after day 15 (**Fig. 4.18C**). Thus, histological analyses were carried out to clarify the tendencies of wound healing among the different groups.

4.9.3 Evaluation of the regeneration of damaged skin

The skin biopsies for histological examination were taken on the 10th and 18th days after treatment. H&E staining revealed changes in the skin layers during the healing process (**Fig. 4.19**), while the Masson's trichrome (MT)-stained technique was involved in evaluating the remodeling process of collagen matrix (**Fig 4.20**). The enlarged images for H&E and MT were also presented in **Appendix A5** and **A6**, respectively.

On day 10, H&E staining (**Fig. 4.19A** and **A5**) showed that the re-epithelialization was discernible within the wound edges (labeled A1 and A3) in the control groups. **Figure 4.19B** showed that the epidermal thickness of the advancing epithelial tongue was 5–6-fold increase as compared to the length of epidermis in the normal skin region (labelled N). However, the centre of the wound (labelled A2) in the control groups contained large numbers of necrotic and dermal cells. In addition, neutrophil infiltration, which is representative of severe allergic reactions, as well as serious bleeding and other inflammatory cells, was observed in the wound area. Similar to controls, the administration of ACP hydrogel appeared to alter the regeneration process in the wound edges rather than that in the center of the wound, but with more incredible velocity. The change in epidermal thickness to the normal skin was smaller than in the control group ($p=0.013$). Also, the affiliated skin organs, such as hair follicles and sebaceous glands, appeared at the edge, which was missing in the control. Histological analysis indicated that only the animals treated with AR20-ACP hydrogel had fully restored the epidermis in all examined areas. The epithelial thickness was comparable to wound edges or the wound center (all $p>0.2$). Further, the epidermis of the whole wound region was fully stratified, including terminally differentiated stratum corneum, through the vast majority of the wound surface. Together with epithelial coverage formation, neovascularisation is a crucial advantage of the AR20-ACP hydrogel. The density of newly formed blood vessels was $37.12 \pm 11.4\%$ in the

wound when AR20-ACP hydrogel was applied, nearly nine times higher than that of the native ACP hydrogel group. Specifically, the angiogenesis process was shown on most wounds with AR20-ACP but occurred only on the edges of the wounds treated with ACP hydrogel and was limited in the control groups.

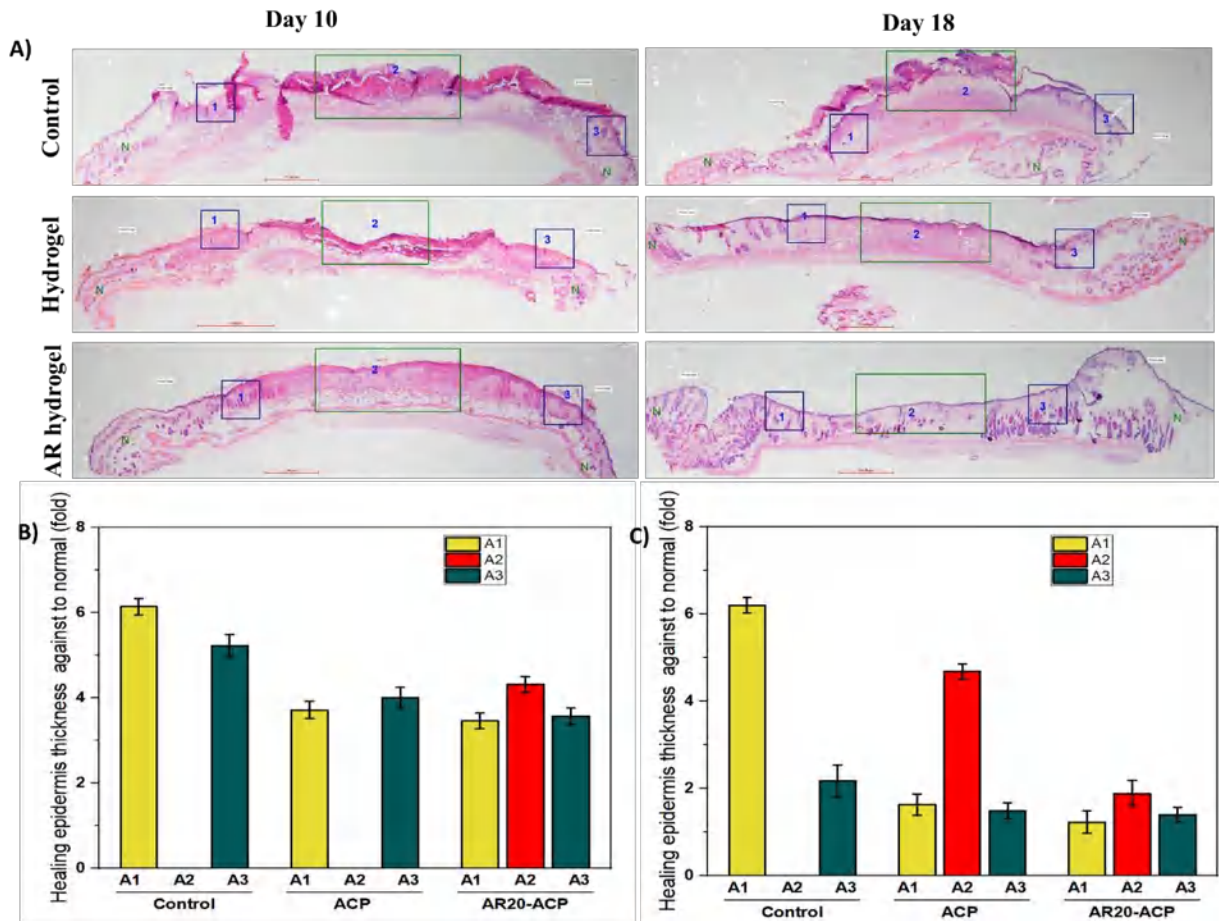


Figure 4.19: The evaluation of the re-epithelialization process of the diabetic wound.

A) H&E staining images of diabetic wound tissues on the 10th and 18th day after different treatments, respectively. The rectangle indicates the wound edge (A1 and A3) and the center (A2) of the wound bed. The percentage of epithelialization (n = 3) at B) day ten and C) day 18 post-wounding.

By day 18, the restoration of wound skin structure was significantly different in three groups (**Fig. 4.19C**). The centers of control wounds with saline treatment had no epithelial coverage after 18 days. The remodeling process in the edges wound was also unequal. The hemorrhaging and infiltration of inflammation cells appeared in A2 under the immature epidermis. In contrast, the full re-epithelialization of most wound-administered ACP hydrogel or AR20-ACP hydrogel was concluded by day 18. The significantly more effective re-modeling process was detected in the AR20-ACP hydrogel group. The epidermis thickness of wounds was comparable to that of undamaged skin. Notably, in the AR20-ACP hydrogel treatment, mice

with complete wound closure also exhibited hair regrowth at the wound edges. Meanwhile, hair follicles and sebaceous glands were discerned in the dermal layer of the wound center, which was missing from other groups. These results demonstrated a well-organized wound-healing process with AR20-ACP hydrogel.

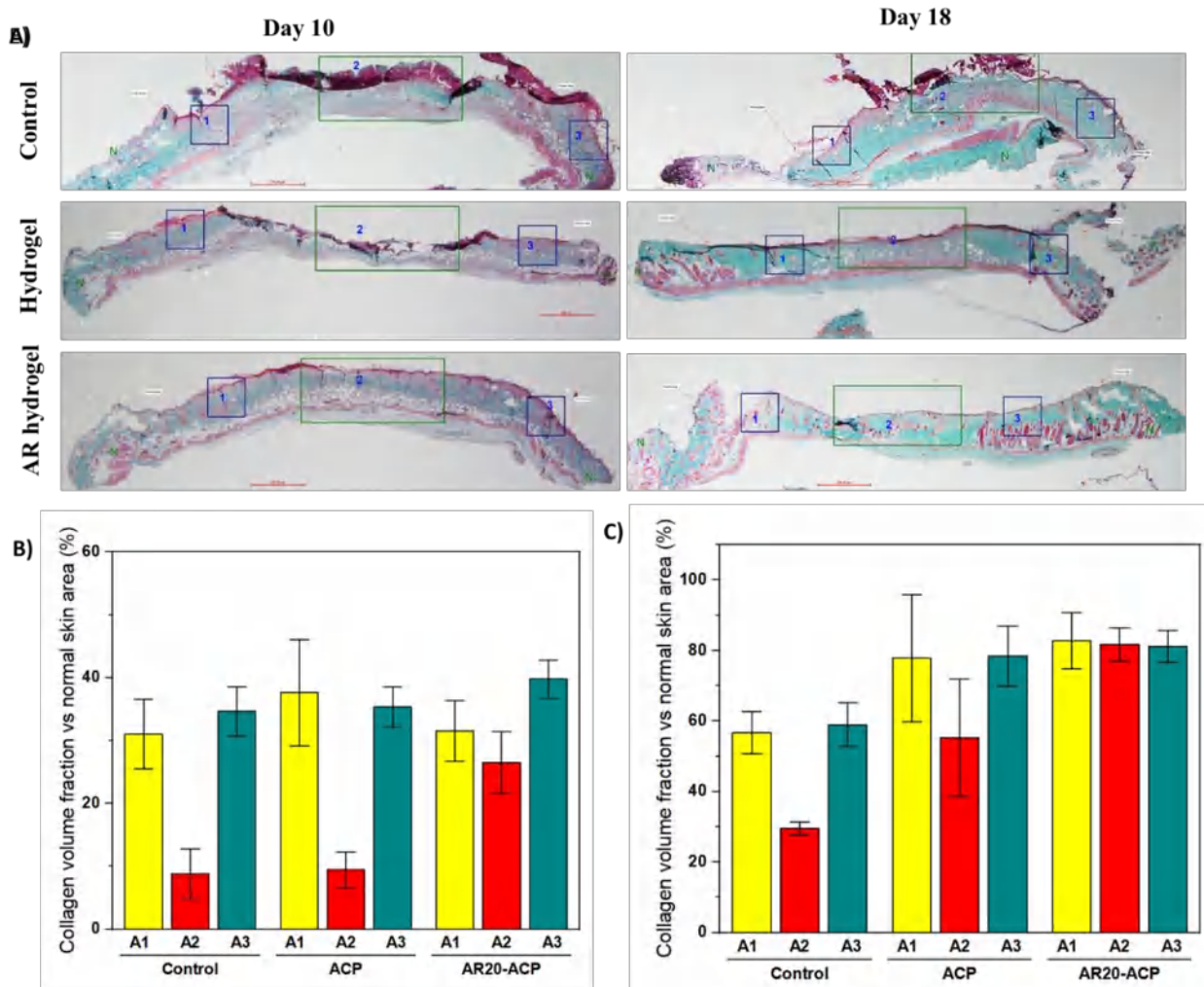


Figure 4.20: The regeneration of the structure of dermal collagen fibers.

A) Representative trichrome images of wounds on the 10th and 18th day post-treatment. Collagen is stained green. Scale bars = 100 μm . Collagen staining is quantified for B) day 10 and C) day 18.

To further clarify the advantages of AR20-ACP hydrogels in the diabetic wound healing process, Masson's trichrome-stained tissue sections of wounds with different treatments were set up (Fig. 4.20 and A6). There was no significant difference in the organization of the fibrous matrix in the dermal layer among the three groups in the wound edge at day 10 (Fig. 4.20A). However, integrated collagen was observed only in the AR20-ACP group in the wound center. The level of collagen deposition in AR20-ACP at day 10 reached 30–40% compared to undamaged skin (Fig. 4.20B). The denser and better arrangement, appearing as regularly

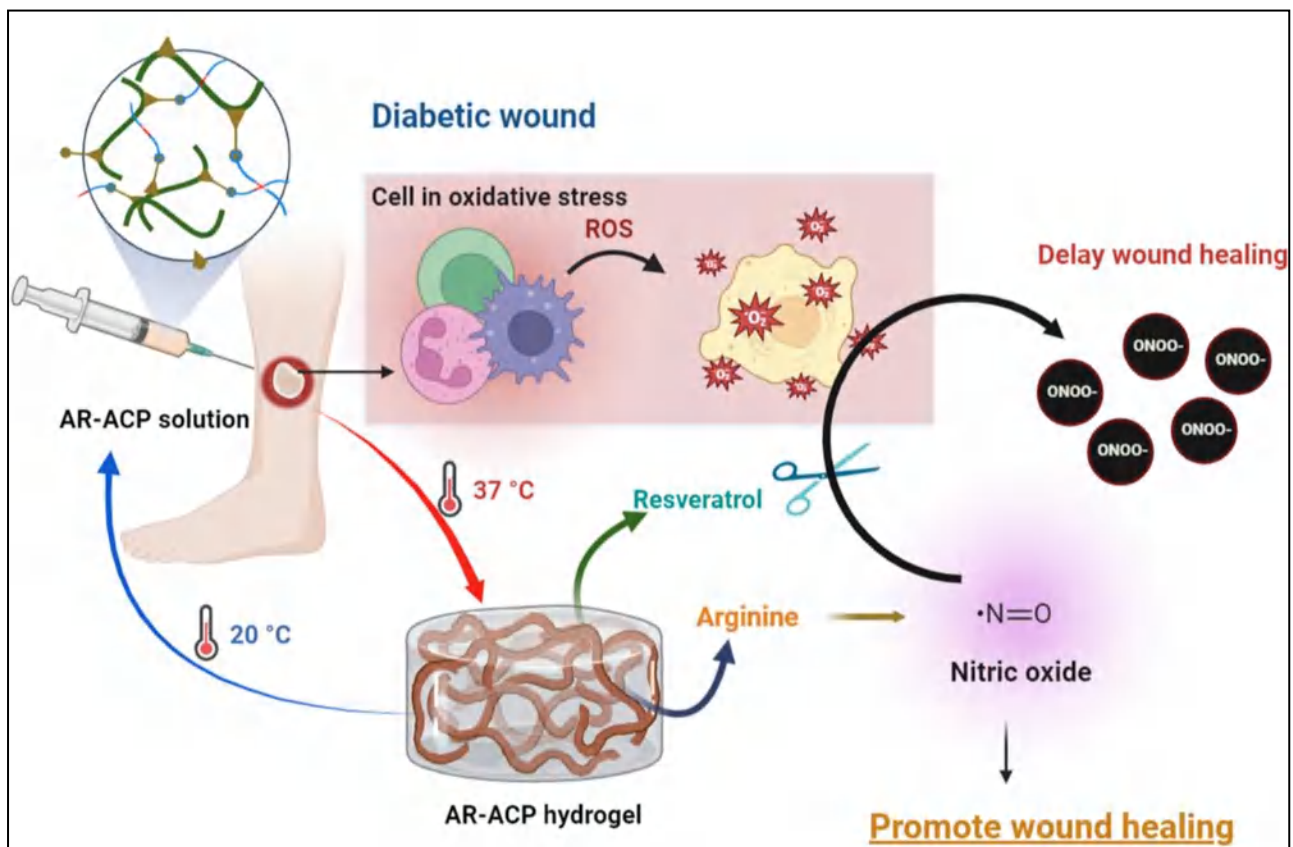
organized bundles, was seen on day 18 in all experimental groups, especially for ordered collagen bundles in AR20-ACP hydrogel (**Fig. 4.20C**). Wounds with saline treatment displayed a higher cellular and vascularised granulation following the rapid increase in myofibroblasts compared to day 10, proposing the formation of scarring. However, the epidermis of these wounds did not completely close, which increased the risk of skin barrier dysfunction. With ACP hydrogels, the epidermis of the wound was re-generated; however, cellularity in the dermis was still high, and increased scarring was possible. As expected, the infiltration of cells in the dermal layer was absent. The collagen deposition in AR20-ACP-treated wounds showed a more fibrillar morphology in the dermal layer than the dense irregular collagenous connective tissue feature of the normal skin. Therefore, AR20-ACP hydrogels were validated to be effective in accelerating wound healing and skin regeneration in a diabetic mouse model. Moreover, regarding inflammatory relief, granulation tissue formation, re-epithelialization, remodeling, and collagen deposition, the more rapid wound healing and more advanced skin regeneration over the whole healing process strongly demonstrated the efficacy and potential of AR20-ACP hydrogel in burn wound healing.

4.10 Conclusion

Thermal-sensitive hydrogel-based alginate-cystamine-Pluronic was successfully prepared via copolymerization techniques. Cystamine was introduced to the alginate backbone through the “activated ester” method, forming an alginate-cystamine linker (AC). The hydroxyl group of Pluronic F127 was activated with nitrophenyl ester. The nitrophenyl ester groups were easy to replace by amino groups on AC, resulting in the formation of the new copolymer, Alginate-cystamine-Pluronic (ACP). This copolymer inherited the thermal responsive feature of Pluronic. ACP hydrogel exposed reversible sol-to-gel transition in the response to temperature. The hydrogel exhibited excellent cytocompatibility. Because of their temperature sol-gel transitions, ACP copolymer has allowed facile encapsulation and controllable release of fibroblast cells. The gelation temperature is in the wide range (from 25°C to under 37°C) with mild conditions, envisioning the suitable 3D encapsulation of cells. The outgrowth cells from the cluster form the cell layer on the surface of the culture dish, which is similar to a 2D culture. Via rheology, the stiffness of hydrogel was favor to soft-tissue application, therefore, this material was recommended for wound dressing.

The ACP copolymerization was used as the carrier to combine a bio-safe NO donor L-Arginine and ROS scavenging compound Resveratrol for rapidly treating wound burns in a diabetic model. The incorporation of L-arginine could re-design the release profiles of Resveratrol sustainably. Notably, the dual incorporation of Resveratrol and L-arginine gave the thermally sensitive ACP hydrogel a prominent anti-oxidative capacity. ROS activity was very high when the macrophage cells or stress cells were cultured with a single L-arginine-based system or

native ACP hydrogel. NO, a vital gasotransmitter that stimulates wound repair, was only formed with the L-arginine system. In addition, the formation of peroxynitrite, a powerful oxidant that is toxic to cells due to the combination of ROS agents and NO, was relatively high. The co-loading with Resveratrol as a ROS scavenging constituent helped to reduce the superoxide anion and the production of stress cells, consequently maintaining the stability of NO induced by L-arginine. In addition, the AR-ACP hydrogel system efficiently inhibited the growth of some common bacteria on wound sites due to a synergistic effect of L-arginine and Resveratrol. Hemolysis and skin irritation measurements confirmed the biocompatibility of the AR-ACP system. *In vivo* experiments indicated that the system promoted wound healing in the diabetic mouse model. Histological analyses revealed that the system facilitated the formation of collagen fibers and blood vessels and recruited a large number of macrophages, which indicated an accelerated repair process for the wound tissue. Our work provides an alternative strategy for wound management with dual-function hydrogel dressing, ROS scavenging, and NO signaling, which prevents pathogen infections and promotes wound healing sustainably, possibly providing a solution for diabetic wound therapy in clinical or household settings (**Schematic 4.1**)



Schematic 4.1: Schematic illustration of a reasonable design of the AR-ACP hydrogel dressing for diabetic wound.

CHAPTER 5: CONSTRUCTION OF THERMAL RESPONSIVE HYDROGEL FROM ALGINATE AND PLURONIC VIA CROSS-LINKING TECHNIQUE FOR BONE REGENERATION

5.1 Critical thinking for development

Alginate is favoured for repairing cancellous bone due to its similarity to natural tissue structure[251]. The affinity of alginate for cations, particularly Ca^{2+} , leads to supersaturation within confined spaces in the alginate structure[102]. This phenomenon governs the location and polymorphism of crystals, thereby conferring biomineralization capabilities to the alginate scaffold [246]. Moreover, alginate has received human applications approval from the United States Food and Drug Administration (FDA), indicating its safety [233, 234, 249, 251, 265]. In this context, thermal-responsive hydrogels based on sodium alginate and Pluronic F127 have gained significant attention.

There is substantial interest in designing and developing hybrid polymers by chemical crosslinking[140]. Incorporating the interfacial modifiers as crosslinking agents presents a straightforward approach, as it builds upon readily available commercial polymers and processing equipment[133, 136, 137]. Among the various crosslinking agents, the catechol group has garnered attention as a non-toxic option for creating in situ hydrogels[136]. Catechols can be readily oxidized to form reactive o-quinones, which undergo secondary reactions to establish covalent crosslinks[137]. Also, inspired by the impressive adhesive properties of marine mussels on wet or moist surfaces, the catechol motif has been identified for its potential to enhance adhesion[138]. The amino acid 3,4-dihydroxy-L-phenylalanine (DOPA), containing numerous ortho-dihydroxyphenyl (catechol) functional groups, is abundantly present in mussel adhesion proteins[289]. These catechol groups can form robust covalent and noncovalent bonds with organic and inorganic surfaces[136].

The focus of most investigations into the crosslinking methods for hydrogel-based DOPA has centered around the coordination of ionic bonds between catechol groups and transition metal ions[137], particularly Fe^{3+} , as well as covalent crosslinking through the utilization of oxidizing agents, notably NaIO_4 [138]. However, the broader applications of these techniques have been hindered by challenges related to poor biocompatibility and limited stability within biological environments [136, 137]. These challenges are effectively addressed through the presence of peroxidase enzymes.

Despite the advancements mentioned earlier, two significant challenges persist in the context of native peroxidase-catalyzed in situ hydrogel formation based on catechol oxidation[110]. The favourable pH condition for oxidation can disrupt the protein scaffolds, potentially leading to a loss of peroxidase function[112]. Moreover, peroxidase has been identified as having the potential to trigger immune responses in humans and other mammals due to its protein

sequence[290]. To surmount these limitations, substantial efforts have been dedicated to the development of peroxidase-mimicking enzymes[144, 224, 291]. This innovative approach aims to overcome the challenges associated with native peroxidase by creating enzyme-analogue material that replicates the catalytic activity of peroxidase[141, 143]. By doing so, researchers aim to achieve improved control over the oxidative catechol crosslinking process, addressing the pH sensitivity and immune response issues and enabling the broader use of mussel-inspired hydrogel systems in various biomedical applications.

In addition to injectability, adhesiveness, and self-healing properties, ideal hydrogels for bone regeneration should also exhibit osteoconductivity[50, 292]. Bioactive glass (BG) has gained prominence in this field due to its potential to induce new bone formation by promoting hydroxyapatite formation, providing infection protection through released metallic ions, and showing anticancer properties[174, 221]. The inclusion of BGs within hydrogels can significantly enhance their osteogenic activity[27]. Notably, BGs can exhibit various functionalities based on their composition[174]. By drawing inspiration from the peroxidase-like function of cerium oxide, the co-synthesis of ceria and bioglass has been used to govern the enzymatic activity of hybrid nanoparticles[293, 294]. In another study by Ziqi Xu[224], incorporating gold nanoclusters into the BG network showcased a novel function for BG: peroxidase-like catalytic activity. Therefore, BGs with peroxidase-mimicking properties could be more effective strategies for designing mussel-inspired hydrogels with injectability, adhesiveness, and enhanced bioactivity.

Hemin, an iron porphyrin compound with biological activity, possesses an analogous catalytic centre to horseradish peroxidase (HRP)[141, 142]. Its remarkable catalytic performance has garnered significant attention in peroxidase-mimicking enzymes[141]. Employing a ‘bottom-up’ approach, researchers have successfully fabricated hemin-based peroxidase mimetic catalysts[142]. This method emulates catalytic functions and replicates the active sites of natural peroxidases, offering a deeper comprehension of the origins of natural enzymes[141, 291, 293].

Therefore, in this study, the peroxidase mimicking bioglass based on hemin nanoparticles was developed and then using as the catalyst for crosslinking dopamine derivative alginate and dopamine derivative Pluronic resulting in the multifunctional thermal sensitive hydrogel for bone regeneration.

5.2 Characterization of the bioglass as a catalyst for catechol crosslinking

5.2.1 Preparation of HNP BG

5.2.1.1 Preparation of HNP nanoparticles

Hemin first underwent a solvothermal carbonization reaction in methanol to make HNPs. The TEM images show that the HNPs have a dispersive and uniform morphology (**Fig. 5.1A**). The

DLS results showed that the average hydrodynamic diameter of HNPs was about 33.4 nm (**Fig. 5.1B**). However, the PDI value of HNPs indicated that the system had a highly polydispersed and moderately dispersed distribution. Encouragingly, a function of the delay time of HNPs in water is deconvoluted into a single exponential (**Fig. 5.1C**), confirming a single broad population. HNP solution (**Fig. 5.1D**) was clear and transparent after dissolving in water, confirming the highly dispersive property of HNPs. The ultraviolet–visible (UV–vis) spectrum of hemin in NaOH displays two split Soret bands at 360 and 385.5 nm and Q bands at 500 nm [142]. Under the carbonization, the absorption peak of hemin was unchanged but showed a redshift (**Fig. 5.1E**). It was observed that HNPs had another absorption peak at 266 nm, which was derived from the $n-\pi^*$ transition of the functional groups with a lone pair of electrons [295]. In addition, HNPs displayed fluorescent emission, whereas the raw material hemin had none, which agrees with some reports [142, 295]. Under UV light, the color of the HNP solution changed from yellow to green (**Fig. 5.1D**). The fluorescent properties of HNPs were then examined in water. HNPs showed excitation-dependent emission features. As shown in **Figure 5.1F** at the excitation wavelength of 470 nm, the HNPs showed a strong fluorescent emission at 500 nm, corresponding to the green color, similar to previous reports. Based on these results, it can be deduced that the solvothermal reaction carbonized hemin and successfully produced hemin in the nanosized particles with a new physical property (fluorescent emission).

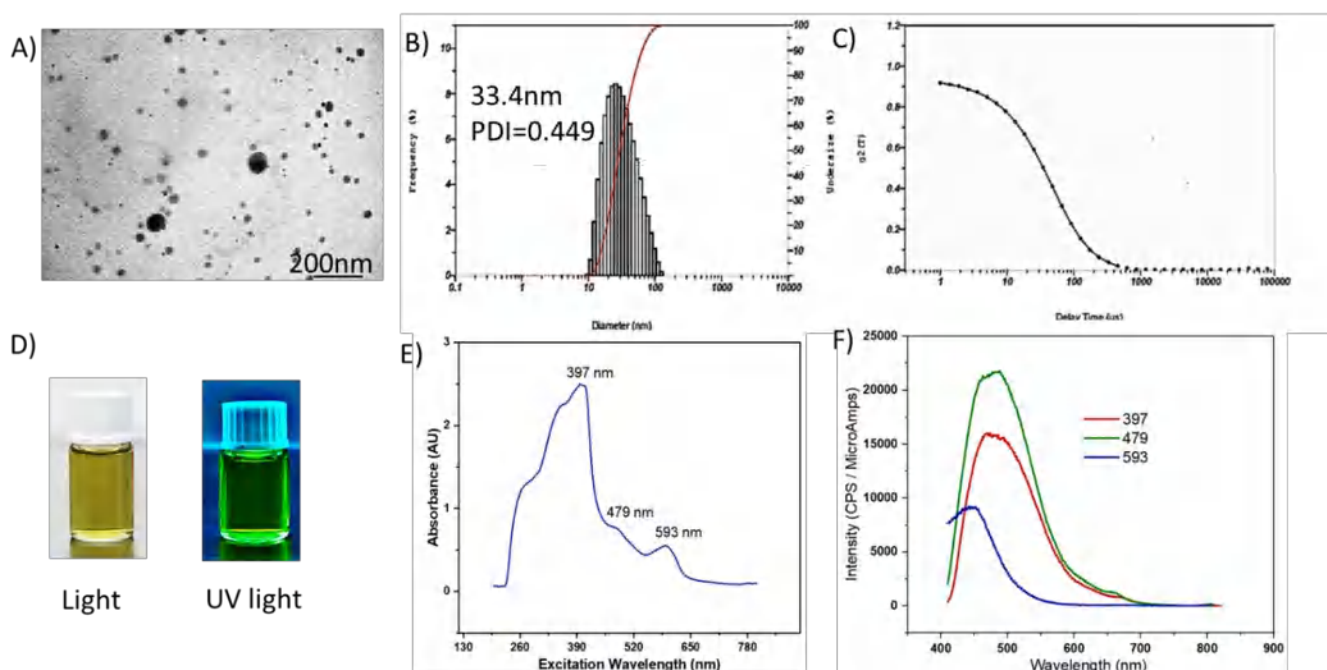


Figure 5.1: Characterisation of hemin nanoparticles, HNPs.

A) The typical TEM image, B) the hydrodynamic size in water and C) the DLS autocorrelation functions of the synthesised HNPs. D) The photo image of vials containing HNP solution under

white and UV light. E) The absorbance wavelength and F) emission (E_m) spectra of HNPs in water at different excitation wavelengths.

5.2.1.2 Preparation of HNP BG

The bioglass (BG) was synthesised via the sol-gel method. **Figure 5.2A** shows the size, morphology, and distribution using the SEM images and elemental analysis using the EDS spectrum of synthesised BG.

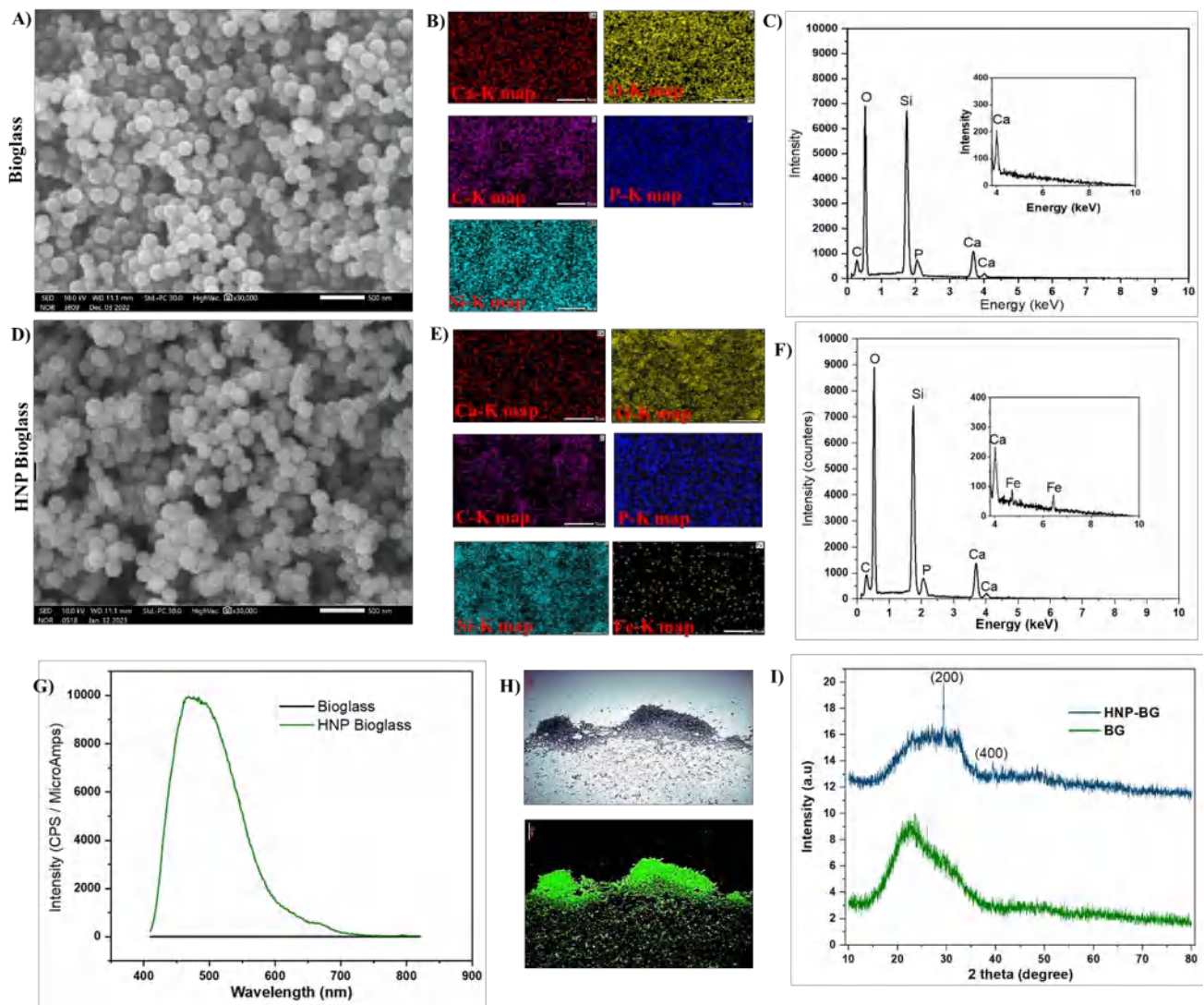


Figure 5.2: Characterisation of HNP BG.

SEM image in combination with its surface mapping EDS for characteristic main elements (C, O, Ca, P, Si and Fe) of distribution and whole EDS of native bioglass BG (A, B, C) and HNP BG (D, E, F). G) The emission (E_m) spectra of HNP BG and BG nanoparticles in water at excitation 479 nm. H) The figure of HNP BG under bright light and fluorescent light ($E_x = 480$, $E_m = 525$). I) The XRD pattern of BG and its derivative HNP BG.

BG was observed to be a size of around ~ 150 nm with dense, spherical, and monodispersed particles. According to the EDS mapping (**Fig. 5.2B, C**), the three main elements in BG, Si, Ca and P, are uniformly distributed in the BG. The addition of HNPs did not influence the size or morphology of BG (**Fig. 5.2D**). Compared to native BG, the EDS mapping (**Fig. 4.2E**), as well as the spectrum of HNP BG (**Fig. 5.2F**), displayed the new element signal corresponding to Fe, accounting for 0.77% by mass. Intriguingly, HNP BG showed a strong fluorescence emission at 500 nm when excited at 479 nm (**Fig. 5.2G**), similar to HNPs. Confocal microscopy was conducted to support this evidence (**Fig. 5.2H**). The green fluorescent signal was emitted from HNP BG under the excitation of $\lambda = 480$ nm. The XRD patterns of native BG and HNP BG are compared in **Figure 5.2I**. As expected, the BG and HNP BG were approximately amorphous. However, some crystallisation had occurred in HNP BG. The identified crystalline phases were calcite (ICDD: 01-072-1937). In addition, the broad diffraction peak in the XRD pattern of BG was a hypsochromic shift from the region of 20–25° to 23–32°, confirming the mixture of crystalline regions of hemin into a crystalline phase of BG [224, 294]. According to the results obtained from the reported analysis, it can be concluded that the HNP BG was successfully synthesised in this study.

5.2.2 Peroxidase-mimicking function of HNP BG

To go one step further, the possibility of peroxidase-mimicking characteristics of HNP BG was studied. Pyrogallol was used as the substrate for the peroxidase activity measurements. The peroxidase-like oxidation of pyrogallol yields purpurogallin, whose formation can be monitored by UV–vis spectroscopy or visual observation. In the presence of an oxidant such as hydrogen peroxide (H_2O_2), the colourless pyrogallol converts to a yellow product, purpurogallin. The signal of purpurogallin was undetectable in the case of pure BG (**Fig. 5.3A, B**), even with longer incubation. Purpurogallin was immediately formed with the addition of HRP enzyme, HNPs or HNP BG, confirming that peroxidase-mimicking activity was related to HNP only. In the catalytic course of HNP BG, shown in **Figure 5.3A**, the intensity of purpurogallin was increased following the increase of HNP BG concentration. Therefore, to pinpoint the catalytic selectivity of these design materials as HRP enzymes, the reactions with various concentrations of HNPs or its derivative HNP BG were carried out at a constant pyrogallol (2.5 mM) and a hydrogen peroxide concentration of 20 mM. With a similar number of active sites to the HRP enzyme, the absorbance at 420 nm induced by oxidation of pyrogallol using 4 ng/mL HNPs could not be detected. When the number of active sites in the HNP solution was more than 50 times that of HRP, the intensity at 420 nm was nearly comparable to the native enzyme (**Fig. 5.3B**). The analysis of the performance of HNPs in the pyrogallol

oxidation reaction followed the conventional enzymatic dynamic regulation of the Michaelis–Menten equation (**Fig. 5.3C**). The obtained Lineweaver–Burk plots of HNPs or HRP were a nearly perfect linear relationship. The K_m value of HNPs was 3.932 mM, two orders of magnitude better than that of HRP (**Fig. 5.3D**). This result was consistent with previous reports; the binding affinity of hemin to the substrate is more pronounced than that of HRP enzyme, which is significantly related to the high-index surface of the active site [142, 296]. The active site of the HRP enzyme is a groove in the polypeptide pocket, while the active site in HNPs is naked. Therefore, the affinity of H_2O_2 for HNPs is stronger than the heme group within the HRP enzyme. Despite the lower turnover frequency (K_{cat}), the catalytic efficiency (K_{cat}/K_m) of HNPs ($235.7 \text{ mM}^{-1} \cdot \text{min}^{-1}$) is also about 1.73 orders of magnitude better than that of the natural enzyme HRP.

Based on the pyrogallol reaction with HNPs, the HNP BG concentration started from 1 mg/mL. However, the UV–vis results from the addition of HNP BG samples in **Figure 5.3B** did not yield conclusive results. The absorbance of the peak present at $\sim 420 \text{ nm}$ of 1 mg/mL HNP BG does not precisely match the same amount of native HNP solution ($40 \mu\text{g/mL}$). The absorbance at 420 nm was below 0.5 under the catalytic 1 mg/mL HNP BG, which was three times lower than $40 \mu\text{g/mL}$ HNPs. Also, the yellow colour intensity of the reaction with HNP BG was significantly weaker than that of native HNPs. The reduction in the catalytic ability of HNPs after processing in BG could be explained due to the hinder interaction between the substrate and catalyzer [141–143, 224, 296]. The matching of the catalytic performance to $40 \mu\text{g/mL}$ HNPs was observed when the concentration of HNP BG was 5 mg/mL. The affinity of HNP BG for pyrogallol was similar to native HNPs. The rate of the catalysing reaction by HNP BG ($V_{max} = 0.33 \text{ min}^{-1}$) was comparable to that of the HNPs ($V_{max} = 0.31 \text{ min}^{-1}$) at a concentration of $40 \mu\text{g/mL}$. This rate was also identical to the native HRP enzyme. Along with the similar catalytic activity as native HRP enzyme, HNP BG could be an effective method to promote peroxidase-like activity over a wide range of temperatures. Almost all natural enzymes work effectively only within a narrow temperature range [296]. In our case, the catalytic activity of the HRP enzyme was decreased tremendously by increasing the temperature from 25 to 80°C (**Fig. 5.3E**) HRP enzyme could not perform its catalytic activity when the temperature was over 80°C , suggesting complete denaturation. Like HRP, other peroxidase mimics (for example, Fe_3O_4 [297], graphene oxide [298], gold nanoparticles [299], etc.) only worked effectively within a narrow temperature range (i.e., inactive at high temperatures). Intriguingly, in contrast to natural enzymes, the relative activity for the oxidation of HNP BG was independent of temperature. The amount of purpurogallin remained unchanged as the temperature increased from 25 to 100°C . These results further confirmed

that natural enzymes could easily lose catalytic activity when the environment changes, whereas HNP BG has excellent stability under stringent conditions.

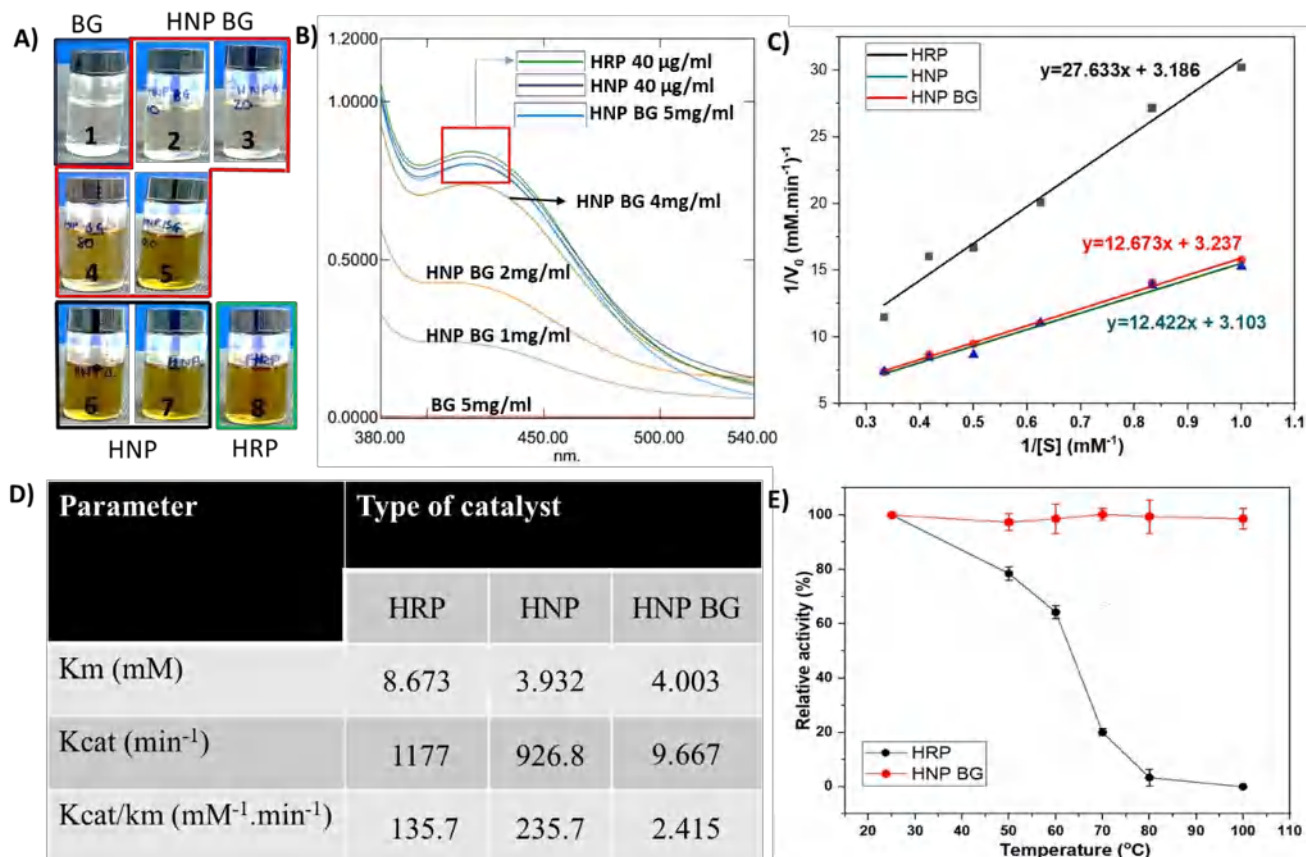


Figure 5.3: The catalyst activity of design systems (HNP, HNP BG) under the oxidative reaction of pyrogallol compared to native HRP enzyme.

A) The picture and B) their UV-vis spectrum of the oxidative pyrogallol reaction under different types of catalyst reagents after 5 min incubation. 1. BG 5 mg/mL; 2. HNP BG 1 mg/mL; 3. HNP BG 2 mg/mL; 4. HNP BG 4 mg/mL; 5. HNP BG 5 mg/mL; 6. HNPs 20 μg/mL; 7. HNPs 40 μg/mL; 8. HRP enzyme 40 μg/mL. $V_{\text{catalyst}} = 20 \mu\text{L}$, $V_{\text{total}} = 3 \text{ mL}$. C) Lineweaver-Burk plot of the pyrogallol oxidation catalyzed by HRP (40 μg/mL, black line, and completed square), HNPs (HNPs 40 μg/mL, red line, and completed circle), HNP BG (5 mg/mL, cyan line and completed triangle) and D) their corresponding kinetic parameters. $[\text{pyrogallol}] = 1-3 \text{ mM}$, $[\text{H}_2\text{O}_2] = 20 \text{ mM}$, $T = 25 \text{ }^\circ\text{C}$. The relative activity of HRP enzyme and HNP BG following the increase in temperature (E). Data was presented as mean \pm SD, $n = 4$. $\epsilon = 2460 \text{ M}^{-1} \cdot \text{cm}^{-1}$.

5.2.3 The potential of HNP BG as a catalyst for catechol crosslinking

Dopamine (DOPA) is a member of the catechol compounds [136, 289]. It serves various essential functions in the brains and bodies of animals as a neurotransmitter belonging to the

catecholamine and phenethylamine families. Oxidation of DOPA leads to the formation of the corresponding dopamine-*o*-quinone (DQ), followed by a further cyclization step and its subsequent oxidation to monochrome (AC) [137]. The rearrangement reaction, which involves AC to form 5, 6-dihydroxyindole (DHI) or 5,6-indole-quinone (IQ), forms covalent bonds between monomers and their subsequent polymerization products [134]. Inspired by oxidative crosslinking to form polydopamine, DOPA has been used instead of conventional crosslinkers for making the composite hydrogel [26]. The HRP/H₂O₂ couple is frequently chosen as a biomimetic oxidizing agent for catechol crosslinking hydrogels. Before fabricating mussel-inspired catechol-functionalization hydrogel, the polymerization process of DOPA was studied based on variations of UV–vis spectra in response to oxidation with H₂O₂ with the help of the HRP enzyme (**Fig. 5.4A**) or HNP BG (**Fig. 5.4B**). Similar to previous oxidative test functions, HNP BG has the ability to catalyze the H₂O₂-driven oxidation of DOPA to monochrome (AC), in analogy to HRP. Pristine DOPA exhibited characteristic peaks at 280 nm corresponding to the molecular electronic transition in phenolic catechol amines. Adding H₂O₂ did not induce any change in the UV–vis spectrum of DOPA over 1 hour. Upon adding HRP or HNP BG, the peak at 280 nm immediately intensified and red-shifted to ~300 nm. In comparison, a broad shoulder in the visible region (~400 nm) appeared, confirming the formation of different DOPA-derived quinones, as described in the literature [300, 301]. With the increase of the incubation time, the absorbance due to the formation of the dopachrome intermediate DQ at 300 nm increased while the absorbance at 400 nm tended to broaden in the range of 400–600 nm, suggesting further transformation to the indole family. To confirm the UV–vis result, the behaviour of chromatographic retention and product ion spectra of oxidative DOPA were studied. Under the selected HPLC–MS analytical conditions, the peak retention time due to the reaction of DOPA and H₂O₂ under HNP BG was the same as the HRP enzyme (**Fig. 5.4C**), suggesting that the oxidation course of HNP BG is identical to the HRP enzyme. Further verifying the presence of the polydopamine structure, a rapid liquid chromatography/tandem mass spectrometry (LC–MS/MS) method has been developed. In fact, various polydopamine monomers are generated during the oxidative reaction. Oxidative coupling of DOPA units connecting two or more benzene rings by the formal loss of two hydrogen atoms is a well-known reaction for polydopamine. Based on the molecular weight of the possible building blocks proposed in **Figure 5.4D**, 5,6-indol-quinone (*m/z* 147) was selected as the monomer in this study, defined as M. Gratifyingly, the mass peaks could be detected for oligomers with different degrees of saturation from dimer to nonamer, as depicted in **Figure 5.4E**. The first one is the *m/z* dimer peak of 299.14 (2M + 6H) or 338.09 (2M + 6H + Ca²⁺). The appearance of the peak at *m/z* 456.98 was assigned for the trimer oligomers (3M + 18). In addition, the tetramer (4M) was exhibited in the mass spectrum, which predicted the origin from the

covalent bonding between trimer and pyrrole-carboxylic acid ($C_4H_4NO_3^+$) moieties derived from the oxidative degradation of indole units. Moreover, the positive spectra of the various polydopamines with higher repeating building block hybrids with calcium ions from HNP BG, such as pentamers ($5M + 20 + Ca^{2+}$), hexamer ($6M + 30 + 2Ca^{2+}$), etc., were proposed in this study. Altogether, the results revealed the possible strategy of HNP BG as the alternative catalyst for DOPA crosslinking hydrogel.

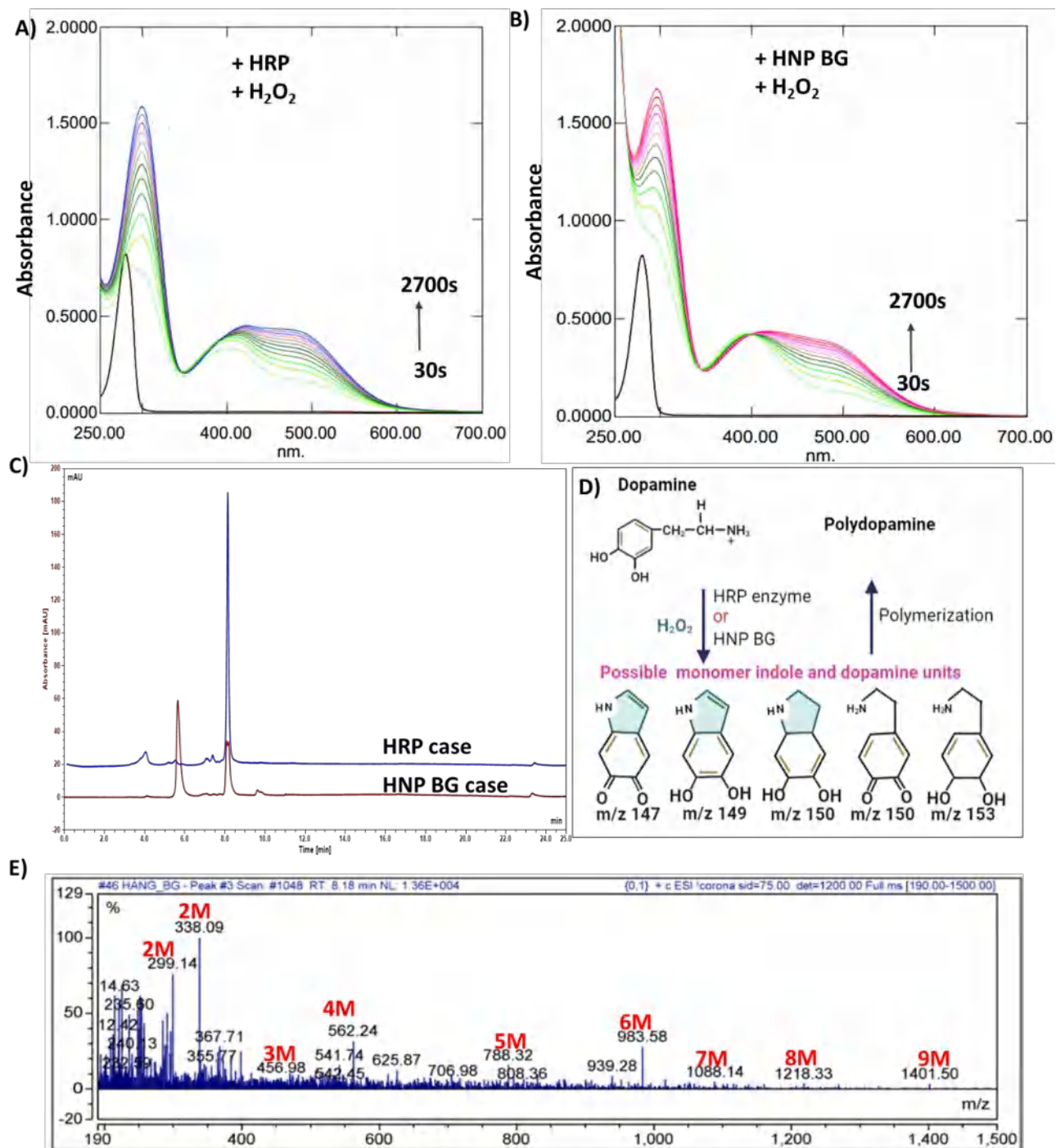


Figure 5.4: The oxidative pathway of DOPA under the catalyst of HRP enzyme or HNP BG in DI water.

UV–vis spectrum of DOPA solution after addition of H₂O₂ and catalyst, A) HRP enzyme and B) HNP BG recording within 2700 s. C) The reaction was then analysed with LC–MS (ESI+) analysis. D) The suggested monomer forming after the oxidative reaction was used to identify the self-polymerisation of the DOPA product. E) The mass spectrum of oxidative DOPA under the catalyst of HNP BG.

5.3 Preparation of catechol precursor based on alginate and Pluronic

Figure 5.5A presents the fabrication process of these precursor polymers. The carbodiimide coupling reagent performed the conjugation of catechol hydroxyl-phenols on the alginate backbone (ADA). In the case of Pluronic F127, the hydroxyl group at both terminal ends was first activated with p-nitrophenyl chloroformate to generate 4-nitrophenyl carbamates as a specific site for substitution of amino motifs on DOPA, resulting in the coupling of a catechol group to both ends of Pluronic F127 (ADA). Both native sodium alginate and Pluronic did not show absorption bands in the 250–800 nm (**Fig. 5.5B**). After conjugating dopamine, UV–vis spectra of ADA and PDA show absorption bands at $\lambda_{\text{max}} = 280$ nm corresponding to the L_a–L_b transitions in phenolic catecholamines in pristine DA. From UV–vis spectroscopy, Beer's law was used to calculate the amount of catecholic anchor on the polymer backbone. The conjugation efficiencies for alginate and Pluronic F127 were $13.91 \pm 2.55\%$ (30.7 ± 0.02 mg catechol/g alginate derivative) and $94.78 \pm 3.22\%$ (23.02 ± 0.78 mg catechol/g Pluronic derivative).

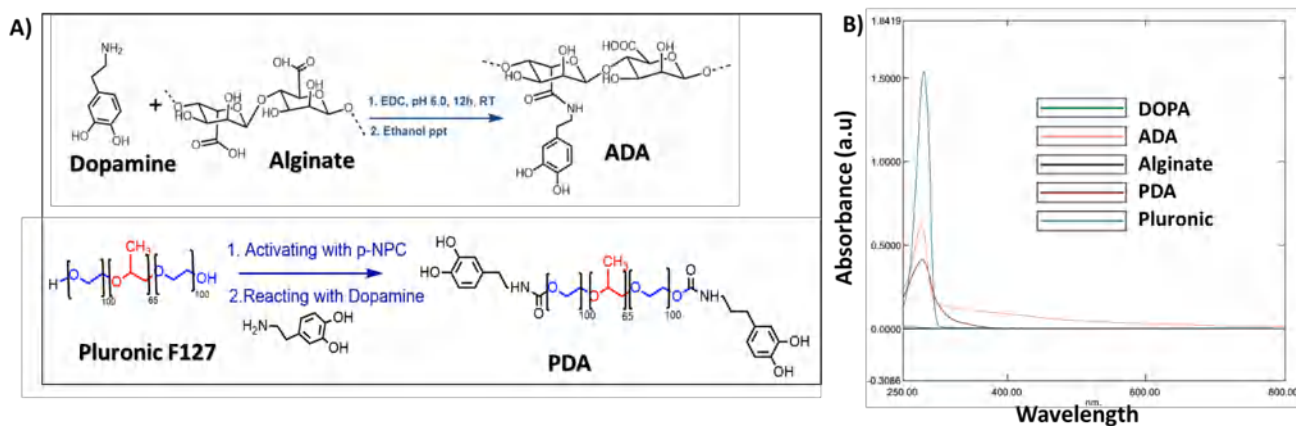


Figure 5.5: Preparation of catecholic anchor precursor polymers.

A) The synthesis route of catecholic anchor polymers, alginate–dopamine (ADA) and Pluronic F127–dopamine (PDA) and B) their UV–vis spectra along with precursor reactants (DOPA, sodium alginate and Pluronic F127).

5.4 Preparation of the thermal responsive hydrogel based catechol chemistry

5.4.1 The formation of catechol crosslinking between two catechol precursors

UV-vis spectroscopy was used to track the change in catecholic anchor polymers during the reaction process to investigate the gelation mechanism. The UV-vis results from the polymer-based DOPA solution samples in **Figure 5.6A** yielded conclusive results about the formation of catechol-catechol adducts between polymer-based DOPA triggered by H_2O_2 and HNP BG. For single DOPA derivative polymers, the quinone peak ($\lambda_{\text{max}} = 401 \text{ nm}$) appeared immediately after the addition of HNP BG, indicating the rapid oxidation of DOPA polymers in the presence of oxidants. Following that, the absorption peaks at 401 nm showed the bathochromic shift to 500 nm, possibly resulting from dicatechol formation, suggesting the formation of 5,5'-di(3,4-dihydroxyphenylalanine) (DOPA in dimer form) as the possible coupling mechanism through aryloxy radical formation on the DOPA phenyl ring (**Fig 5.6 B**). These UV-vis profiles were matched precisely with the oxidation pristine DOPA shown in **Figure 5.4B**. Oxidation of dual DOPA derivatives showed results in the same spectra as a single derivative. However, the red-shifted quinone peak was quicker than that of the single one. Along with the main peaks comparing favourably with DOPA chrome, the peak at $\lambda_{\text{max}} = 300 \text{ nm}$ was the emergence, which is believed to be a precursor to the formation of melanins, high molecular weight polymers crosslinked from catecholamines. The results described here indicate that dual DOPA derivative polymers, ADA and PDA, are capable of rapid gelation in situ under mild physical conditions.

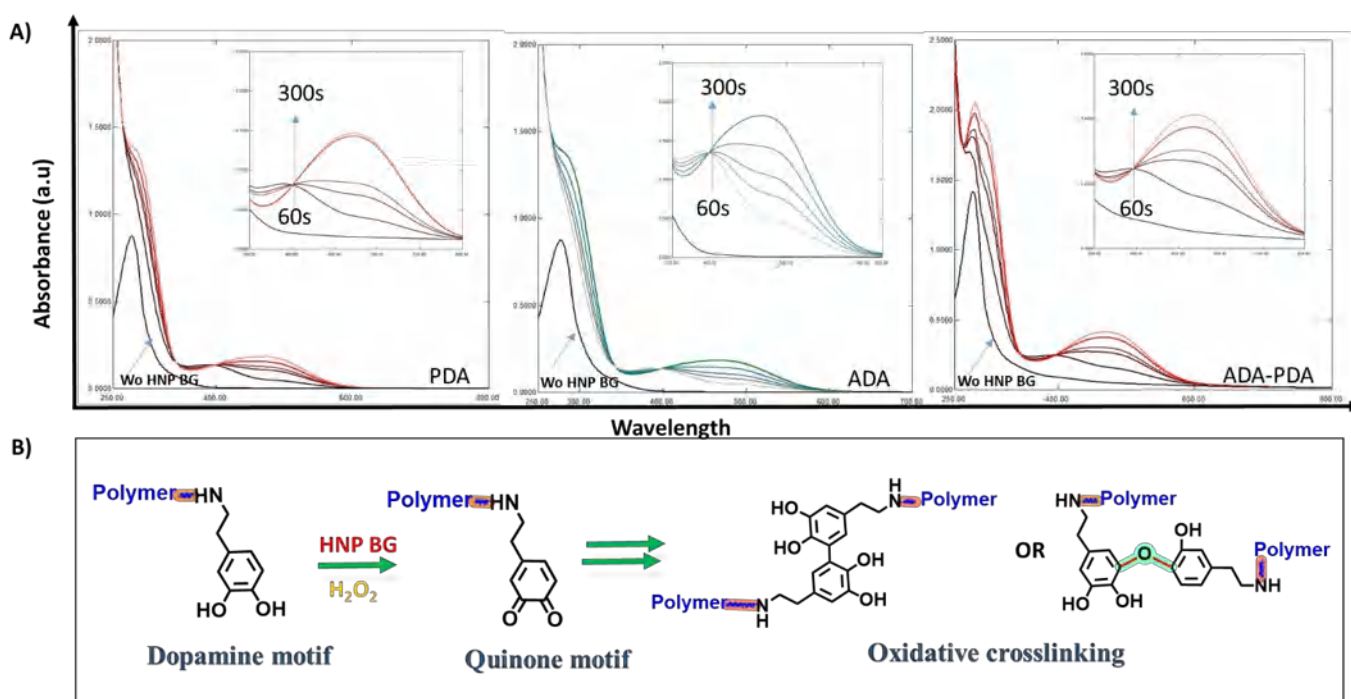


Figure 5.6: Characterisation of oxidative reaction of catecholic polymer in the presentation of HNP BG.

A) The function of HNP BG in performance of the crosslinking between catecholic polymers. The real-time evolution of UV–vis spectra for PDA, ADA and the mixture ADA–PDA (1:1) solutions with the addition of HNP BG 5 mg/mL recording from 250 nm to 800 nm. B) The illustration of the formation crosslinking between dopamine motif on each polymer backbone.

5.4.2 Preparation of the hydrogel

Next, hydrogel formation based on DOPA derivative polymers in single or dual combination was studied via the sol–gel transition; the results are presented in **Figure 5.7**. Consistent with previous reports showing the formation of DOPA derivative Pluronic F127 hydrogels, a higher concentration was required for hydrogel formation than that of the bare Pluronic.

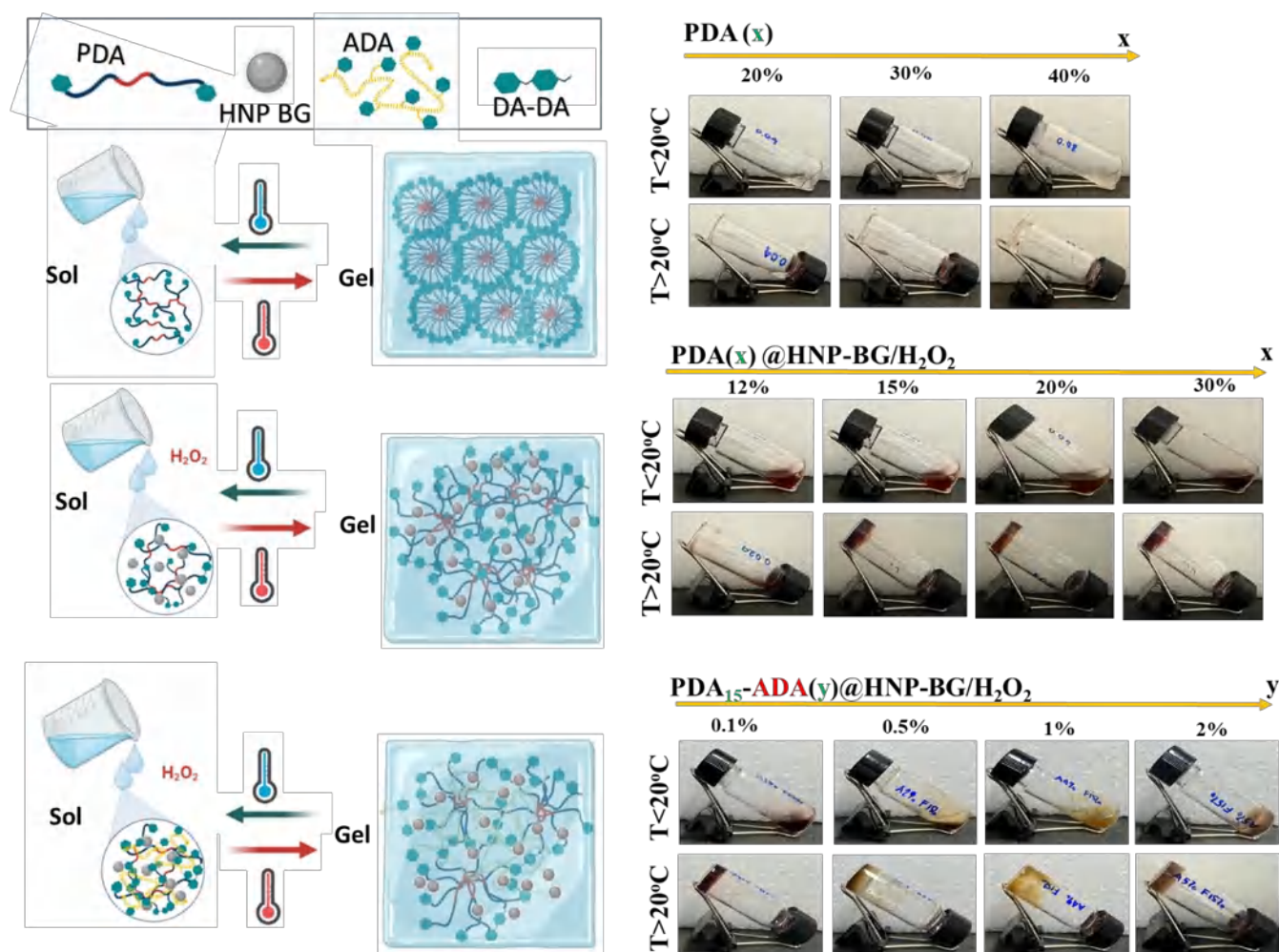


Figure 5.7: Thermal responsive behavior of the resultant hydrogel via the oxidative reaction of catechol under the help of HNP BG.

The tube inversion method for sol–gel conversion of PDA with/without HNP BG/H₂O₂ and the mixture PDA–ADA. x and y denote the concentrations of PDA and ADA, respectively.

The addition of DOPA to the Pluronic F127 showed sol–gel transition properties significantly shift to the left with an increase to 40 wt%, whereas Pluronic F127 was ~ 16 wt%. It is known that the Pluronic copolymers aggregate with increasing temperature in the form of spherical micelles by enhanced hydrophobic interactions between PPO middle blocks of the copolymers. Above a critical concentration, the self-assembling Pluronic micelles are closely packed to generate a physically crosslinked hydrogel structure. The additional presence of a catechol group moiety at the PEO distal end of Pluronic F127 might disturb the well-ordered micellar integrity as well as the packing density due to the variation in hydrophilic/hydrophobic balance of Pluronic F127, thereby raising the gelation concentration [56]. With the addition of HNP BG along with H_2O_2 , the gelation of PDA was shifted to a lower concentration regime. The gelation concentration of PDA was detected at 15 wt% at temperatures higher than $30^\circ C$. The gelation was reversible between $4^\circ C$ and $30^\circ C$ similar to the bare Pluronic hydrogel. This behavior was proved with the temperature sweep test. As shown in **Figure 4.8A**, 15% PDA the formulation was observed to regain its solution state, reaching almost the same start-up values of G' and G'' . When HNP BG and H_2O_2 was added along PDA_{15} , the viscoelastic response of PDA_{15} was significantly changed (**Fig 5.8B**). Upon heating from $5^\circ C$, only G'' was detected, confirming the liquid stage of the sample. From $25^\circ C$, the solution showed the ability to store energy elastically. After this point, G' is one order of magnitude higher than G'' , inferring gel structure formation. In addition, the color of the PDA solution was changed from colorless to dark brown, confirming the oxidative process of the catechol group [296, 300, 301]. HNP BG induced the oxidation of the DOPA motif and subsequent self-crosslinking between Pluronic micelles, which generated loops and tails on the shell layer of the packed Pluronic micelles, providing physically and chemically interconnected, tightly bound junctions between them. Therefore, the PDA_{15} with HNP BG/ H_2O_2 indicated a predominant viscoelastic behavior typical of hydrogels, while pure PDA_{15} was typically observed for viscous solutions. The 15% PDA and 0.1% ADA mixture formed hydrogels within 60 s. The mixmix PDA–ADA maintained sol-like behavior at cool conditions and returned to the gel stage at warm temperatures ($T > 30^\circ C$). **Figure 5.8 B** showed that G' and G'' of PDA_{15} - $ADA_{0.1}$ @HNP BG/ H_2O_2 followed the function of temperature. The drastic change in elastic modulus and crossover between G' and G'' at ca. $22.61^\circ C$ is characteristic of a sol–gel phase transition [274]. In contrast to PDA_{15} @HNP BG/ H_2O_2 , the elastic portion of the viscoelastic behavior appeared in the initial temperature testing condition, suggesting links inside the material, for example chemical bonds or physical-chemical interactions [225]. Increase the concentration of ADA to 0.5%, the sol-gel phase transition was unchanged (**Fig 5.8C**). However, the G'' values of PDA_{15} - $ADA_{0.1}$ @HNP BG/ H_2O_2 was higher than PDA_{15} - $ADA_{0.5}$ @HNP BG/ H_2O_2 after reaching the phase transition. This confirmed that the more interaction forces in PDA_{15} -

ADA_{0.5}@HNP BG/H₂O₂ than that of PDA₁₅-ADA_{0.1}@HNP BG/H₂O₂. The existence of high density of crosslinking prevent the transformation of deformation energy into heat energy, suggesting the ideally elastic hydrogel [225]. However, at ADA concentrations at 1 wt%, we did not observe any significant sol–gel transition properties. In agreement to inverted tube method, the crossover between measured G' and G'' curves of PDA₁₅-ADA₁@HNP BG/H₂O₂ were undetectable (**Fig 5.8E**). Obviously, the G' of this hydrogel was always higher than G'' at all temperature. Interestingly, increase the temperature, both G' and G'' were increased very obvious, indicating the sensitivity of this system to temperature. After 22-23°C, the viscosity of the composite system tends to be stable in the process of increasing the temperature. It was known that ADA alone became a gel state at 1 wt% following the addition of HNP BG. This is not only the result of oxidative crosslinking of DOPA but also the result of the ‘egg-box’ model of the alginate backbone with bivalent cations that release from BG [241, 242]. Therefore, the higher the concentration of ADA, the density of crosslinking due to ADA is sufficient, and the disruption of micelles entanglement due to the PPO segment in PDA is offset; consequently, sol–gel transition due to temperature is eliminated.

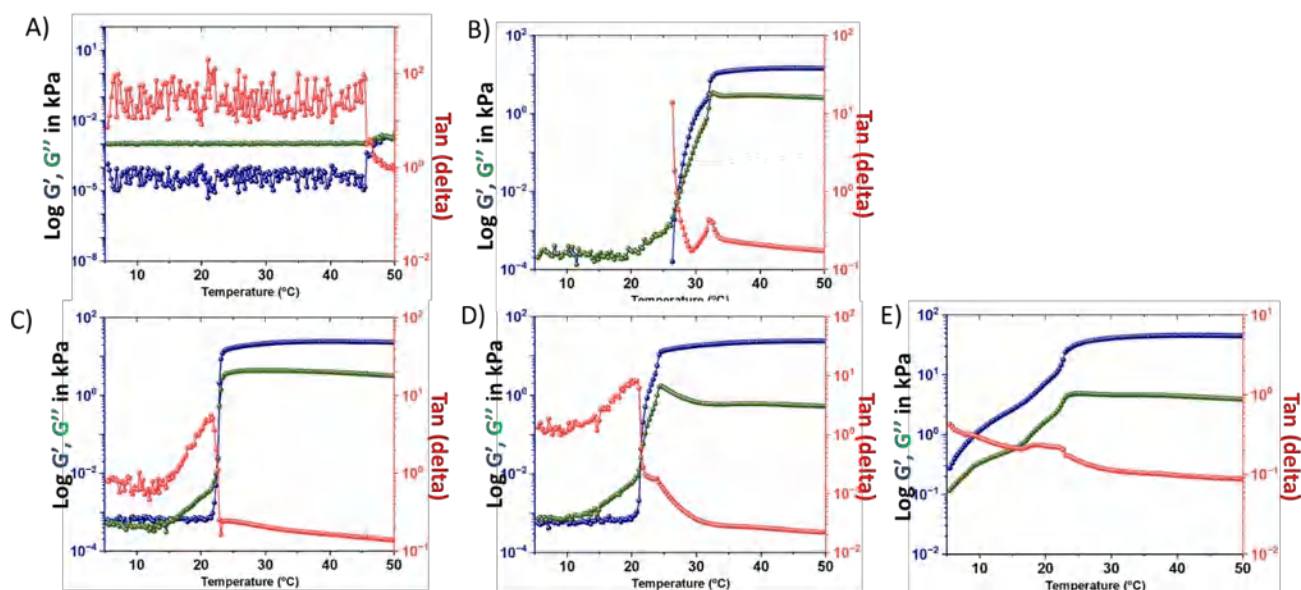


Figure 5.8: Rheological behavior of the resultant hydrogel.

Temperature sweep test at $\gamma = 1\%$ and $f = 1\text{ Hz}$ of A) PDA₁₅, B) PDA₁₅+ HNP BG/H₂O₂, C) PDA₁₅ADA_{0.1} + HNP BG/H₂O₂, D) PDA₁₅ADA_{0.5} + HNP BG/H₂O₂, E) PDA₁₅ADA₁ + HNP BG/H₂O₂.

5.4.3 Morphology of hydrogel

The morphology of resultant hydrogels was accessed using the SEM technique using freeze-dried samples. The cross-section of PDA hydrogel at 40 %wt possessed a honeycomb-like structure with a relatively dense porous structure, as shown in **Figure 5.9**. The pore size

became larger with the reduction in PDA concentration to 15 wt%. This hydrogel demonstrated a tubular and reticular network morphology with lower interconnected pores within the hydrogel matrix, confirming the pseudo-gel stage.

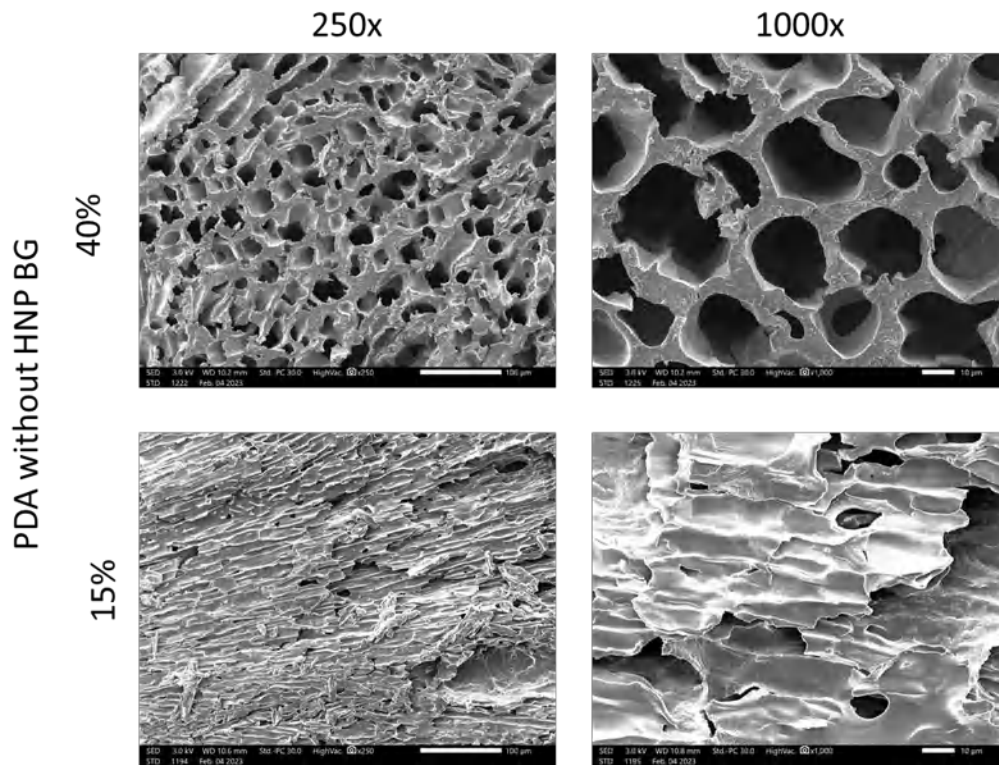


Figure 5.9: Morphology of PDA hydrogel without HNP BG at 40% wt and 15% wt.

In contrast, the introduction of chemical crosslinking in PDA 15 wt% under the catalytic activity of HNP BG (PDA₁₅@HNP BG/ H₂O₂) led to the spontaneous formation of a three-dimensional (3D) porous structure (**Fig 5.10**). It indicated that the crosslinking mechanism accompanied by reaction-induced phase separation leads to diverse morphologies of the resulting porous structure of crosslinked hydrogel [40, 54, 55, 75]. When 0.1% ADA solution was applied along with PDA 15 wt% (PDA₁₅-ADA_{0.1}@HNP BG/H₂O₂), the composite hydrogel showed a similar macroscopic structure as single PDA@HNP BG/H₂O₂, but with a high degree of interconnectivity. It was observed that the perfect miscibility was between these compositions as one phase with the absence of a separation zone. The increase in the concentration of ADA, a sponge-like network structure, was observed in PDA₁₅-ADA_{0.1}@HNP BG/H₂O₂, but with relatively smaller pore size. Also, the walls of the micropores were much thicker, proposing a longer time for degradation. The highly interconnected structure in PDA₁₅-ADA_{0.1}@HNP BG/H₂O₂ hydrogel provides the necessary scaffold to retain tissue fluid and possess high oxygen and nutrient permeability[50, 52, 112]. Therefore, the design of hydrogels might be suitable for cell attachment and three-dimensional cell growth.

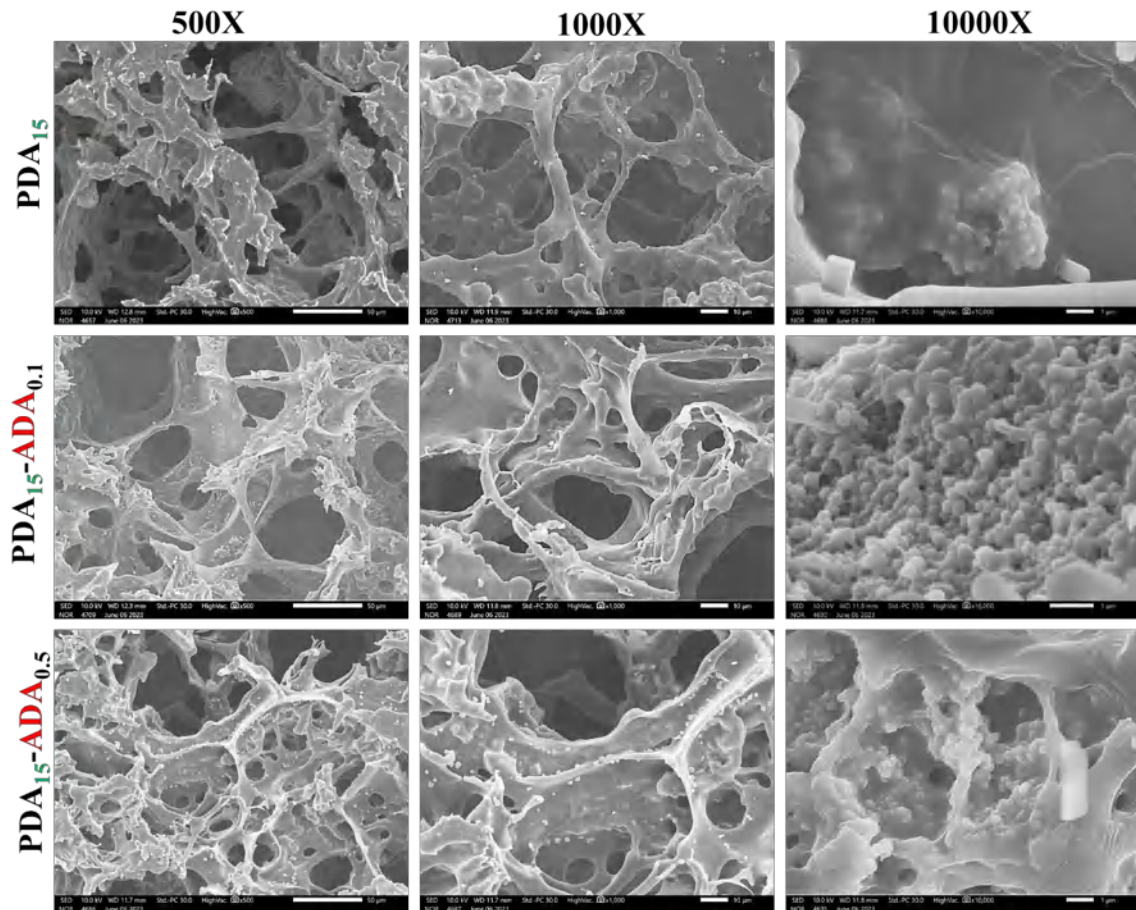


Figure 5.10: The morphology of the obtained hydrogel from PDA and the mixture between PDA and ADA with the help of HNP BG/H₂O₂

5.4.4 Injectable property of hydrogel

Next, an evaluation to ascertain if designed hydrogels displayed the essential mechanical characteristics required for the creation of a clinically applicable drug delivery platform was performed via rheology. Due to the sol-gel transition behavior, PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ could be injectable following the change of temperature. Frequency sweeps provide a relative ranking of elastic and viscous properties under constant strain. Increasing frequencies decrease relaxation times and favor elastic properties (G' , storage modulus) over viscous properties (G'' , loss modulus) as there is minimal time for energy to dissipate or for hydrogels to flow during testing[302]. These results indicate that the PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ as solid-like characteristics (e.g., G' greater than G'') over a broad range of frequencies when 37°C was applied (**Fig 5.11A**). In contrast, when PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ was at 20°C, no hydrogel was formed and rheological characterization revealed the mixture exhibited liquid-like properties over physiologically relevant frequencies (**Fig. A6**). These results indicate that PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel was easier to inject at the cool condition

($T < 20^\circ\text{C}$). Therefore, it is highly desirable to apply this scaffold at low temperature. Further, the injectability of PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ sample at gel condition was accessed. Analyzing the strain sweep at 37°C, it becomes evident that the hydrogel undergoes a significant reduction in both storage and loss moduli beyond a specific yield strain, signifying material yielding (**Fig 5.11B**)[303]. When evaluating injectability using rheological data, the shear-thinning characteristic is of paramount importance[304]. Shear-thinning hydrogels experience a decrease in viscosity under the influence of shear forces, a feature made possible by reversible cross-linking mechanisms[302]. As shown in **Figure 5.11C**, the viscosity of PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel dropped approximately 7000 orders of magnitude as the shear rate increases from 0.1 to 200 (1/s), demonstrating that this material is extremely injectable[302, 305]. The strong decline in viscosity implies that the internal framework of the PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ network was disrupted under high-shear conditions. To regain its solid form after injection, the network structure would need to spontaneously regenerate [306]. To determine the ability for PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ to self-heal, the cyclic strain time sweep where material underwent multiple cycles of high and low strain conditions was applied. When applied strain at 200%, the G' was lower than the G'' , representing a gel-sol transformation behavior. The modulus of PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ rapidly and repeatedly restored to original levels once low strain condition (0.1%) was applied, confirming the rapid self-healing properties of this hydrogel (**Fig. 5.11D**). This also indicates the injectability of PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ at critical gel temperature[302].

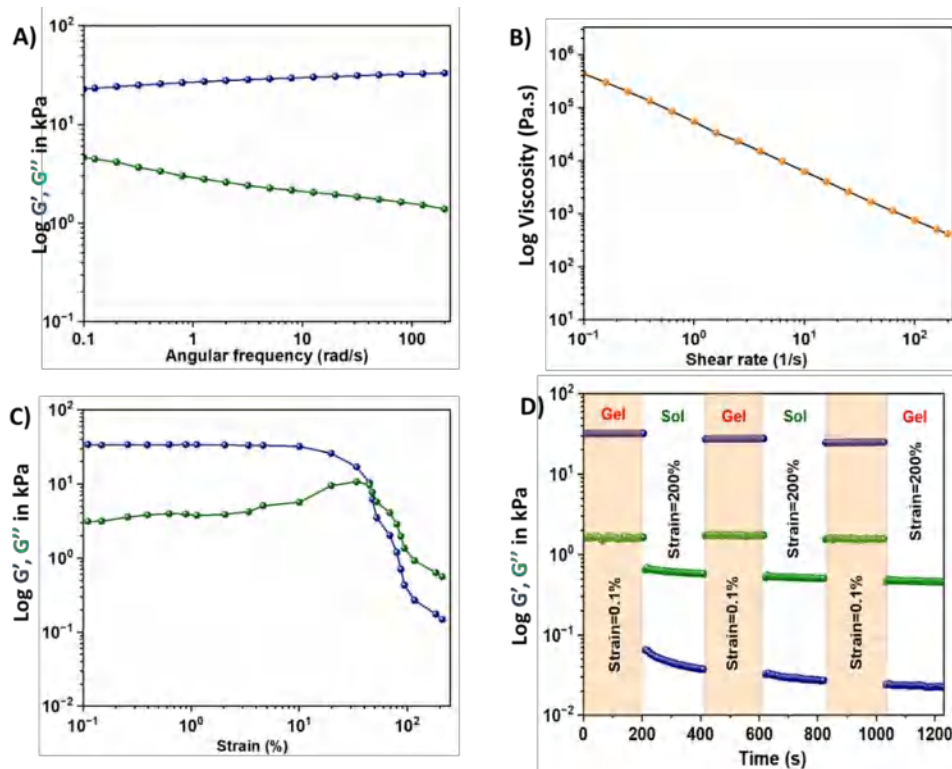


Figure 5.11: PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel exposes its rheological behavior that enables injection through needles.

A) Oscillatory frequency rheology, B) Continuous flow, C) oscillatory amplitude rheology and cyclic train time sweep rheology for PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ at 37°C.

5.4.5 The cytotoxic of the resultant hydrogel

The potential toxicity of the hydrogel needed to be tested. In 2D culture, the viability of hMSCs cultured with the extracted hydrogel solution was over 90% compared to non-treated cells (**Fig. 5.12A**) at all culture times, indicating that the effect of the hydrogel degraded processes could be ignored. The potential toxicity of PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ was also tested with 3D culture. For this, hMSCs were laden in a hydrogel network, and a live–dead fluorescence assay was performed to observe and analyze the differences more intuitively. The live/dead staining images showed that most cells encapsulated in the tested hydrogels were viable (green) even at day 20 (**Fig. 5.12B**). However, some cells remained round after 5-day encapsulation. The number of spreading cells and their spreading extension increased with the incubation time. Finally, cells in the composite hydrogels developed into a typical spindle shape, in which pseudopods were visible. Altogether, PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ displayed superior biocompatibility.

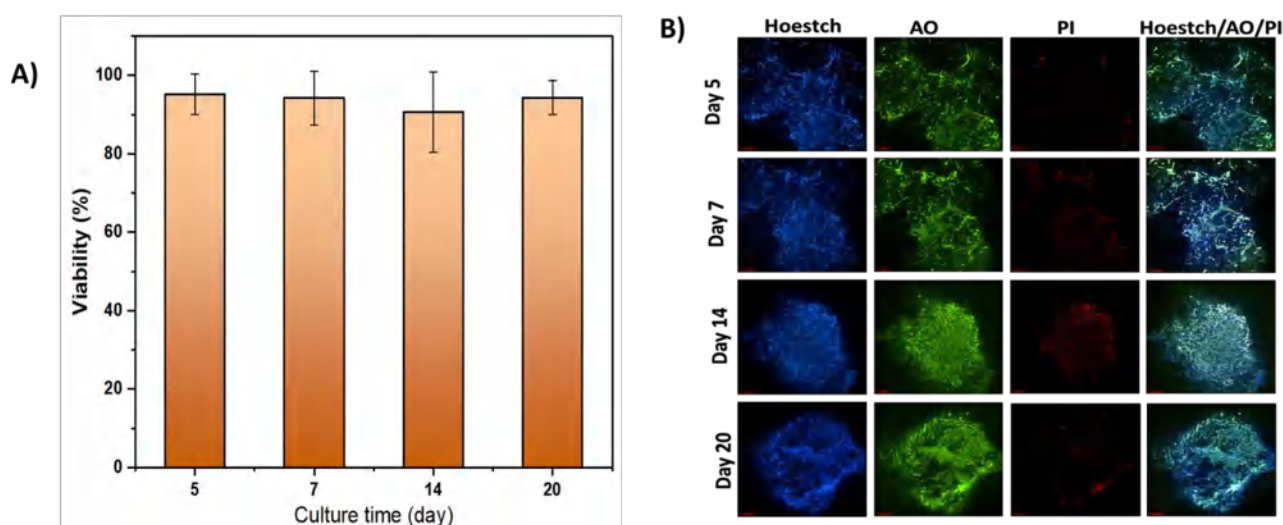


Figure 5.12: The cytotoxicity of the resultant hydrogel.

A) The viability of hMSCs with the hydrogel-extracted solution (10 mg/mL) was assessed with an SRB assay compared to non-treated cells at different culture times. B) Live/dead staining of hMSCs laden with hydrogel was performed with Propidium Iodide (PI, red, dead cells), Acridine Orange (AO, green, live cells) and Hoechst 33342 (blue, cell nucleus).

5.5 The potential of catechol hydrogel-based HNP BG for bone regeneration.

5.5.1 *In vitro* biomineralisation

Bioactivity is one of the most essential characteristics of the material for bone regeneration, which can be confirmed by monitoring the growth of hydroxycarbonate apatite (HCA) layers after immersing material in SBF for defined periods. SEM observed the apatite-deposited surfaces with chemical compositions–EDS analysis, as shown in **Figure 5.13**. Microscopic observations by SEM revealed that the PDA scaffolds' surface remained unchanged, depicting a relatively flat surface with a shallow signal of Ca and P elements after seven days of SBF immersion. After 14 days of mineralization of SBF, the supersaturated ions in the SBF continued to precipitate on the surface of PDA. The EDS mapping suggested that the ratio of Ca/P on PDA was 6. However, from the XRD analysis (**Fig. 5.13C, D**), it was found that the deposited material on the PDA was not apatite. In the case of hydrogel with HNP BG, HNP BG was observed on the surface of scaffolds with cauliflower-like structures. The surface was almost entirely covered by a large amount of tiny crystals when incubated longer in SBF. Further, the EDS map images (**Fig. 5.13B**) proved that HNP derivative hydrogel surface composition was found to be Ca and P elements with a higher Ca/P ratio over 2.0, predicting the presence of HA and another form of calcium, such as calcite. As per the reference from JCPDS no. 09-0432, the XRD pattern of hydrogel forming with HNP BG concluded that these precipitated crystals belonged to HA. It was also observed that the glass transformed into a nearly amorphous phase after 14 days of immersion. The glass had become more amorphous, and the HA peaks at $2\theta = 28.35^\circ$ (1 0 2) and $2\theta = 39.8^\circ$ (1 1 3) became sharper, indicating the formation of crystalline HCA. Along with the HCA signal, diffraction spectra of the HNP BG-driven hydrogel shown in **Figure 5.13C, D** confirmed the appearance of calcite. However, the diffraction intensity of these peaks was higher in PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ than in the single PDA hydrogel. Compared to native HNP BG (**Fig. 5.13F**), EDS mapping results showed that increased aggregation of Ca and P was observed on dual DA derivatives after 7 days of soaking, and these signals were identical to PDA₁₅@HNP BG/ H₂O₂. The aggregation of the new Ca and P on PDA was observed on the surface of PDA₁₅@HNP BG/ H₂O₂ when the soaking time was extended to 14 days. In other words, ADA might help to increase the driving force of the crystallisation process resulting in an increase in biomineralisation. This is because alginate matrices contain carboxylate groups, which can interact with diffusing solutes such as Ca²⁺ from the SBF medium or the HNP BG, consequently increasing the supersaturation concerning the promotion of the nucleation of apatite or calcite structures on the surface of the PDA–ADA composite hydrogel in the early time as compared to HNP BG only [247, 261].

Therefore, the bioactivity of PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ was extensively higher than that of others.

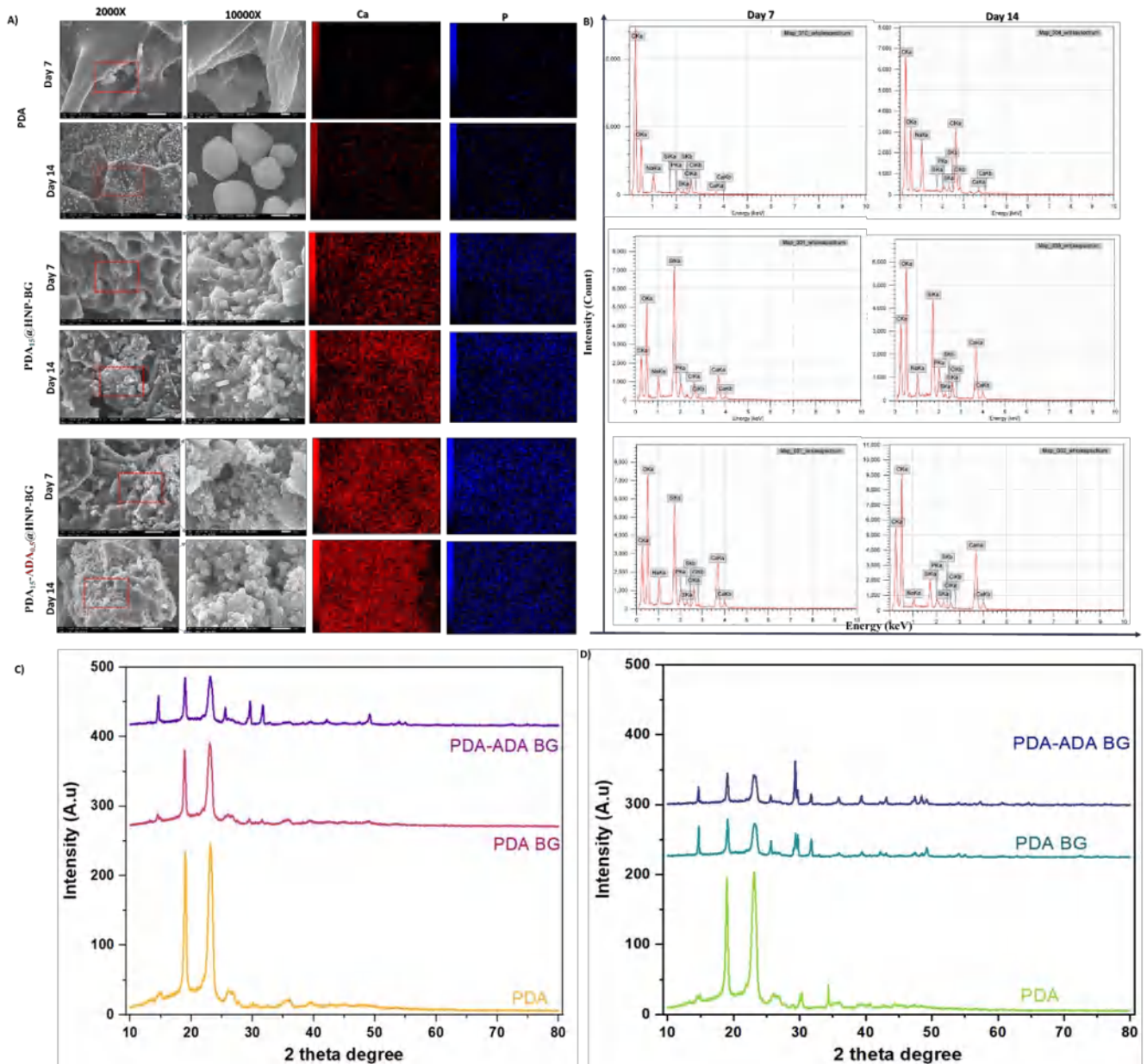


Figure 5.13: *In vitro* biomineralisation promotive property of the design hydrogel.

A) The surface of this hydrogel at different magnifications (2000 X and 10.000 X) following the characteristic elements of Ca and P distribution and their corresponding EDS spectra (B) X-ray diffractometer patterns after C) 7 days and D) 14 days immersion in SBF at 37 °C.

5.5.2 Osteoinductive potential

Bone mineralization resulting from calcium deposition is a late marker in osteogenic differentiation. hMSCs were used to test whether PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ induced osteogenic differentiation. Calcium mineralization was detected via Alizarin Red S staining.

As shown in **Figure 5.14**, calcium nodules were produced in the PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ on day 7, while this phenomenon was lacking in the control hMSCs. The Alizarin Red staining of hMSCs cultured with hydrogel composite appeared more extensive at day 14 of differentiation, while the mineralization of nearly the entire well was observed in control.

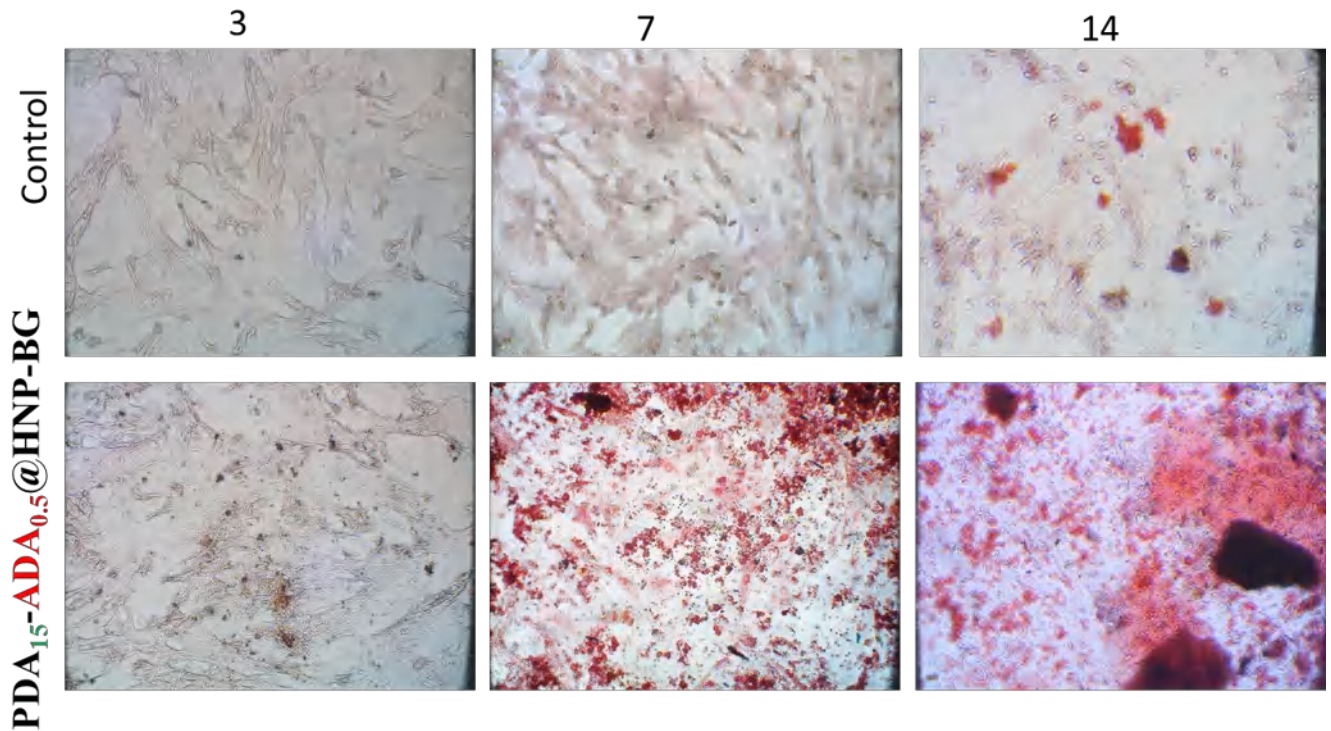


Figure 5.14: Osteoinductive potential of PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel in an hMSC model.

Alizarin Red staining of hMSCs in culture with PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel after 3, 7 and 14 days of culture.

5.5.3 Anti-bacteria

As an effective material for combating infection and stabilising the chemical internal environment of bone defect sites, the antibacterial properties of the PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel were also implemented in this study. The PDA₁₅-ADA_{0.5} formed by the HRP enzyme and H₂O₂ was used for comparison purposes, defined as the control sample. *S. aureus* and *E. coli* were selected as models in this experiment, and the results are displayed in **Figure 5.15**. It was possible to verify that the PDA₁₅-ADA_{0.5} hydrogel without HNP BG does not possess antimicrobial activity. The bacterium growth increased in the first 24 h (10⁹ CFU/mL, $p < 0.0001$), remaining stable at high bacterial concentrations during the rest of the assay period. When the bacterium was exposed to PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel, a remarkable decrease in the bacterium growth was detected. The hydrogel reduced the bacterial

levels to lower than 10^2 CFU/mL, and $\geq 99\%$ of *S. aureus* and *E. coli* were killed. Overall, the bacteria seem to be susceptible to HNP BG. It is well known that bioglass has intrinsic bacteria. The exchange of network-modifier ions in bioglass with hydrogen ions from the media causes an increase in pH and chaos in the osmotic pressure consequently inhibiting bacterial growth [30, 33, 174]. Therefore, PDA₁₅-ADA₃@HNP BG/ H₂O₂ hydrogels showed higher levels of antibacterial activity than the hydrogel formed with the HRP enzyme. Therefore, PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ is an ideal material for bone regeneration applications.

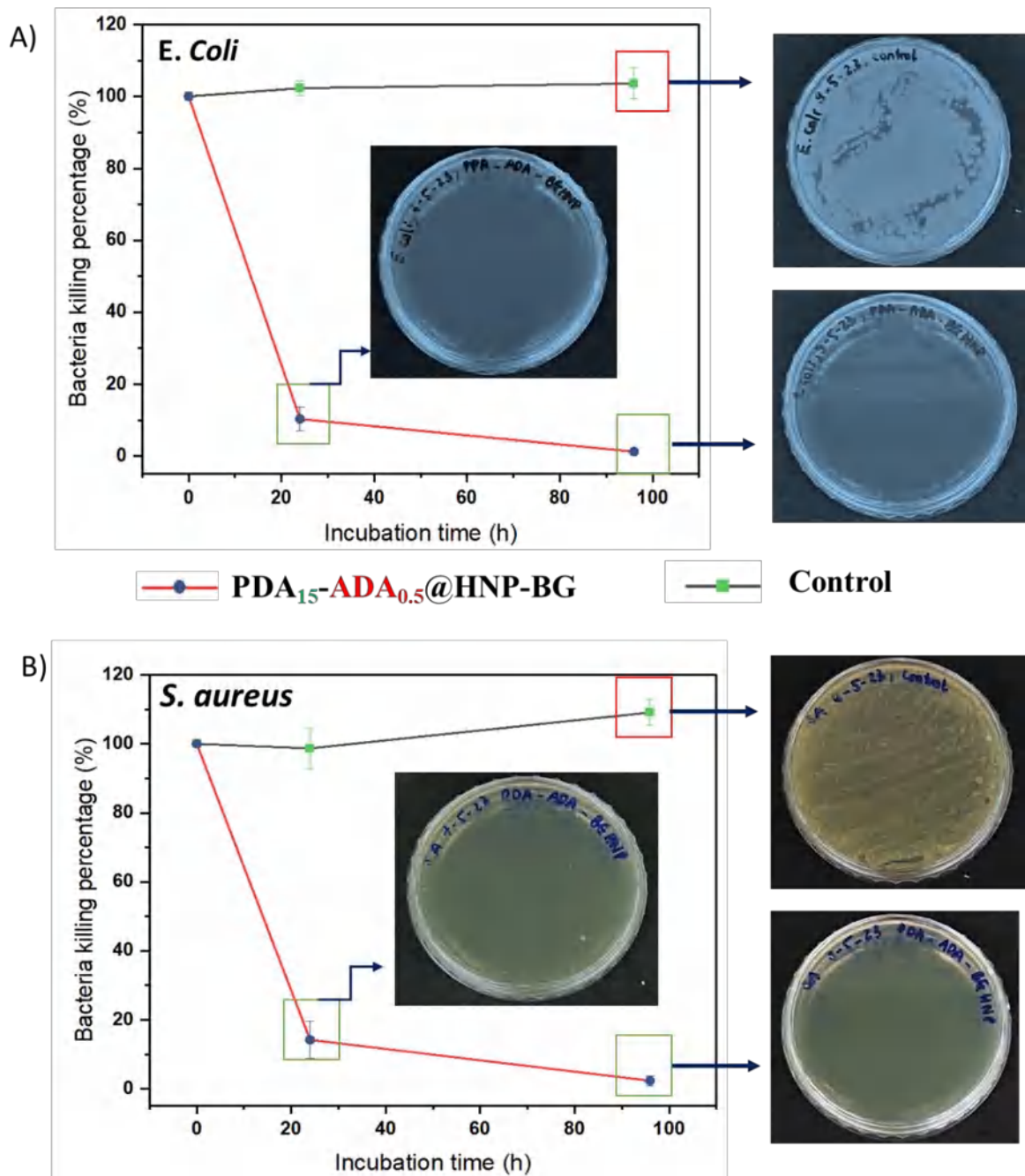


Figure 5.15: Antibacterial activity evaluation of PDA₁₅-ADA₃@HNP BG/ H₂O₂ hydrogel.

Evaluation of the inhibition of hydrogels on the growth of A) *E. coli* and B) *S. aureus* at 24 h and 96 h incubation. The figure embeds images of surviving bacterial clones on agar plates.

5.5.4 *The immunological responsive to implanted hydrogel*

It is well-known that, upon implantation, materials and devices would trigger the local inflammation which may cause a breakdown of the implant or the leaching of toxic species into the tissue from the underlying layers[302, 307, 308]. Therefore, the performance of the PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ hydrogel was assessed in vivo in mice. In this study, hydrogel with two difference doses (0.2 mL and 0.4 mL) was delivered to the subcutaneous injection site through a 28 gauge needle. The tissue response to the implant was studied with the blood test as well as histopathological data as shown in figure 5.16. Upon injecting PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂, both groups displayed a red nodule at the injection site (**Fig 5.16A**). The red nodule generally tends to resolve. None of the complications ranging from necrosis to any inflammatory changes such as edema, bruising, and erythema were observed during follow-up periods. In addition, hydrogel could be observed under the skin over the course of the following week. No mice showed signs of discomfort or distress, a change in behavior, or a change in physical impairment, confirming hydrogel induced no signs of toxicity[308]. To determine the magnitude of the systemic inflammatory response to PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ hydrogels after implantation, the level of C-reactive protein (CRP) and the concentration of white blood cells (immune cells) were accessed. CRP, categorized as a pentraxin, functions as an extensively employed marker for inflammation in clinical contexts[309]. CRP plays an active role in the innate immune response by binding to microorganisms and damaged cellular components via phosphocholine interactions[310]. Consequently, this instigates complement activation and initiates the process of phagocytosis[309]. In other words, elevated CRP levels are detected in conditions that trigger an inflammatory reaction along with the concentration of white blood cells[311]. The normal level of CRP in mice serum was $2.25 \pm 0.33 \mu\text{g/mL}$ (IQR: 1.89-2.56 $\mu\text{g/mL}$) (**Fig 5.16B**). As shown in **Figure 5.16C**, CRP levels rapidly rise within the first 6h implantation in both groups compared with the normal group (0.2 mL vs normal, $t=13.79$, $p<0.0001$, 95%CI=5.16-7.24; 0.4 mL vs normal, $t=11.3$, $p=1.42 \times 10^{-4}$, 95%CI=4.69 -7.10). Similarly, 100% mice with hydrogel implants encountered significant leukocytosis, which is characterized by a WBC count increase of $\geq 50\%$ (**Fig 5.16 D-E**). Hydrogel dose, however was clearly non-correlated with increased WBC count (0.2 mL vs 0.4 mL: $17.0 \pm 1.7 \text{ G/L}$ vs $17.2 \pm 1.2 \text{ G/L}$, $p=0.835$). The level of CRP and WBC in blood serum started declining. The CRP levels returned to baseline levels (1.89-2.56 $\mu\text{g/mL}$) from day 3 (72h) post-injection and was remain so over a 168 h period. In term of white blood cells, all compositions (monocytes, lymphocytes, granulocytes) in the implanted groups were within the range of the normal mice after 3 days. These mice were continuing to be monitored by histological sections of tissues surrounding PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ hydrogel implants (**Fig 5.16 F**). Neither hemorrhage nor necrosis was identified in skin sections after 7 subcutaneous implantation with difference additions. There was no evidence of tissue injury observed in remote muscle fibers at the implantation site. Examination of skin sections through H&E staining with higher magnification in both dose displayed a small influx of cells into the biomaterial. Intriguingly, these cellular infiltrates were

primarily concentrated along the strands of extracellular matrix (ECM) deposited within the hydrogel. Notably, at both tested doses, the hydrogel did not significantly elicit a foreign response when compared to the control group without implantation. There were minimal evidence of typical fibrosis near the interface between the hydrogel and host tissue, and multi-nucleated foreign body giant cells were conspicuously absent in these samples. These findings indicate that the formulated hydrogel exhibited outstanding biocompatibility[308]. Together with intrinsic anti-bacteria property, this research showcases the biocompatibility of PDA₁₅-ADA_{0.5}@HNP BG/ H₂O₂ hydrogel implants as a successful scaffold for bone regeneration.

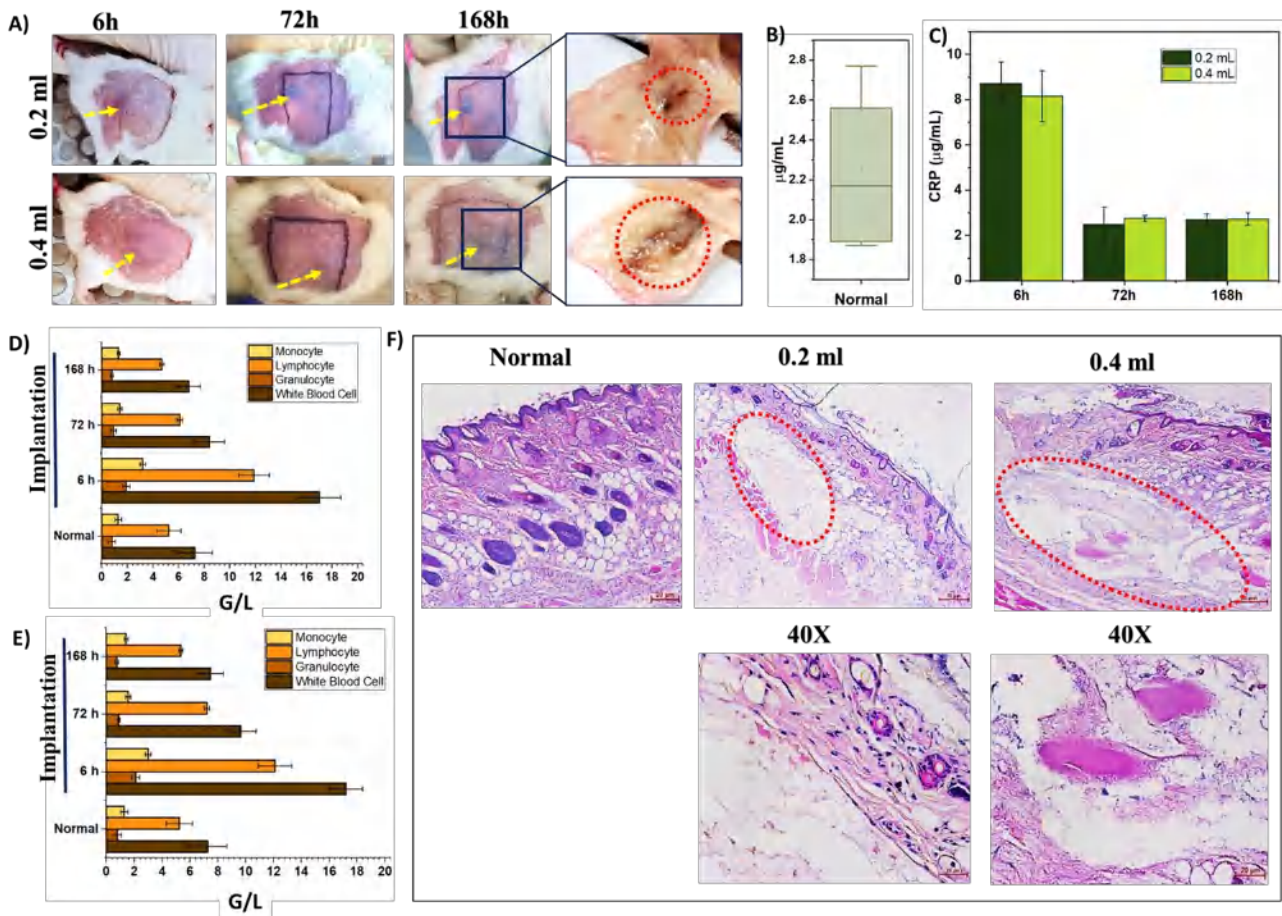
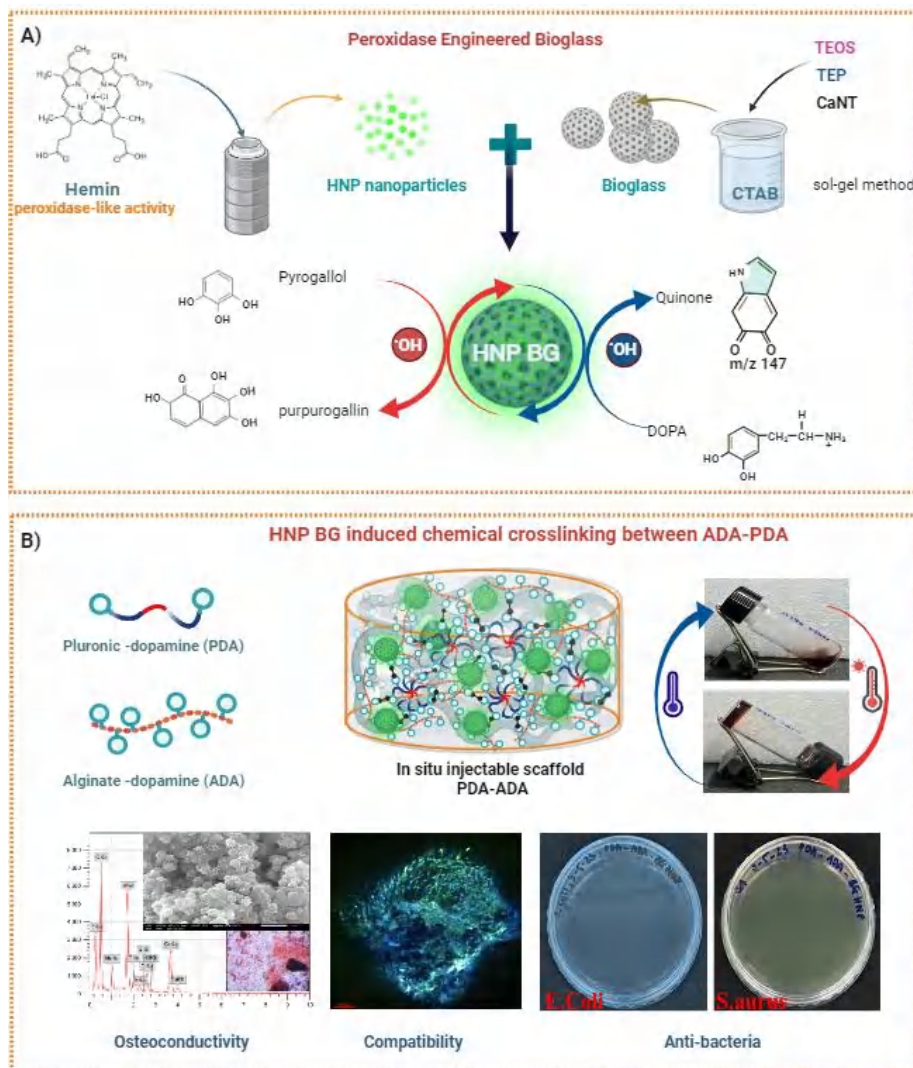


Figure 5.16: *In vivo* immunological response to the implanted designed hydrogel.

A) Representative images of hydrogels with 2 doses (0.2 mL and 0.4 mL) following subcutaneous injection into mice at different time intervals. B) Serum CRP content in normal mice and C) in the mice with the hydrogel. In vivo whole white blood analysis following hydrogel implantation at different time intervals with dose of doses: 0.2 mL and E) 0.4 mL. Data was presented as mean \pm SD, n = 4. F) Representative hematoxylin and eosin-stained sections of PDA₁₅-ADA_{0.5}@HNP BG/H₂O₂ hydrogel implants with surrounding tissue after seven days (168h). H denotes the hydrogel. Red eclipse with dash line are the tissue surrounding and the zoomed areas—scale bars: 20 μ m.

5.6 Conclusion



Schematic 5.1: A) The illustration of the peroxidase mimicking bioglass based on hemin nanoparticles (HNP BG) and B) its potential application in making injectable catechol based hydrogel from dopamine derivative polymer.

In summary, given the outstanding versatility of peroxidase-mimicking material, we present a new strategy for developing nanozyme-based bioglass (HNP BG) by introducing HNPs on the bioglass network. Due to the surficial hemin particles, HNP BG shows a peroxidase-like activity similar to the HRP enzyme. Intriguingly, the catalytic activity of HNP BG was over a broader temperature range than that of the native enzyme. Further, HNP BG performed its catalyst activity in the oxidation of DA to aminochrome, consequently forming polydopamine. This perspective shows that HNP BG is the alternative catalyst for dopamine crosslinking hydrogel based on alginate and Pluronic. Moreover, due to oxidative DOPA and intermolecular complexes between the alginate backbone and ions released from HNP BG, the resultant hydrogel had many interconnections via covalent bonding. This hydrogel also showed thermo-

sensitivity and injectable properties, which makes it ideal for in situ bioscaffold systems. Testing with hMSCs in both 2D and 3D cultures, the bioscaffolds exhibited biocompatibility. More importantly, the HNP BG endows the hydrogel with osteogenic activity and is antibacterial. It is believed that such an intelligent artificial enzyme-based bioglass will bring exciting new opportunities for making in situ injectable bioscaffold-based catechol derivative polymers for bone regeneration (**Schematic 5.1**).

CHAPTER 6: CONCLUDING REMARKS

6.1 Concluding remarks

This work developed injectable hydrogels comprising a thermally responsive polymer, Pluronic F127, and alginate using different methods with specific stiffness and specific biological cues for specific tissue regeneration.

- Successfully synthesized the thermal responsive hydrogel from the alginate derivative to pluronic via the grafted copolymer techniques, in detail:

- + Successfully prepared ACP hydrogel with thermal responsiveness to body temperature.

- + Successfully prepared the dual bioactive compounds (Resveratrol and L-arginine) loaded hydrogel, AR-ACP.

- + Successfully provided the practical status of the hydrogel on wound healing model in diabetic animal

- Successfully synthesized the thermal-responsive hydrogel from alginate and pluronic via the crosslinking technique, in detail:

- + Successfully prepared bioglass with peroxidase mimicking enzyme, HNP BG.

- + Successfully prepared the hybrid hydrogel with temperature-responsive behavior, alginate, and pluronic using synthesized bioglass as a catalyst, ADA-PDA@HNP BG/ H₂O₂.

- + Successfully provided the status of the hydrogel on the ability in bone regeneration.

The overall conclusions derived from this work are that injectable, in situ forming hydrogels based alginate and Pluronic F127 with different chemical crosslinking strategies can be developed for tissue engineering applications. The grafting approach and crosslinking techniques can be designed for the hybrid thermal responsive hydrogel based on alginate and Pluronic F127. Both resultant hydrogels are liquids at cool temperatures for injectable administration, rapidly forming three-dimensional and nonshrinking hydrogel networks in situ to stabilize and contour to irregular defect shapes, enable simple and localized delivery of cells, and finally, degrade to allow for tissue growth. By adding bioactive agents such as L-arginine, Resveratrol, or BG, alginate-pluronic hydrogels become attractive platforms for new tissue regeneration intentions. These intelligent hydrogels can afford a safer, more successful, and potential alternative for many implementations in biomedicine, including but not limited to early detection of diseases, disease control, and tissue engineering.

6.2 Future perspective

These research expansions encompass the different methods for the development of different hybrid hydrogels, which similarly points to the elaboration of novel technicalities of the extrinsic stimuli-responsive hydrogels. Despite the exciting outlook of designing thermal responsive hydrogel as the alternative EMC scaffold, numerous tasks will be done to gain a

deeper scientific understanding, technological maturation, and eventual translation, adoption, and commercialization of the product.

First, future research should focus on the scaling ability of the synthesis process to estimate the potential transferred techniques to the industry. In other words, the optimization process to increase the pilot of the study should be clarified. Second, the stability of the product should be approached to understand its storage condition and aging information. Although animals were used in this study, the mice's skin or mice's immunological systems are quite different from humans; large animals, such as dogs and pigs, ... should be used in the future. In addition, the hydrogel showed the best adaptation to cells. This provides evidence for applying cell-laden hydrogels, which will be further studied to provide information on their application in the multifunctional tissue engineering field. For example, further research should be considered with tissue regeneration with personalized medicine using cell therapy combined with the designed scaffold. Furthermore, the standardized criteria for evaluating these hydrogels should be conducted to bring the product to clinical trials.

LIST OF PUBLICATIONS

1. **Le Hang Dang**, Phuong Doan, Tran Thi Yen Nhi, Dinh Trung Nguyen, Bich Tram Nguyen, Thi Phuong Nguyen, and Ngoc Quyen Tran. "Multifunctional injectable Pluronic-cystamine-alginate-based hydrogel as a novel cellular delivery system towards tissue regeneration." *International Journal of Biological Macromolecules* 185 (2021): 592-603.
2. Vo Le, Tuong Van, Ngoc Quyen Tran, **Dang Le Hang**, Thanh Tuyen Nguyen, Quynh Anh Bui, Nguyen Dinh Trung, Nguyen Dat Thinh et al. "Impacting different structures of injectable Pluronic-conjugated alginate (chitosan) hydrogels on their physicochemical characteristics and morphological fibroblast behavior." *International Journal of Polymer Analysis and Characterization* 27, no. 3 (2022): 205-219.
3. **Le Hang Dang**, Hong Tuoi Do, Kim Tram Pham, Phuong Thu Ha, Thi Phuong Nguyen, Tan Phat Dao, Ngoc Quyen Tran. "Injectable thermogel incorporating reactive oxygen species scavenger and nitric oxide donor to accelerate the healing process of diabetic wounds". *International Journal of Pharmaceutics* 648 (2023): 123576.
4. **Le Hang Dang**, Vu Nhu Quynh, Thuy Tien Nguyen, Thi Hong Tuoi Do, Thi Kim Tram Pham, and Ngoc Quyen Tran. "Thermally-responsive and reduced glutathione-sensitive folate-targeted nanocarrier based on alginate and pluronic F127 for on-demand release of methotrexate." *International Journal of Biological Macromolecules* 263 (2024): 130227.
5. **Đặng Thị Lê Hằng**, Trần Ngọc Quyên, National Patent (VN 1-2021-01465): QUY TRÌNH TỔNG HỢP HYDROGEL NHẠY NHIỆT TỪ VẬT LIỆU ALGINAT-CYS-PLURONIC, cấp năm 2024.

Other related publications

6. **Le Hang Dang**, Thai Tuan Tran, Minh Tuan Nguyen, Thao- Han Luong, Dat Thinh Nguyen, Minh-Dung Truong, Phuong Le, Hai Khoa Le, Thuy-Tien Dang, Ngoc Quyen Tran. "Syringeable hydrogel based β -cyclodextrin and mixed micelles for Methotrexate delivery". *Journal of Drug Delivery Science and Technology*, 92 (2023), 105299.

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APPENDIX

A1: Rheology of Pluronic F127 at 20 %wt

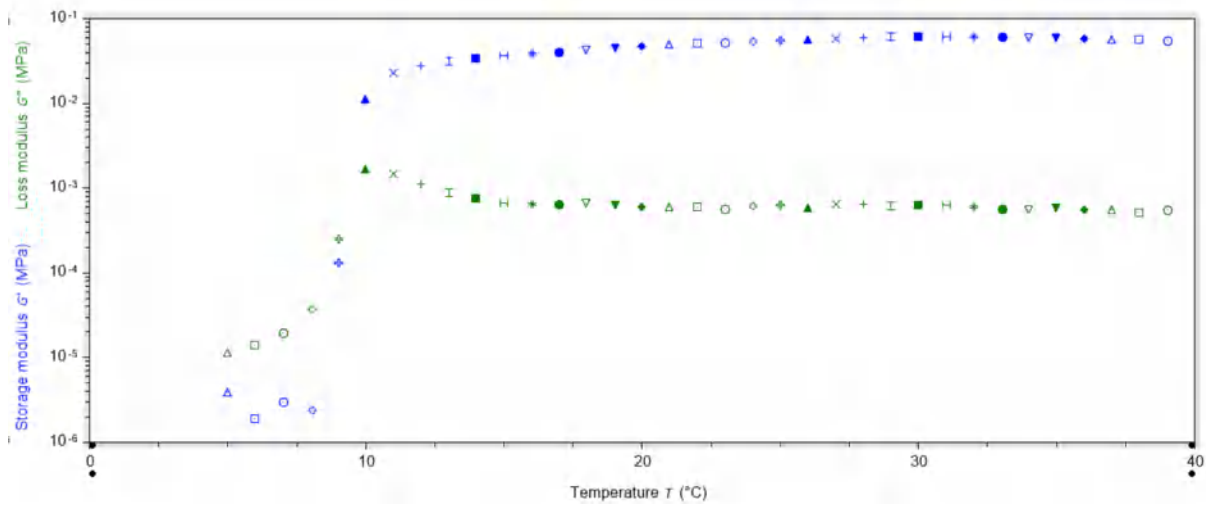


Figure A1: Temperature sweep function of pure Pluronic F127 at 20 wt%.

A2: Live/dead assay for screening single loading agents.

Experimental procedure:

Human fibroblast cells, BJ cells (ATCC® CRL-2522™) were used for cytotoxicity evaluation following the previous study (Dang et. al., 2021) In this study, all hydrogels were plated onto a 35 mm culture dish separately. These ACP dishes were incubated at 4 °C for 4 h before incubating at 37 °C overnight. Ultraviolet (UV)-light was involved to sterilize. 2×10^5 BJ cells/mL suspended in complete DMEM (10% FBS and 0.1% penicillin-streptomycin) were seeded on these dishes. The live/dead assay was performed based on the dual staining acridine orange (AO)/propidium iodide (PI) method. The morphology of BJ cells was observed under a confocal microscope (Andor) with dual laser channels.

Result:

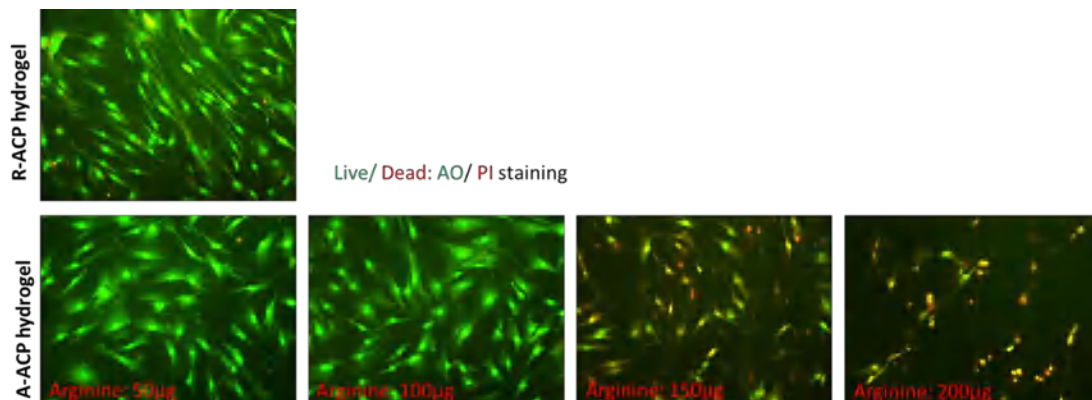


Figure A2: The live/dead image via dual AO/PI staining technique for human dermal fibroblast (BJ cell) at 24 h cultured on different single loading ACP hydrogel system.

A3. HPLC chromatogram for the released data

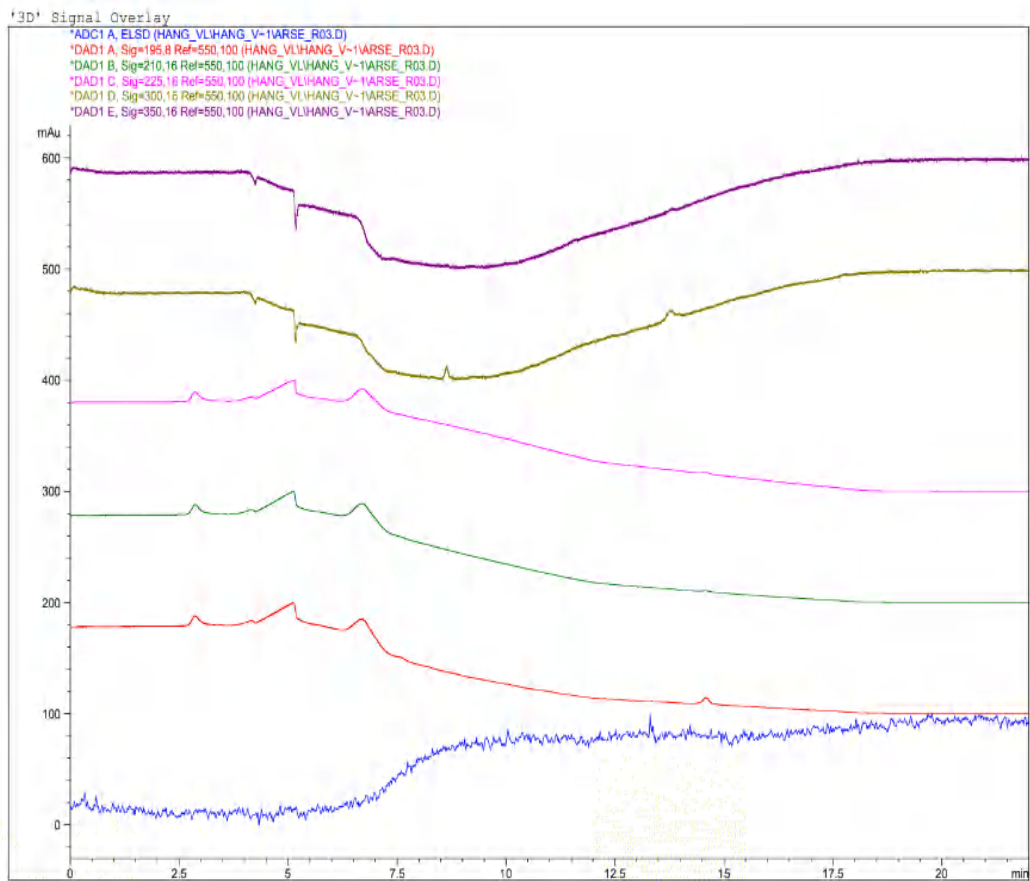


Figure A3A: HPLC chromatogram of blank ACP hydrogel

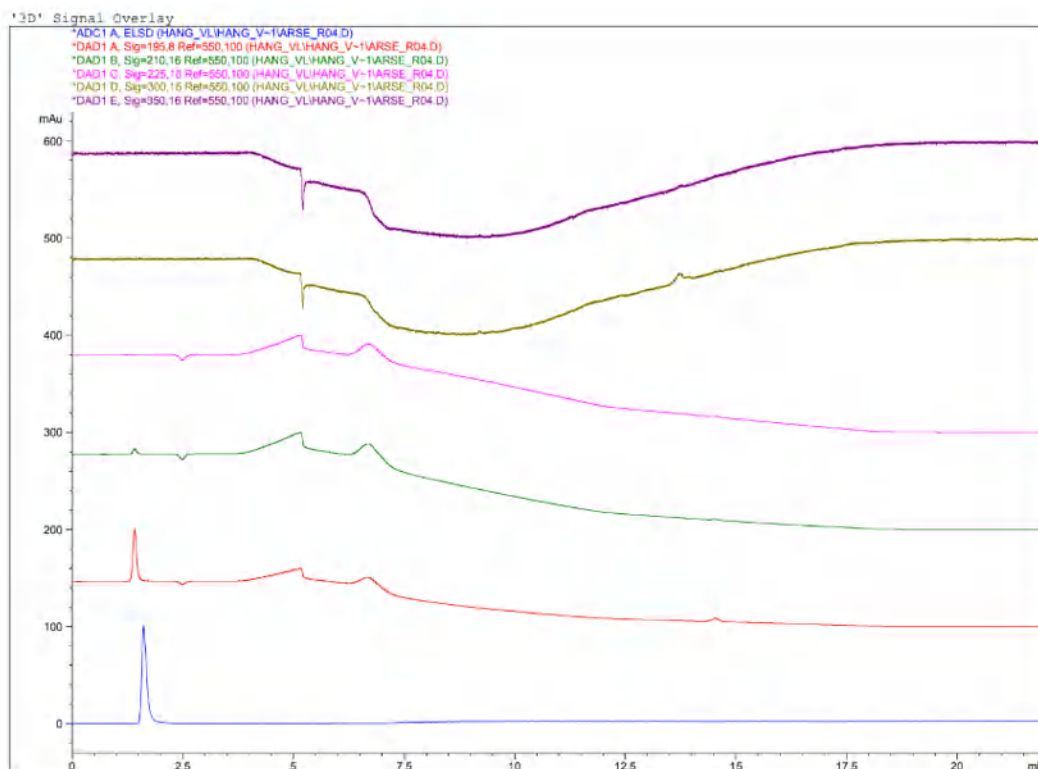


Figure A3B: HPLC chromatogram of L-arginine in ACP hydrogel

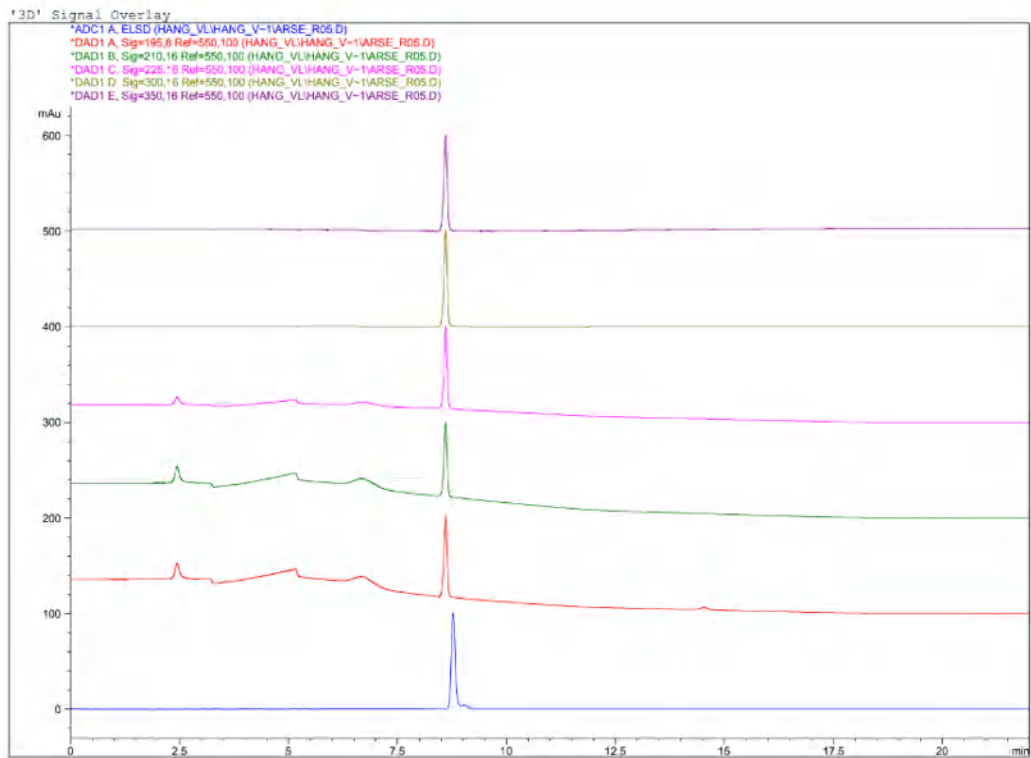


Figure A3C: HPLC chromatogram of Resveratrol in ACP hydrogel

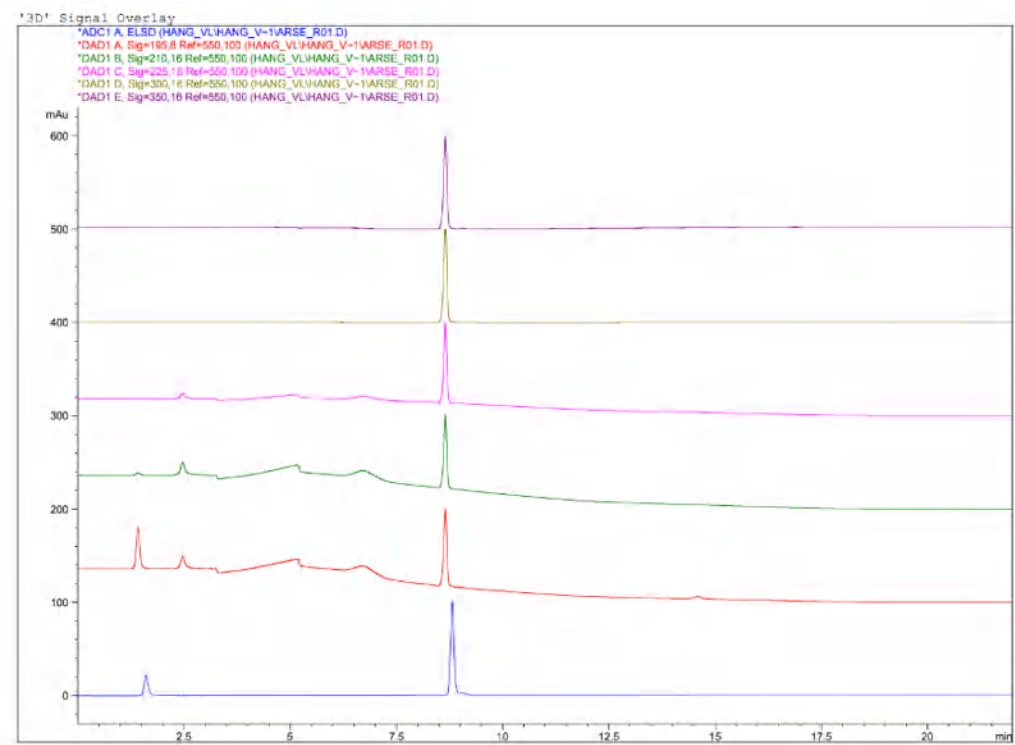


Figure A3D: HPLC chromatogram of Resveratrol in combination with L-arginine in ACP hydrogel.

A4. The cytotoxicity of the extracted hydrogel on fibroblast.

Experimental procedure:

All type hydrogels were weighted to make the determined concentration. After allowing gelation, the gel was minced with culture media. The cell strainer (40 μm) was used to collect the extracted liquid. Fibroblast (BJ cells) was seeded on 96 well plate with density of 2×10^3 cells/well. After 5h maintain in CO_2 incubator, the older media was withdrawn and then replaced by the media containing extracted liquid. At the determine time, MTT assay (ab211091) was applied to calculated the viability. The procedure was followed the guidance of manufactory. In addition, live/dead assay was applied with dual AO/PI staining to observe the change in morphology of fibroblast cells.

Result:

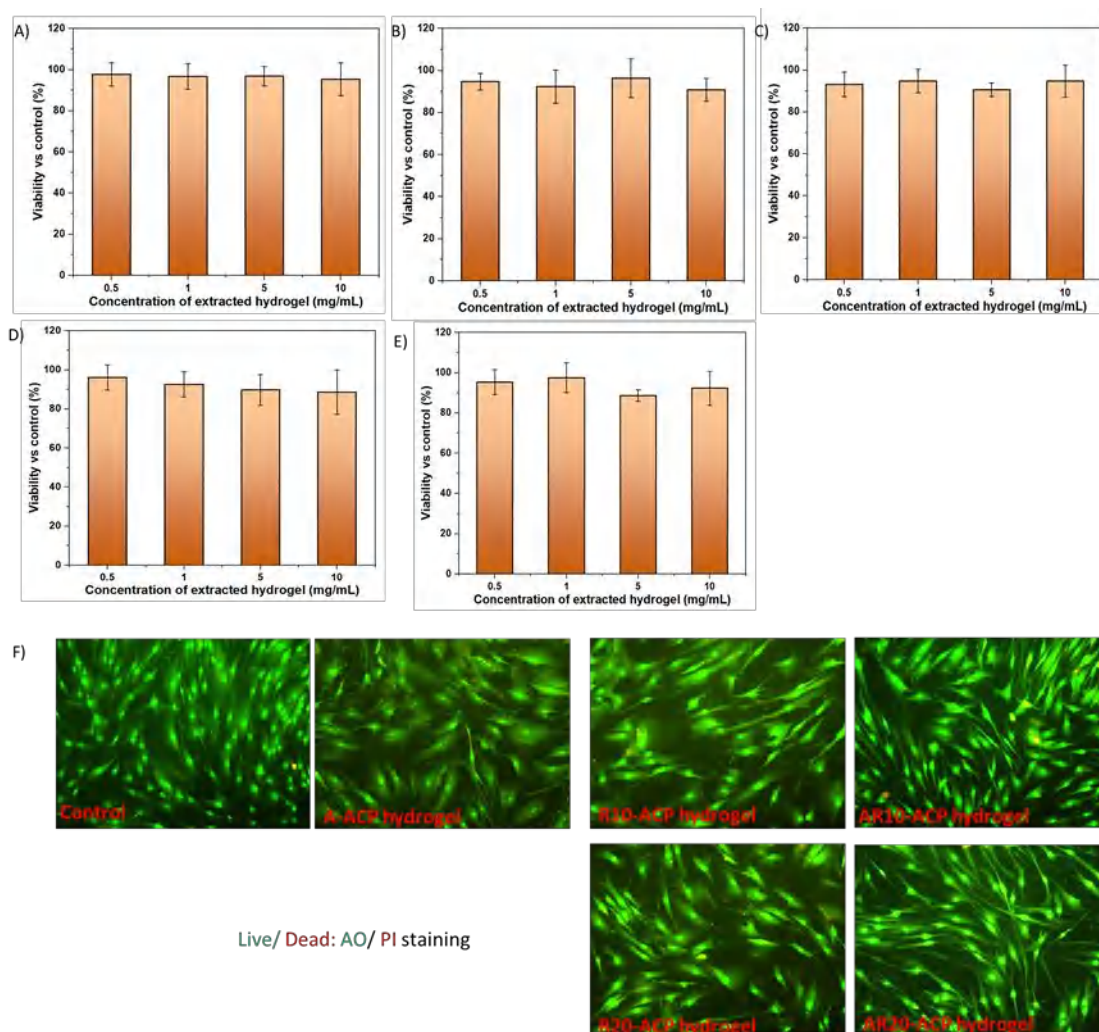


Figure A4: The viability of fibroblast cells (BJ cells) in respective to control was determined by MTT assay after 48h treatment with the indicated concentration of extracted hydrogel samples: A) R10-ACP hydrogel; B) R-20 ACP hydrogel; C) A-ACP hydrogel; D) AR10-ACP hydrogel and E) AR20- ACP hydrogel. Results are expressed as the mean \pm SEM of 3 independent experiments. The live/dead assay via dual staining AO/PI was performed with the BJ cell culturing with 10 mg/mL extracted hydrogel.

A5. The HE staining image at 10th day and 18th day

Dark line: epidermis layer

Red line: muscle layer

Green arrow: sebaceous gland

Yellow arrow: blood vessel

Blue arrow: the infiltration of immune cells

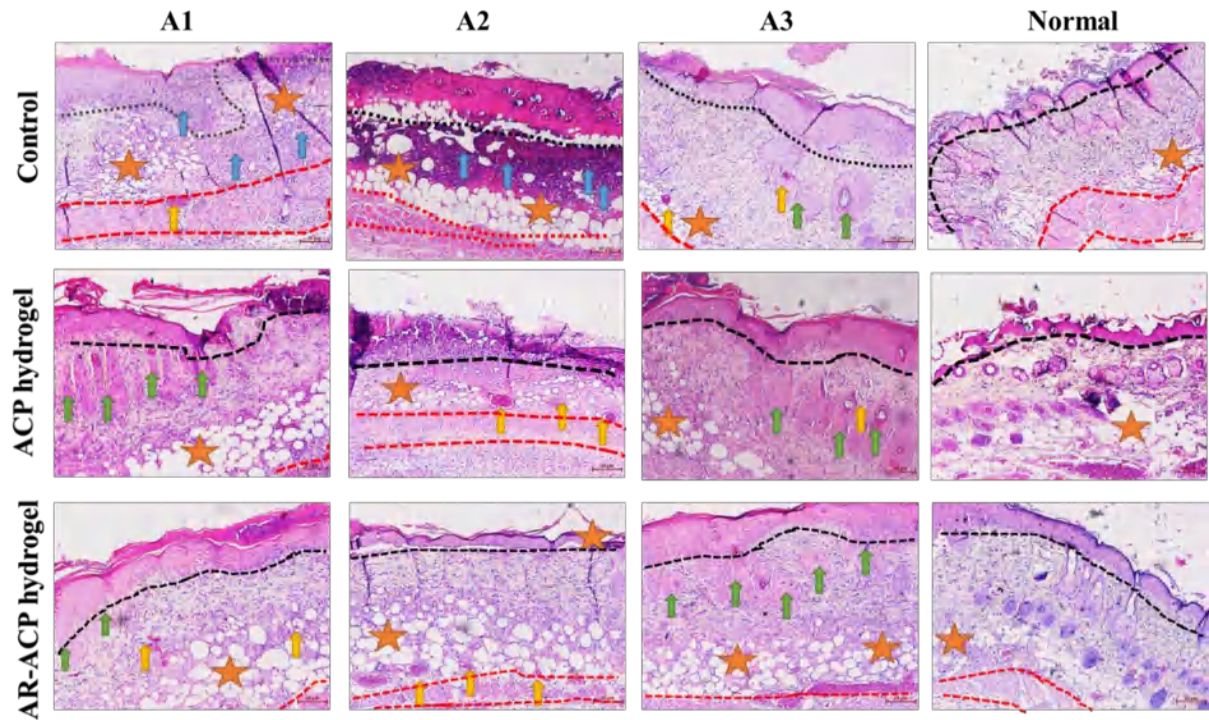


Figure A5A: H&E staining images of diabetic wound tissues at 10th day after different treatments, respectively. Wound edge (A1 and A3) as well as the center (A2) of wound bed.

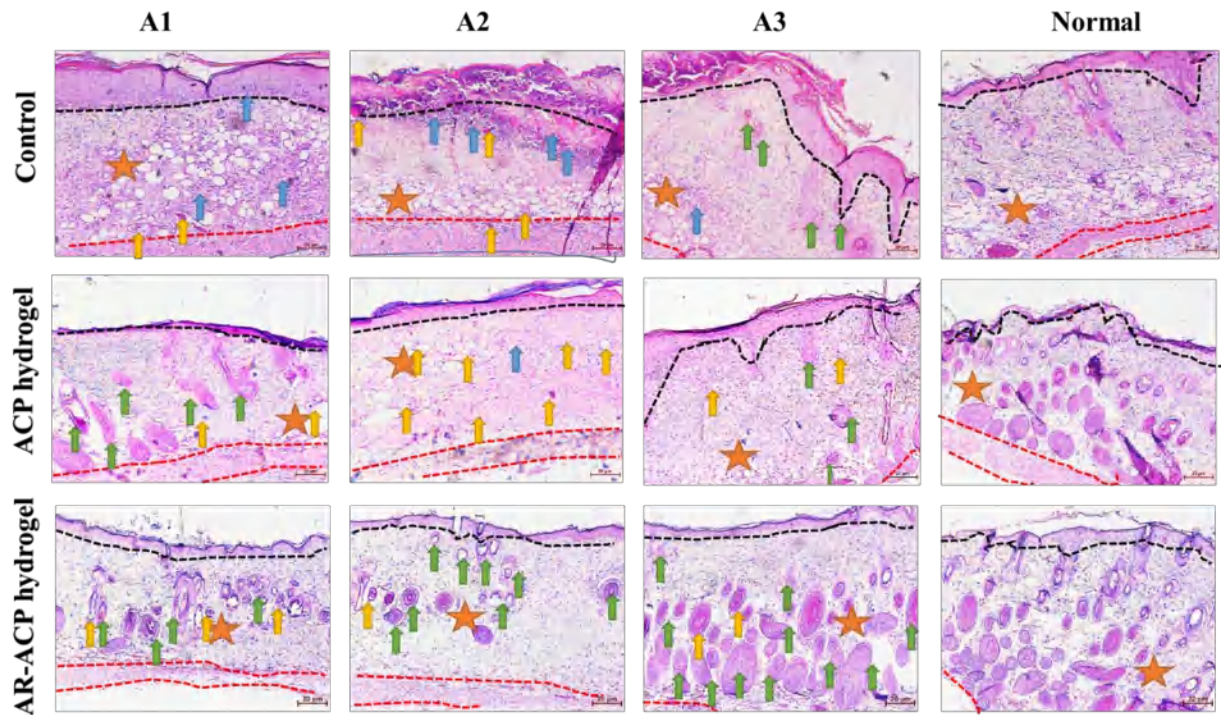


Figure A5B: H&E staining images of diabetic wound tissues at 18th day after different treatments, respectively. Wound edge (A1 and A3) as well as the center (A2) of wound bed
A6: The MT Staining image at 10th day and 18th day

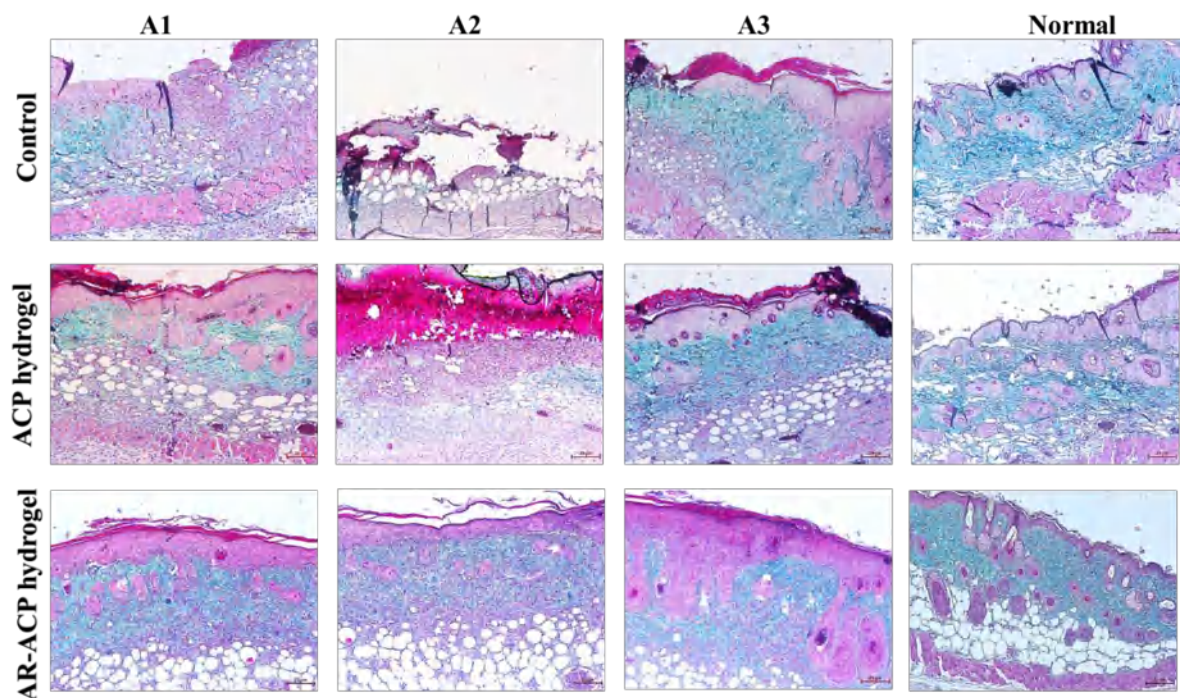


Figure A6A: Representative trichrome images of wounds at 10th day post treatment. Wound edge (A1 and A3) as well as the center (A2) of wound bed.

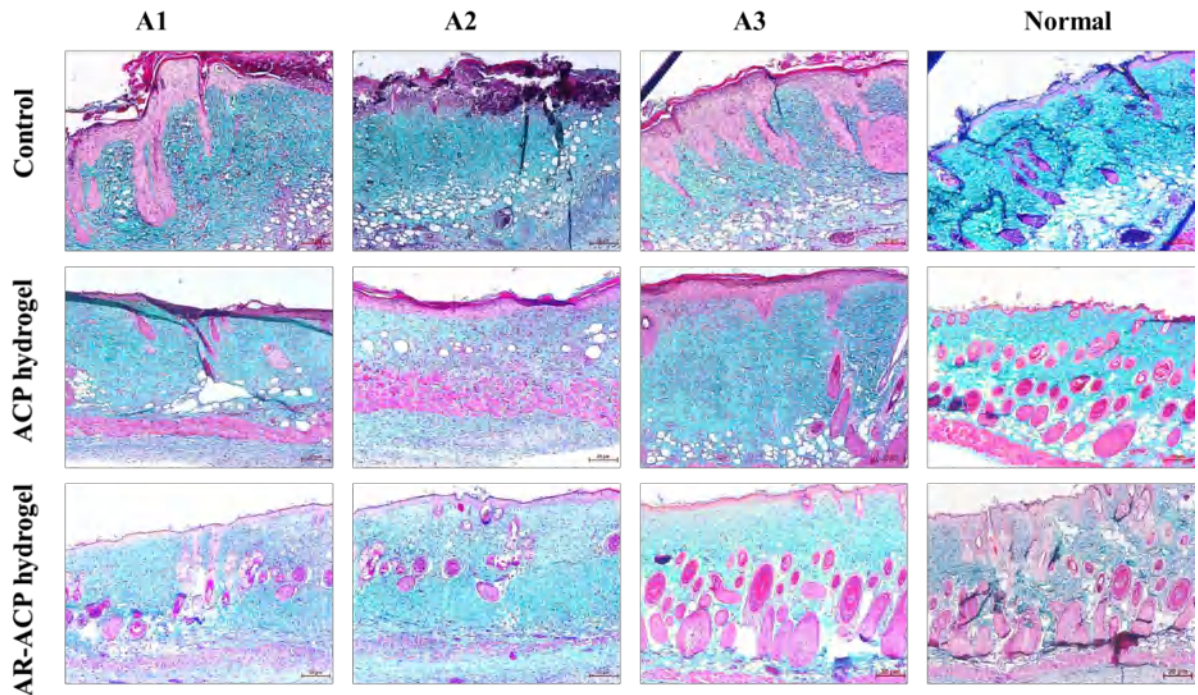


Figure A6B: Representative trichrome images of wounds at 10th day post treatment. Wound edge (A1 and A3) as well as the center (A2) of wound bed.

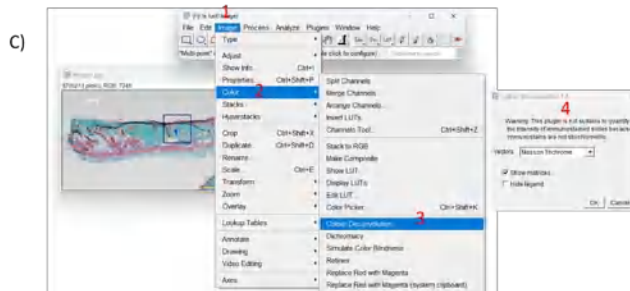
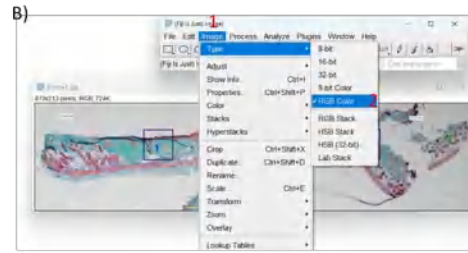
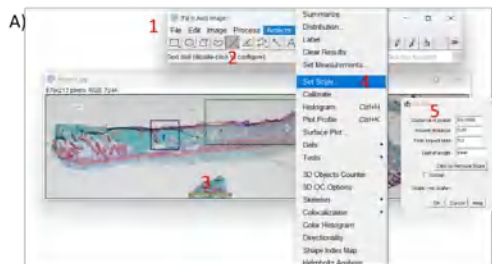
Table A1: The kinetic release model of L-arginine and Resveratrol from difference type of ACP hydrogel.

Arginine	Biological cues	Release Data			K	n	Higuchi	Hixson-Crowell	Modified KP*			
		Info	Zero Order	First Order					n	t _{lag}	K	
AR10-ACP	A-ACP		0,37	0,23	0,67	n/a	n/a	0,27	0,98	0,23	0,15	32,57
			0,57	0,31	0,76	n/a	0,62	0,39	0,99	0,26	0,15	24,67

*KP: Korsmeyer-Peppas; n/a: non observation.

Resveratrol				
AR20-ACP	AR10-ACP	R20-ACP	R10-ACP	AR20-ACP
0,95	0,99	0,96	0,95	0,45
0,73	0,72	0,59	0,62	0,28
0,99	0,99	0,94	0,96	0,74
8,907	7,200	13,03	11,66	n/a
0,54	0,62	0,68	0,67	0,66
0,99	0,93	0,88	0,90	0,49
0,82	0,82	0,7617	0,76	0,33
0,79	0,79	0,94	0,92	0,98
0,53	0,61	0,48	0,47	0,24
0,003	0,002	0,14	0,13	0,15
8,94	7,22	18,09	15,19	24,307

Appendix M1: Procedure for processing images with ImageJ for histology staining analysis. A) Scale setting, B) Color setting, C) Color deconvolution



Số: 346/QĐ-HVKHCN

Hà Nội, ngày 15 tháng 4 năm 2024

QUYẾT ĐỊNH
Về việc thành lập Hội đồng đánh giá luận án tiến sĩ cấp Học viện

GIÁM ĐỐC
HỌC VIỆN KHOA HỌC VÀ CÔNG NGHỆ

Căn cứ Quyết định số 303/QĐ-VHL ngày 01/03/2023 của Chủ tịch Viện Hàn lâm Khoa học và Công nghệ Việt Nam về việc ban hành Quy chế tổ chức và hoạt động của Học viện Khoa học và Công nghệ;

Căn cứ Thông tư số 08/2017/TT-BGDĐT ngày 04/4/2017 của Bộ trưởng Bộ Giáo dục và Đào tạo về việc ban hành Quy chế Tuyển sinh và Đào tạo trình độ Tiến sĩ;

Căn cứ Quyết định số 1948/QĐ-HVKHCN ngày 28/12/2018 của Giám đốc Học viện Khoa học và Công nghệ về việc ban hành Quy định đào tạo trình độ tiến sĩ tại Học viện Khoa học và Công nghệ;

Căn cứ Quyết định số 2019/QĐ-HVKHCN ngày 10/12/2020 của Giám đốc Học viện Khoa học và Công nghệ về việc công nhận nghiên cứu sinh chương trình thông thường đợt 2 năm 2020;

Căn cứ Quyết định số 1408/QĐ-HVKHCN ngày 08/12/2023 của Giám đốc Học viện Khoa học và Công nghệ về việc gia hạn thời gian học tập lần 1: (06 tháng từ ngày 10/12/2023 đến ngày 10/6/2024) cho NCS. Đặng Thị Lệ Hằng;

Xét đề nghị của Trưởng phòng Đào tạo.

QUYẾT ĐỊNH:

Điều 1. Thành lập Hội đồng đánh giá luận án tiến sĩ cấp Học viện cho nghiên cứu sinh Đặng Thị Lệ Hằng với đề tài:

“Tên tiếng Việt: Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt”

Tên tiếng Anh: Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration”

Ngành: Hóa hữu cơ Mã số: 9 44 01 14

Danh sách thành viên Hội đồng đánh giá luận án kèm theo Quyết định này.

Điều 2. Hội đồng có trách nhiệm đánh giá luận án tiến sĩ theo đúng quy chế hiện hành của Bộ Giáo dục và Đào tạo, Học viện Khoa học và Công nghệ.

Quyết định có hiệu lực tối đa 90 ngày kể từ ngày ký và phải đảm bảo thời hạn đào tạo theo quy định của Học viện Khoa học và Công nghệ. Hội đồng tự giải thể sau khi hoàn thành nhiệm vụ.

Điều 3. Trưởng phòng Tổ chức - Hành chính và Truyền thông, Trưởng phòng Đào tạo, Trưởng phòng Kế toán, các thành viên có tên trong danh sách Hội đồng và nghiên cứu sinh có tên tại Điều 1 chịu trách nhiệm thi hành Quyết định này. /.

Nơi nhận:

- Như Điều 3;

- Lưu hồ sơ NCS;

Lưu: VT ĐT TN16



**DANH SÁCH HỘI ĐỒNG ĐÁNH GIÁ LUẬN ÁN TIẾN SĨ
CẤP HỌC VIỆN**



Quyết định số 346 /QĐ-HVKHCN ngày 15/4/2024
của Giám đốc Học viện Khoa học và Công nghệ

Cho luận án của nghiên cứu sinh: Đặng Thị Lệ Hằng

Về đề tài: **Tên tiếng Việt: Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt**

Tên tiếng Anh: Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration

Ngành: Hóa hữu cơ

Mã số: 9 44 01 14

Người hướng dẫn: PGS.TS. Trần Ngọc Quyên - Viện Khoa học học vật liệu ứng dụng, Viện Hàn lâm KHCNVN

TT	Họ và tên, học hàm, học vị	Chuyên ngành	Cơ quan công tác	Trách nhiệm trong Hội đồng
1	GS.TS. Nguyễn Cửu Khoa	Hóa hữu cơ	Học viện Khoa học và Công nghệ, Viện Hàn lâm KHCNVN	Chủ tịch
2	PGS.TS. Phạm Nguyễn Kim Tuyền	Hóa hữu cơ	Trường Đại học Sài Gòn, Bộ Giáo dục và Đào tạo	Phản biện 1
3	PGS.TS. Nguyễn Hữu Hiếu	Kỹ thuật hóa học	Trường Đại học Bách khoa, Đại học Quốc gia TP. HCM	Phản biện 2
4	PGS.TS. Bạch Long Giang	Kỹ thuật hóa học	Trường Đại học Nguyễn Tất Thành, Bộ Giáo dục và Đào tạo	Phản biện 3
5	PGS.TS. Lê Tiến Dũng	Hóa hữu cơ	Viện Khoa học vật liệu ứng dụng, Viện Hàn lâm KHCNVN	Ủy viên - Thư ký
6	PGS.TS. Nguyễn Trung Nhân	Hóa hữu cơ	Trường Đại học KHTN, Đại học Quốc gia TP. HCM	Ủy viên
7	PGS.TS. Nguyễn Văn Cường	Kỹ thuật hóa học	Trường Đại học Công nghiệp TP. HCM, Bộ Công thương	Ủy viên

Hội đồng gồm 07 thành viên./

Hồ Chí Minh, ngày 31 tháng 05 năm 2024

BẢN NHẬN XÉT LUẬN ÁN TIẾN SĨ CỦA HỘI ĐỒNG

Tên đề tài: “Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt”

Tiếng anh: “Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration”

Chuyên ngành: Hóa hữu cơ

Mã số: 9 44 01 14

Nghiên cứu sinh: Đặng Thị Lệ Hằng

Người nhận xét: GS.TS. Nguyễn Cửu Khoa

Cơ quan công tác: Học viện Khoa học và Công nghệ, viện Hàn lâm KH-CN VN.

I. Ý KIẾN NHẬN XÉT

1. Tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của đề tài luận án.

Nghiên cứu phát triển các loại vật liệu y sinh mới có cấu trúc nano ứng dụng trong lĩnh vực y dược đang là vấn đề „nóng“ ở nhiều nước trên thế giới. Trong đó, các vật liệu có khả năng tái tạo mô đang rất được quan tâm nghiên cứu. Trong những năm gần đây, nhờ sự phát triển của công nghệ tổng hợp, chế tạo vật liệu hydrogel trên cơ sở các polymer sinh học và các hoạt chất kích thích nano (dược chất, dược liệu) ở nước ta đã có nhiều đóng góp trong lĩnh vực tái tạo mô biểu bì, biến chứng trong nhiều bệnh như Đái tháo đường, Bỏng, Vết thương hở,...

NCS Đặng Thị Lệ Hằng đã nghiên cứu tổng hợp thành công các loại hydrogel trên cơ sở Alginate, Pluronic, kết hợp với các hợp chất có hoạt tính sinh học có ý nghĩa khoa học và thực tiễn do hệ hydrogel có thể ứng dụng trong vấn đề tái tạo mô, với mong muốn tái tạo các mô chuyên biệt. Do đó, đề tài hết sức có ý nghĩa và cần thiết trong bối cảnh hội nhập của nước ta hiện nay.

2. Sự không trùng lặp của đề tài nghiên cứu so với các công trình, luận án đã công bố ở trong và ngoài nước; tính trung thực, rõ ràng và đầy đủ trong trích dẫn tài liệu tham khảo.

Nội dung luận án không bị trùng lặp với các công bố của các luận án trong và ngoài nước tính đến thời điểm này.

Tài liệu tham khảo trong luận án được trích dẫn một cách trung thực, rõ ràng và cập nhật đầy đủ. Trong đó, có nhiều tài liệu tham khảo công bố trong vòng 5 năm trở lại đây trên các tạp chí uy tín trong lĩnh vực vật liệu y sinh.

3. Sự phù hợp giữa tên đề tài với nội dung, giữa nội dung với chuyên ngành và mã số chuyên ngành.

Hiện nay đã có rất nhiều công trình công bố các kết quả nghiên cứu tổng hợp hydrogel, tuy nhiên Luận án của NCS Đặng Thị Lệ Hằng chủ yếu tập trung vào phương pháp chế tạo, tính chất hydrogel và đánh giá khả năng tái tạo mô chuyên biệt làm lành vết thương và khả năng tái tạo xương. Đồng thời luận án này đã sử dụng các phương pháp phân tích vật liệu để đánh giá các tính chất vật lý-hóa học của vật liệu hydrogel. Các sản phẩm được tổng hợp từ luận án này đã được kiểm tra độc tính, đánh giá khả năng kháng khuẩn, làm lành vết thương cũng như đánh giá khả năng mang tế bào chuyên biệt. Do đó, các nội dung này hoàn toàn phù hợp với tên đề tài và chuyên ngành hóa hữu cơ đã đăng kí.

4. Độ tin cậy và tính hiện đại của phương pháp đã sử dụng để nghiên cứu.

Luận án đã sử dụng các phương pháp thường qui trong tổng hợp vật liệu và đánh giá khả năng tương thích sinh học cũng như độc tính của vật liệu. Sử dụng phép phân tích và đánh giá bằng các phương pháp hiện đại có độ tin cậy cao như: kính hiển vi điện tử truyền qua (TEM), kính hiển vi điện tử quét (SEM), nhiễu xạ tia X (XRD), sắc kí lỏng hiệu năng cao (HPLC), kính hiển vi quét laser đồng tiêu, cộng hưởng từ hạt nhân (NMR), để đánh giá cấu trúc, thành phần, tính chất hydrogel, tương thích sinh học, độc tính với tế bào, khả năng tái tạo mô. Do đó, kết quả nghiên cứu có độ tin cậy cao.

5. Kết quả nghiên cứu mới của tác giả; đóng góp mới cho sự phát triển khoa học chuyên ngành; đóng góp mới phục vụ cho sản xuất, kinh tế, quốc phòng, xã hội và đời sống; ý nghĩa khoa học, giá trị và độ tin cậy các kết quả đó.

Luận án của NCS Đặng Thị Lệ Hằng đã được thực hiện tốt và đạt được một số tính mới như sau:

- Đã tổng hợp thành công hydrogel nhạy nhiệt từ Aliginat và Pluronic và bằng phương pháp ghép mạch thông qua liên kết cystamine.
- Xây dựng công thức hóa học của hệ hydrogel (ACP) trên cơ sở dữ liệu phổ ^1H NMR và phổ FTIR.
- Đã khảo sát ảnh hưởng của hàm lượng Aliginat đến tính chất nhạy nhiệt của ACP và ảnh hưởng của hàm lượng copolymer đến quá trình chuyển pha sol-gel của ACP.
- Đã khảo sát, đánh giá mang tế bào của ACP.
- Đã khảo sát, đánh giá khả năng làm lành vết thương của ACP mang chất hoạt tính (L-arginine và resveratrol) trên mô hình chuột bị bệnh tiểu đường.
- Tổng hợp thành công hydrogel nhạy nhiệt từ Aliginat và Pluronic với tác nhân tạo liên kết ngang dopamine.
- Đã khảo sát, đánh giá tính chất cơ lý, khả năng chuyển pha sol-gel của hydrogel với các nồng độ khác nhau của PDA, PDA và ADA.
- Đã khảo sát và đánh giá độc tính tế bào, khả năng khoáng hóa, khả năng kháng khuẩn của hydrogel.

Những đóng góp của luận án là tiền đề để phát triển các vật liệu y sinh có khả năng tái tạo mô, ứng dụng làm lành vết thương và tái tạo xương.

6. Nội dung luận án đã được công bố trên tạp chí, kỷ yếu hội nghị khoa học nào và giá trị khoa học các công trình đã công bố.

Một phần nội dung luận án đã được công bố ở 04 bài báo quốc tế uy tín, 1 bằng sáng chế quốc gia. Ngoài ra, 1 phần kết quả của luận án này còn được công bố ở 01 tạp chí quốc tế uy tín.

Các công bố khoa học đều liên quan chặt chẽ đến nội dung cũng như tiêu đề của luận án, và đã thông tin kịp thời kết quả nghiên cứu về “ Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt” đến giới khoa học trong nước và quốc tế.

7. Ưu và nhược điểm về nội dung, kết cấu và hình thức luận án; chỉ ra các vấn đề cần phải điều chỉnh, làm rõ.

Luận án có cấu trúc hợp lý, trình bày rõ ràng, mạch lạc, rất ít lỗi đánh máy. Nội dung nghiên cứu của luận án đã nêu được đầy đủ các kết quả nghiên cứu chính và thông qua đó mục tiêu của đề tài đã đạt được. Kết quả của đề tài được trình bày, biện luận khoa học, hợp lý và có ý nghĩa về khoa học và thực tiễn cao.

Tuy nhiên cần chỉnh sửa một vài ý như sau:

- Cần định hướng và phân biệt được rõ hơn về sự khác biệt tiêu chuẩn giữa sản phẩm dùng đường uống và dùng đường tiêm.
- Nguyên liệu đầu vào, sản phẩm trung gian và sản phẩm cuối cần phải được xác định các tính chất, ví dụ độ sạch, độc tính cấp, bán trường diễn, đặc biệt với các polymer cần có xác định khối lượng phân tử.

8. KẾT LUẬN

Nội dung và chất lượng luận án đáp ứng đầy đủ yêu cầu của một luận án tiến sĩ. Đề nghị hội đồng chấm luận án Tiến sĩ cấp Học viện thông qua nội dung luận án và công nhận học vị tiến sĩ cho NCS **Đặng Thị Lệ Hằng**.

Tp. Hồ Chí Minh, ngày 31 tháng 05 năm 2024

Xác nhận của Cơ quan

Người viết nhận xét

(Ký, ghi rõ họ tên)



GS.TS. Nguyễn Cửu Khoa

Cộng Hòa Xã Hội Chủ Nghĩa Việt Nam
Độc Lập – Tự Do – Hạnh Phúc.

BẢN PHẢN BIỆN LUẬN ÁN TIÊN SĨ CẤP CƠ SỞ

Nghiên cứu sinh: Đặng Thị Lệ Hằng

Tên đề tài luận án **“Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration”**

Chuyên ngành: Hoá Hữu cơ

Mã số: 9440114

Người nhận xét luận án: PGS.TS. Phạm Nguyễn Kim Tuyền

Cơ quan công tác của người nhận xét: Trường Đại học Sài Gòn

NỘI DUNG NHẬN XÉT

1. Nêu ý nghĩa khoa học và thực tiễn của đề tài luận án. Cách đặt vấn đề và giải quyết vấn đề đã hợp lý hay chưa.

Đề tài luận án **“Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration”** phù hợp với chuyên ngành Hoá hữu cơ. Nội dung luận án hoàn toàn phù hợp với tên đề tài, có sự phù hợp giữa nội dung với chuyên ngành và mã số chuyên ngành. Luận án đã thể hiện tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của cách đặt vấn đề và giải quyết vấn đề về hướng nghiên cứu các phương pháp điều chế hydrogel dạng tiêm trên cơ sở alginate và pluronic với các hợp chất có hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt.

2. Sự hợp lý và độ tin cậy của phương pháp nghiên cứu.

Luận án được trình bày bằng tiếng Anh rõ ràng, mạch lạc và khoa học, các hình ảnh, sơ đồ bảng biểu, SEM, NRM, UV-vis, phụ lục phổ nghiệm HPLC, hình ảnh kết quả thử

nghiệm hoạt tính sinh học được thể hiện đầy đủ. Kết quả luận án đạt được là khoa học và đáng tin cậy, chứng tỏ nghiên cứu sinh có kiến thức và kỹ năng rất tốt trong lĩnh vực nghiên cứu điều chế, biến tính hydrogel hướng đến điều chỉnh tín hiệu trong các hệ hydrogel trong quá trình sửa chữa và tái tạo mô tổn thương.

3. Đánh giá các kết quả đạt được, nêu những đóng góp mới và giá trị của các đóng góp đó.

Với mục tiêu nghiên cứu phát triển hydrogel nhạy nhiệt từ alginate và pluronic F127 bằng 2 phương pháp là kỹ thuật ghép mạch và kỹ thuật liên kết ngang, luận án đã hoàn thành các mục tiêu nghiên cứu đề ra và đạt được các đóng góp mới trong lĩnh vực nghiên cứu. Hydrogel nhạy nhiệt trên nền cơ sở alginate và pluronic F127 được điều chế từ hai phương pháp trên đều là chất lỏng ở nhiệt độ lạnh để tiêm, nhanh chóng hình thành mạng lưới hydrogel 3 chiều khi tiếp xúc với nhiệt độ cơ thể và tạo điều kiện thực hiện với các mô có hình thái khuyết tật không đều, cho phép phân phối tế bào đơn giản và cục bộ, và cuối cùng phân hủy để cho các mô phát triển. Các thử nghiệm in vivo cho thấy hệ hydrogel mang hoạt chất kép resveratrol và L-arginine thúc đẩy quá trình làm lành vết thương ở mô hình chuột bệnh lý đái tháo đường. Các kết quả này là nền tảng cơ sở khoa học cho các nghiên cứu chuyên sâu hướng đến triển khai ứng dụng các hydrogel dạng tiêm trong tái tạo các mô xương chuyên biệt.

4. Các kết luận của luận án có phù hợp với cách đặt vấn đề ở đầu luận án và có đủ sức thuyết phục hay không? Những ưu điểm và thiếu sót, những điểm cần được bổ sung và sửa chữa?

Luận án có giá trị cao về mặt khoa học và thực tiễn trong việc nghiên cứu phát triển các hydrogel nhạy nhiệt và đánh giá tiềm năng ứng dụng chúng trong lĩnh vực tái tạo mô. Các hydrogel trên nền cơ sở pluronic F127 và alginate được điều chế bằng 2 phương pháp ghép mạch và tạo liên kết ngang. Sự kết hợp kép của resveratrol và L-arginine giúp cho khả năng kháng oxy hoá của hydrogel alginate-cystamine-pluronic F127 (ACP) gia tăng vượt trội. Hệ hydrogel tạo điều kiện thuận lợi cho sự hình thành các sợi collagen và mạch máu, đồng thời kích thích sự di chuyển của các tế bào, làm cho các mô vết thương nhanh chóng được sửa chữa. Dựa trên khả năng hình thành sự liên hợp polymer-catechol với sự có mặt

của peroxidase và H_2O_2 , nghiên cứu đã đề xuất được một quy trình mới cho việc chế tạo ra hạt thủy tinh sinh học với khả năng thay thế chức năng peroxidase bằng cách kết hợp với hemin peroxidase-mimic, có tác dụng hiệp đồng, làm chất xúc tác trong phản ứng liên kết ngang và các tác nhân hoạt tính sinh học để củng cố chức năng của hydrogel.

Luận án viết bằng tiếng Anh, rõ ràng, hình ảnh minh họa sạch đẹp. Kết cấu luận án phù hợp, các kết quả nghiên cứu có ý nghĩa giá trị khoa học cao.

Cần xem xét thống nhất họ tên đầy đủ của nghiên cứu sinh khi viết theo tiếng Việt và tiếng Anh.

5. Đánh giá về sự trùng lặp của luận án so với các đề án, luận văn, luận án hay công trình khoa học đã công bố trong và ngoài nước? Cần khẳng định luận án có trùng lặp hay không trùng lặp? Nếu trùng lặp, đề nghị ghi rõ tên, nhà xuất bản, năm xuất bản của tài liệu đã công bố.

Nội dung của đề tài luận án hoàn toàn không trùng lặp so với các công trình khoa học, đề án, luận văn, luận án đã công bố ở trong và ngoài nước trước đây. Tên đề tài với nội dung và giữa nội dung với chuyên ngành và mã số chuyên ngành của đề tài là phù hợp.

6. Nhận xét về chất lượng những bài báo khoa học đã được nghiên cứu sinh công bố, khẳng định sự phù hợp về nội dung của chúng với nội dung luận án. Nhận xét về vị thế khoa học của các diễn đàn, nơi các bài báo được công bố có đáp ứng yêu cầu đối với luận án tiến sĩ?

Kết quả của luận án đã được công bố trong 04 bài báo khoa học quốc tế uy tín, có giá trị khoa học cao, và 01 bằng sáng chế quốc gia (2024). Việc đạt được công bố 04 bài báo khoa học quốc tế uy tín, cùng với 01 bằng sáng chế quốc gia, cho thấy nghiên cứu sinh đã rất nghiêm túc, kiên trì và nỗ lực trong quá trình thực hiện luận án, rất đáng trân trọng.

7. Tính trung thực trong việc trích dẫn các công trình đã được nghiên cứu sinh công bố trong và ngoài nước, tài liệu tham khảo.

Các phương pháp nghiên cứu được sử dụng trong luận án là phù hợp. Các thiết bị phục vụ nghiên cứu hiện đại nên các kết quả thực nghiệm đáng tin cậy. Nội dung của đề tài luận án không trùng lặp so với các công trình, luận án đã công bố ở trong và ngoài nước trước đây. Tài liệu tham khảo được trích dẫn trung thực, rõ ràng, đầy đủ. Luận án đã tham

khảo 322 tài liệu, trong đó có nhiều tài liệu cập nhật đến năm 2023, cho thấy sự nghiêm túc trong nghiên cứu của nghiên cứu sinh.

8. Kết luận: luận án đáp ứng đầy đủ yêu cầu về nội dung và hình thức đối với một luận án Tiến sĩ để được bảo vệ tại Hội đồng cấp Cơ sở.

- Đồng ý cho nghiên cứu sinh Đặng Thị Lệ Hằng được bảo vệ tại hội đồng cấp Cơ sở.
- Đồng ý cho nghiên cứu sinh Đặng Thị Lệ Hằng được trình luận án ra bảo vệ tại hội đồng chấm luận án tiến sĩ cấp Học viện sau khi hoàn thành việc chỉnh sửa theo các góp ý của hội đồng.

Tp. HCM, ngày 08 tháng 06 năm 2024

Người viết nhận xét



PGS.TS. Phạm Nguyễn Kim Tuyền

BẢN NHẬN XÉT LUẬN ÁN TIẾN SĨ CẤP HỌC VIỆN

Người nhận xét/ Người phản biện: NGUYỄN HỮU HIẾU

Học hàm, học vị: PGS. TS.

Cơ quan công tác: Khoa Kỹ thuật Hóa học, Trường ĐH Bách khoa – ĐHQG-HCM

Họ và tên nghiên cứu sinh: ĐẶNG THỊ LỆ HẰNG

Tên đề tài: Hydrogel dạng tiêm trên cơ sở alginate và pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt

Chuyên ngành: Hóa Hữu cơ Mã số: 9 44 01 14

Ý KIẾN NHẬN XÉT

1. Tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của đề tài luận án.

Luận án được thực hiện để phát triển các hydrogel dạng tiêm trên tính nhạy nhiệt từ việc kết hợp polysaccharide, alginate và polymer nhạy nhiệt, pluronic F127 tạo môi trường vi mô phù hợp để hướng dẫn tế bào, nhằm điều phối sự hoàn thiện quá trình sửa chữa mô tổn thương. Đồng thời, tăng cường hiệu quả hướng dẫn, các tín hiệu sinh học (hợp chất tự nhiên – resveratrol, axit amin – arginine hoặc hạt nano vô cơ – thủy tinh sinh học) được bổ sung làm chất điều chỉnh tín hiệu trong các hệ hydrogel nhằm phát huy hiệu quả của các vật liệu trong tái tạo mô (chữa lành vết thương và làm lành xương). Luận án đã có ý nghĩa khoa học và thực tiễn trong việc ứng dụng vật liệu vào ngành y.

2. Sự không trùng lặp của đề tài nghiên cứu so với các công trình, luận án đã công bố ở trong và ngoài nước; tính trung thực, rõ ràng và đầy đủ trong trích dẫn tài liệu tham khảo.

Đề tài luận án là không trùng lặp với các nghiên cứu trước.

3. Sự phù hợp giữa tên đề tài với nội dung, giữa nội dung với chuyên ngành và mã số chuyên ngành.

Đề tài và nội dung luận án là phù hợp cũng như với chuyên ngành Hóa Hữu cơ.

4. Độ tin cậy và tính hiện đại của phương pháp đã sử dụng để nghiên cứu.

Các phương pháp phân tích hiện đại được sử dụng trong luận án có độ tin cậy và tính hiện đại cao như: H-NMR, FT-IR, XRD, SEM, EDS, TEM,...

Nguyên bào sợi từ da người được cấy trong ACP hydrogel, các tế bào được xử lý bằng phương pháp nhuộm kép AO/PI. Mô hình vết thương bỏng trên mô hình chuột đài đường.

5. Kết quả nghiên cứu mới của tác giả; những đóng góp mới cho sự phát triển khoa học chuyên ngành; đóng góp mới phục vụ cho sản xuất, kinh tế, quốc phòng, xã hội và đời sống; ý nghĩa khoa học, giá trị và độ tin cậy của những kết quả đó.

- Đã phát triển thành công các vật liệu hydrogel nhạy nhiệt từ polymer phản ứng nhiệt, pluronic F127 và alginate bằng hai phương pháp: ghép mạch và tạo liên kết ngang.

- Đưa ra tiềm năng của hydrogel trong lĩnh vực tái tạo mô.
- Đã thể hiện hệ hydrogel tạo điều kiện thuận lợi cho sự hình thành các sợi collagen và mạch máu. Đồng thời, kích thích sự di chuyển của tế bào để chữa lành nhanh chóng mô vết thương.
- Đã cho thấy quá trình khoáng hóa giúp thúc đẩy quá trình hình thành xương mới, đẩy nhanh quá trình tái tạo xương tại chỗ.

Các kết quả này có giá trị học thuật và độ tin cậy.

6. Ưu điểm và nhược điểm về nội dung, kết cấu và hình thức của luận án.

Nội dung của luận án được trình bày rõ ràng, mạch lạc, ít lỗi chính tả.

7. Nội dung luận án đã được công bố trên tạp chí, kỷ yếu Hội nghị Khoa học nào và giá trị khoa học của các công trình đã công bố.

Đã công bố được 05 công trình khoa học, trong đó: có 03 bài báo quốc tế, NCS là tác giả đầu; 01 bài quốc tế là đồng tác giả; và 01 patent là tác giả đầu.

8. Kết luận chung cần khẳng định:

- Nội dung đáp ứng các yêu cầu đối với một luận án tiến sĩ chuyên ngành;
- Bản tóm tắt luận án phản ánh trung thành nội dung cơ bản của luận án;
- Luận án được đưa ra bảo vệ cấp Học viện để nhận học vị tiến sĩ.

Tp. Hồ Chí Minh, ngày ... tháng ... năm 2024

Người nhận xét
(Ký và ghi rõ họ tên)



NGUYỄN HỮU HIẾU

Hồ Chí Minh, ngày 27 tháng 05 năm 2024

BẢN NHẬN XÉT LUẬN ÁN TIẾN SĨ CỦA HỘI ĐỒNG

Tên đề tài: “Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt”

Tiếng anh: “Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration”

Chuyên ngành: Hóa hữu cơ;

Mã số: 9 44 01 14

Nghiên cứu sinh: Đặng Thị Lệ Hằng

Người nhận xét: PGS.TS. Lê Tiến Dũng

Cơ quan công tác: Viện Khoa học Vật liệu Ứng dụng

I. Ý KIẾN NHẬN XÉT

1. Tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của đề tài luận án.

Hydrogel là mạng polymer ba chiều không tan trong nước nhưng có khả năng hấp thụ dịch cơ thể trong môi trường sinh học. Hydrogel được tạo ra bằng cách tạo liên kết hóa học chéo giữa các monomer. Do có cấu trúc tương tự như nền ngoại bào và khả năng hấp thụ nước. Hydrogel được dùng nhiều trong y khoa như điều hòa mô, kính sát trùng, băng vết thương và nền phóng thích thuốc. Có rất nhiều cách tiếp cận trong nghiên cứu, chế tạo các loại vật liệu hydrogel và đánh giá khả năng tải các hợp chất từ thiên nhiên hoặc tổng hợp định hướng ứng dụng tái tạo các mô. Nhờ sự phát triển khoa học, công nghệ tổng hợp, chế tạo vật liệu hydrogel trong nước đã có rất nhiều phát triển, đóng góp tích cực cho lĩnh vực nghiên cứu y sinh và y học tái tạo.

Luận án của NCS đã tiến hành tổng hợp các vật liệu hydrogel trên nền tảng của alginate, pluronic, say đó tiến hành tải các hợp chất có hoạt tính sinh học, phục vụ việc tái tạo vết thương. Đây là công việc có ý nghĩa khoa học và thực tiễn trong lĩnh vực y học tái tạo, đặc biệt là tái tạo, làm lành vết thương cho người bệnh đái tháo đường. Do đó, các kết quả thu được của luận án có ý nghĩa khoa học và ý nghĩa thực tiễn.

2. Sự không trùng lặp của đề tài nghiên cứu so với các công trình, luận án đã công bố ở trong và ngoài nước; tính trung thực, rõ ràng và đầy đủ trong trích dẫn tài liệu tham khảo.

Theo hiểu biết của người đọc, các nội dung của luận án không bị trùng lặp với các công bố cả trong và ngoài nước.

Luận án trích dẫn tài liệu tham khảo trung thực, rõ ràng và cập nhật đầy đủ. Trong đó, phần lớn các tài liệu tham khảo công bố trong vòng 5 năm trở lại đây trên các tạp chí uy tín trong lĩnh vực.

3. Sự phù hợp giữa tên đề tài với nội dung, giữa nội dung với chuyên ngành và mã số chuyên ngành.

Nội dung chính của luận án tập trung vào nghiên cứu điều chế và đánh giá tính chất hoá lý của vật liệu hydrogel, đánh giá các tác dụng sinh học của vật liệu đã tải hoạt chất, tập trung vào khả năng làm lành vết thương và khả năng tái tạo xương. Các sản phẩm vật liệu và vật liệu mang dược chất đã được kiểm tra độc tính trên tế bào, đánh giá khả năng kháng khuẩn, làm lành vết thương, ức chế ROS và NO. Các nội dung thực hiện của luận án phù hợp với tên đề tài và chuyên ngành hóa hữu cơ.

4. Độ tin cậy và tính hiện đại của phương pháp đã sử dụng để nghiên cứu.

Luận án đã sử dụng các phương pháp phổ biến trong tổng hợp các chất hữu cơ, tổng hợp vật liệu. Các phương pháp sử dụng để đánh giá hoạt tính sinh học của vật liệu là những phương pháp và kỹ thuật hiện đại đang được sử dụng rộng rãi hiện nay. Các đặc tính hoá lý củ vật liệu được đánh giá trên các kỹ thuật và phương pháp hiện đại bao gồm kính hiển vi điện tử truyền qua (TEM), kính hiển vi điện tử quét (SEM), nhiễu xạ tia X (XRD), sắc kí lỏng hiệu năng cao (HPLC), kính hiển vi quét laser đồng tiêu, cộng hưởng từ hạt nhân (NMR). Do đó, kết quả nghiên cứu thu được và các kết luận của luận án có độ tin cậy.

5. Kết quả nghiên cứu mới của tác giả; đóng góp mới cho sự phát triển khoa học chuyên ngành; đóng góp mới phục vụ cho sản xuất, kinh tế, quốc phòng, xã hội và đời sống; ý nghĩa khoa học, giá trị và độ tin cậy các kết quả đó.

Luận án của đã đạt được những điểm mới, nổi bật như sau:

- Đã tổng hợp thành công hydrogel nhạy nhiệt từ nền tảng Aliginat, Pluronic và bằng phương pháp ghép mạch thông qua liên kết cystamine.
- Đã xây dựng công thức hóa học của hệ hydrogel (ACP) trên cơ sở dữ liệu phổ ^1H NMR và phổ FTIR.
- Đã khảo sát ảnh hưởng của hàm lượng Alginate đến tính chất nhạy nhiệt của ACP và ảnh hưởng của hàm lượng copolymer đến quá trình chuyển pha sol-gel của ACP.
- Đã khảo sát, đánh giá mang tế bào của ACP.
- Đã khảo sát, đánh giá khả năng làm lành vết thương của ACP mang chất hoạt tính (L-arginine và resveratrol) trên mô hình chuột bị bệnh tiểu đường.
- Tổng hợp thành công hydrogel nhạy nhiệt từ Aliginat và Pluronic với tác nhân tạo liên kết ngang dopamine.
- Đã khảo sát, đánh giá tính chất cơ lý, khả năng chuyển pha sol-gel của hydrogel với các nồng độ khác nhau của PDA, PDA và ADA.
- Đã khảo sát và đánh giá độc tính tế bào, khả năng khoáng hóa, khả năng kháng khuẩn của hydrogel.

6. Nội dung luận án đã được công bố trên tạp chí, kỷ yếu hội nghị khoa học nào và giá trị khoa học các công trình đã công bố.

Nội dung chính của luận án đã được công bố ở 04 bài báo quốc tế, 1 bằng sáng chế quốc gia. Ngoài ra, 1 phần kết quả của luận án này còn được công bố ở 01 tạp chí quốc tế uy tín.

Các công bố khoa học đều liên quan đến nội dung thực hiện của luận án của NCS.

7. Ưu và nhược điểm về nội dung, kết cấu và hình thức luận án; chỉ ra các vấn đề cần phải điều chỉnh, làm rõ.

Luận án có hình thức, bố cục, nội dung, kết quả được trình bày rõ ràng, mạch lạc, rất ít lỗi đánh máy, cấu trúc luận án hợp lý.

Nội dung đạt được của luận án có ý nghĩa khoa học, các kết quả thực nghiệm được bàn luận và giải thích rõ ràng, chi tiết.

Tuy nhiên, cần biên tập lại một vài lỗi về hình thức như sau:

- Danh mục hình ảnh và danh mục bảng nên để ở các trang khác nhau.
- Trang 104, bổ sung đơn vị cho trục tung của 2 hình 5.4 A và 5.4 B.
- Trang 108, chỉnh sửa lại ký hiệu hoá học của H_2O_2

KẾT LUẬN

Với các kết quả đạt được của mình, luận án đã đóng góp nhiều điểm mới cho việc nghiên cứu tổng hợp hệ hydrogel nhạy nhiệt có mang các hoạt chất ứng dụng trong lĩnh vực y sinh, y học tái tạo mô.

Các kết quả của luận án được bố cục chặt chẽ, logic, điều này đã thể hiện tính khoa học của việc thiết kế các nội dung nghiên cứu của luận án, kết quả được thảo luận và giải thích rõ ràng, chi tiết, thể hiện sự am hiểu và vững vàng về kiến thức có tính liên ngành của NCS.

Nội dung luận án đáp ứng đầy đủ yêu cầu của một luận án tiến sĩ. Đề nghị hội đồng chấm luận án Tiến sĩ cấp Học viện thông qua nội dung luận án và công nhận học vị tiến sĩ cho **NCS Đặng Thị Lệ Hằng**.

Tp. Hồ Chí Minh, ngày 27 tháng 05 năm 2024

Người viết nhận xét

(Ký, ghi rõ họ tên)



PGS.TS. Lê Tiến Dũng

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – Tự do – Hạnh phúc

Tp. Hồ Chí Minh, ngày 5 tháng 6 năm 2024

BẢN NHẬN XÉT LUẬN ÁN TIẾN SĨ CẤP HỌC VIỆN

Tên đề tài luận án: **Injectable alginate and pluronic-based hydrogels with on-demand bioactive compounds for specific tissue regeneration**

Chuyên ngành: Hóa học hữu cơ

Mã số: 9 44 01 14

Nghiên cứu sinh: Đặng Thị Lệ Hằng

Người hướng dẫn: PGS.TS Trần Ngọc Quyên

Người nhận xét/ Người phản biện: PGS.TS Nguyễn Văn Cường

Cơ quan công tác: Trường Đại học Công nghiệp TP HCM

Nội dung nhận xét:

- Tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của đề tài luận án.

Hiện nay, tổn thương mô do các yếu tố tác động khác nhau ngày càng nhiều, việc chữa trị có nhiều cách khác nhau, một trong những phương pháp đang được chờ đợi là sử dụng vật liệu y sinh để hỗ trợ cho quá trình tái tạo mô in situ. Hydrogel nhạy nhiệt là một trong vật liệu nhạy cảm với kích thích từ môi trường có tính hấp dẫn trong tái tạo mô và mang lại nhiều lợi thế khi so sánh với hydrogel truyền thống. Polymer được dùng nhiều trong tạo hệ hydrogel nhạy nhiệt là pluronic acid (F127), tuy nhiên, việc sử dụng Pluronic F127 đơn lẻ để tạo ra hydrogel có một số nhược điểm bao gồm nồng độ gel hóa cao và chi phí lớn. Luận án thực hiện các nội dung nghiên cứu tổng hợp thành công hệ hydrogel nhạy nhiệt hình thành từ dẫn xuất alginate với pluronic F127 bằng phương pháp ghép mạch và phương pháp liên kết ngang. Các hydrogel này được ứng dụng mang hoạt chất Resveratrol và L-arginine trong quá trình lành vết thương. Các thí nghiệm xác định cấu trúc hydrogel, thử nghiệm hoạt tính sinh học, kích ứng da trên động vật được tiến hành. Ngoài ra, thử nghiệm tiền lâm sàng trên mô hình vết thương trên chuột mang bệnh lý đái tháo đường cho thấy hiệu quả hỗ trợ tái tạo mô da bị tổn thương với tự tái tạo cấu trúc gần như tương tự với vùng da bình thường là có ý nghĩa khoa học và thực tiễn.

- Sự không trùng lặp của đề tài nghiên cứu so với các công trình, luận án đã công bố ở trong và ngoài nước; tính trung thực, rõ ràng và đầy đủ trong trích dẫn tài liệu tham khảo.

Các kết quả nghiên cứu, số liệu và thảo luận không trùng với công trình, luận án đã công bố trong nước và nước ngoài. Luận án có 317 tài liệu tham khảo và tài liệu gần đây nhất vào năm 2023. Tài liệu tham khảo trong luận án được trích dẫn rõ ràng, đầy đủ và trung thực.

- Sự phù hợp giữa tên đề tài với nội dung, giữa nội dung với chuyên ngành và mã số chuyên ngành.

Luận án thực hiện các nội dung nghiên cứu tổng hợp thành công hệ hydrogel nhạy nhiệt hình thành từ dẫn xuất alginate với pluronic F127 bằng phương pháp ghép mạch và phương pháp liên kết ngang và mang hoạt chất Resveratrol và L-arginine ứng dụng trong quá trình lành vết thương.

Đề tài luận án phù hợp giữa tên đề tài với nội dung và giữa nội dung với chuyên ngành và mã số chuyên ngành theo quy định hiện hành.

- Độ tin cậy và tính hiện đại của phương pháp đã sử dụng để nghiên cứu.

Luận án sử dụng: Phương pháp đánh giá cấu trúc của vật liệu tổng hợp: Cấu trúc hóa học: $^1\text{H-NMR}$, $^{13}\text{C-NMR}$; FT-IR, quang phổ tử ngoại UV-vis, XRD, TEM, và SEM

Phương pháp đánh giá tính tương hợp sinh học MTT; nhuộm mô Hemaoxylin-Eosin H&E và Masson Trichrome MT và các phương pháp khác.

Các phương pháp này đều hiện đại, có độ tin cậy cao và phù hợp với nội dung của luận án.

- Kết quả nghiên cứu mới của tác giả.

1. Đã tổng hợp thành công hydrogel dựa trên Pluronic F127 được gắn lên sườn cấu trúc alginate thông qua cầu nối cystamine, tạo alginate-cystamine-pluronic F127. Hydrogel tổng hợp được kết hợp với Resveratrol và L-arginine ứng dụng trong điều trị vết thương da trên bệnh lý đái tháo đường;
2. Đã tổng hợp thành công hệ hydrogel nhạy nhiệt hình thành từ dẫn xuất alginate với pluronic F127 bằng phương pháp tạo liên kết ngang với 3,4-dihydroxyphenylalanine. Hydrogel hình thành ngoài tính chất chuyển pha, cơ tính của vật liệu phù hợp với ứng dụng tái tạo mô xương.

- Ưu điểm và nhược điểm về nội dung, kết cấu và hình thức của luận án.

Ưu điểm: Luận án trình bày rõ ràng và sử dụng ngôn ngữ tiếng anh, logic và kết cấu, hình thức phù hợp gồm 04 chương: Tổng quan, thực nghiệm; kết quả-thảo luận và kết luận. Công thức hóa học được vẽ phù hợp bằng phần mềm chuyên dụng. Hình và bảng trình bày đẹp.

Nhược điểm: Cần chỉnh sửa một số lỗi chính tả để luận án hoàn thiện hơn, viết lại một số diễn đạt trong luận án. Cần bổ sung các dữ liệu phổ như: $^1\text{H-NMR}$, $^{13}\text{C-NMR}$; FT-IR vào phụ lục. Các hình nhỏ, cần đưa size lớn hơn cho hình.

- Nội dung luận án đã được công bố trên tạp chí, kỷ yếu hội nghị khoa học nào và giá trị khoa học của các công trình đã công bố.

Luận án đã công bố được 05 công trình trong đó 04 bài đăng trên tạp chí SCIE và 01 sáng chế. Chất lượng bài báo đạt yêu cầu và phản ánh nội dung của luận án.

- Kết luận chung cần khẳng định mức độ đáp ứng các yêu cầu đối với một luận án tiến sĩ chuyên ngành. Bản tóm tắt luận án phản ánh trung thành nội dung cơ bản của luận án hay không; luận án có thể đưa ra bảo vệ cấp Học viện để nhận học vị tiến sĩ được hay không.

Bản dự thảo luận án đáp ứng yêu cầu của một luận án tiến sĩ. Bản tóm tắt luận án phản ánh trung thực nội dung cơ bản của luận án. Người nhận xét Đồng ý cho bảo vệ cấp Học viện để nhận học vị tiến sĩ.

Người nhận xét



PGS.TS. Nguyễn Văn Cường

BẢN NHẬN XÉT/ PHẢN BIỆN LUẬN ÁN TIẾN SĨ CẤP HỌC VIỆN

Tên đề tài luận án Tiếng Việt: Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt.

Tiếng Anh: Injectable alginate and pluronic-based hydrogels with on-demand bioactive compounds for specific tissue regeneration.

Chuyên ngành: Hóa hữu cơ

Mã số: 9440114

Nghiên cứu sinh: Đặng Thị Lệ Hằng

Người hướng dẫn: PGS. TS. Trần Ngọc Quyên

Người nhận xét/ Người phản biện: PGS. TS. Bạch Long Giang

Cơ quan công tác: Trường Đại học Nguyễn Tất Thành

Nội dung nhận xét:

1. Tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của đề tài luận án.

Nghiên cứu vật liệu sinh học phát triển để hỗ trợ cho quá trình tái tạo mô, còn được gọi là liệu pháp tái tạo mô. Vật liệu sinh học được thiết kế, mang các tín hiệu sinh học, đóng vai trò là đèn hiệu dẫn đường, hướng dẫn tế bào gốc nội sinh hoặc tế bào gốc đến vị trí mô bị tổn thương và thúc đẩy quá trình sửa chữa các mô bị tổn thương. Hydrogel nhạy nhiệt là một trong vật liệu nhạy cảm với kích thích từ môi trường có tính hấp dẫn trong tái tạo mô giúp cho việc nang hóa protein, peptide hay gen trở nên dễ dàng, không có nhiều tác động mạnh đến mô liên kết xung quanh hay gây độc tính cấp do tồn dư của tác nhân hóa học.

Trong lĩnh vực ứng dụng y sinh, pluronic F127 là polyme nhạy nhiệt được nghiên cứu rộng rãi nhất và được FDA phê chuẩn sử dụng ở nhiều hình thức trong dược phẩm, bao gồm qua sử dụng trong thuốc đường miệng, mắt, xoang mũi, thuốc đặt trực tràng và âm đạo. Pluronic thường được kết hợp chung với các polysaccharide tự nhiên vì polysaccharides mang lại nhiều lợi thế như khả năng tương thích sinh học và phân hủy sinh học tốt, sản phẩm phân hủy thân thiện với cơ thể. Vật liệu từ polysaccharide dễ dàng các thụ thể bề mặt tế bào nhận ra và tăng cường sự bám dính, kích thích các tín hiệu nội bào dẫn đến thúc đẩy tăng sinh và có giá trị trong lĩnh vực kỹ thuật mô và liệu pháp tế bào. Trong số các polysaccharide, alginate – một polysaccharide được chiết xuất từ rong, tảo biển, ... nhận được nhiều sự chú ý trong kết hợp với các polymer nhạy nhiệt, có các đặc điểm về độ nhớt có tính đàn hồi cao, khả năng tương thích sinh học cao và không gây kích ứng miễn dịch được quan tâm trong phát triển vật liệu cho y học tái tạo.

Bằng cách bổ sung thêm các hợp chất sinh học như amino acid, các chất hoạt tính sinh học chiết tách từ thảo dược và/hoặc nhân tố vô cơ như thủy tinh sinh học vào mạng lưới hydrogel, các hydrogel tạo được các tín hiệu kích thích tế bào. Việc tăng cường hoạt

tính hydrogel thông qua việc sử dụng các yếu tố sinh học tạo được hiệu ứng tốt hơn hoặc thay thế tác dụng của các tín hiệu sinh hóa, đặc biệt trong các ứng dụng mà các yếu tố đó khuyến khích một cách hiệu quả các tế bào được nuôi cấy để góp phần hình thành các mô chức năng

Do đó nghiên cứu phát triển các hydrogel dạng tiêm trên tính nhạy cảm nhiệt từ việc kết hợp polysaccharide, alginate và polymer nhạy nhiệt, pluronic F127 tạo môi trường vi mô phù hợp để hướng dẫn tế bào, nhằm điều phối sự hoàn thiện quá trình sửa chữa mô tổn thương. Bên cạnh đó, để tăng cường hiệu quả hướng dẫn, các tín hiệu sinh học (hợp chất tự nhiên - resveratrol, axit amin - arginine hoặc hạt nano vô cơ - thủy tinh sinh học) được bổ sung làm chất điều chỉnh tín hiệu trong các hệ hydrogel nhằm phát huy hiệu quả của các vật liệu này trong tái tạo mô (chữa lành vết thương và chữa lành xương). Điều này cho thấy đề tài “Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt” của NCS Đặng Thị Lệ Hằng là đề tài có ý nghĩa khoa học và giá trị thực tiễn cao. Các vấn đề đã được NCS tiếp cận cũng như giải quyết một cách hợp lý.

2. Sự không trùng lặp của đề tài nghiên cứu so với các công trình, luận án đã công bố ở trong và ngoài nước; tinh trung thực, rõ ràng và đầy đủ trong trích dẫn tài liệu tham khảo.

Luận án không trùng lặp với bất kỳ công trình, luận văn, luận án nào đã công bố ở trong và ngoài nước. Các tài liệu tham khảo trong luận án được trích dẫn đầy đủ, rõ ràng và trung thực.

3. Sự phù hợp giữa tên đề tài với nội dung, giữa nội dung với chuyên ngành và mã số chuyên ngành.

Tên đề tài của luận án “Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt” (Injectable alginate and pluronic-based hydrogels with on-demand bioactive compounds for specific tissue regeneration) và các nội dung thực hiện trình bày trong luận án có sự tương thích phù hợp với nhau.

Nội dung các công việc thực hiện trong luận án phù hợp với chuyên ngành và mã số chuyên ngành Hóa hữu cơ (Mã ngành 9440114).

4. Kết quả nghiên cứu mới của tác giả

Nghiên cứu tổng hợp vật liệu hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt, trong đó đã phát triển các hydrogel nhạy cảm nhiệt từ polyme phản ứng nhiệt, pluronic F127 và alginate bằng phương pháp ghép mạch và tạo liên kết ngang và đánh giá tiềm năng của hydrogel trong lĩnh vực tái tạo mô.

(1) Thiết kế thành công vật liệu hydrogel phản ứng nhiệt dựa trên kỹ thuật ghép, pluronic F127 được ghép vào khung chính của alginate bằng liên kết hóa học được thực hiện để tạo mạch ghép. Phương pháp ghép mạch, copolymer ghép để tạo tính đồng nhất trong điều chế hydrogel có cấu trúc vi mô xốp và liên kết điển hình với các lỗ được sắp xếp đồng đều nhằm mục đích vận chuyển và thẩm thấu oxy và chất dinh dưỡng hiệu quả

cũng như trao đổi chất thải dịch mô. ACP hydrogel cho thấy quá trình chuyển đổi sol-to-gel thuận nghịch khi phản ứng với nhiệt độ mà không có hiện tượng phân tách. Cơ tính của vật liệu chứng minh qua phương pháp lưu biến cho thấy tiềm năng ứng dụng trong tái tạo mô mềm như hỗ trợ lành vết thương. Đồng thời phát triển hệ hydrogel với các điều kiện thuận lợi cho tái tạo mô mềm bằng cách bổ sung các hoạt chất sinh học trong điều kiện, cân bằng các yếu tố tại mô tổn thương.

(2) Thiết kế hydrogel phản ứng nhiệt dựa trên kỹ thuật liên kết ngang sử dụng tác nhân liên kết thông qua phản ứng oxi hóa dưới sự giúp đỡ của xúc tác peroxidase. Dựa trên khả năng hình thành liên hợp polymer-catechol với sự có mặt của peroxidase và H_2O_2 , luận án thành công đề xuất phương pháp để tạo ra hạt thủy tinh sinh học với khả năng thay thế chức năng peroxidase bằng cách kết hợp với hemin peroxidase-mimic (HNP BG). Hydrogel thu được có khả năng tiêm theo hệ thống tạo gel phản ứng nhiệt với cấu trúc vi xốp với cơ tính thích hợp cho ứng dụng trên xương. Việc kết hợp HNP BG có thể điều khiển hiệu quả khả năng của quá trình khoáng hóa giúp thúc đẩy đáng kể quá trình hình thành xương mới, đẩy nhanh quá trình tái tạo xương tại chỗ và có đặc tính kháng khuẩn. Bằng cách thêm chất hoạt tính sinh học như L-arginine, resveratrol hoặc BG, hydrogel alginate-pluronic trở thành nền tảng hấp dẫn cho lĩnh vực tái tạo mô mới. Những hydrogel thông minh này có thể cung cấp giải pháp thay thế tiềm năng, an toàn hơn và thành công hơn cho nhiều ứng dụng trong y sinh trong tương lai.

5. Ưu điểm và nhược điểm về nội dung, kết cấu và hình thức của luận án.

Ưu điểm:

Hình thức trong luận án “Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt” (Injectable alginate and pluronic-based hydrogels with on-demand bioactive compounds for specific tissue regeneration)” được trình bày chi tiết cụ thể bao gồm 155 trang, trong đó:

Phần mục lục, lời cảm ơn, tóm tắt, abstract, lời cam đoan, danh mục bảng, danh mục hình, danh mục từ viết tắt.

Chapter 1: INTRODUCTION

Chapter 2: STATE OF THE ART AND LITERATURE REVIEW

Chapter 3: MATERIALS AND EXPERIMENTAL METHODS

Chapter 4: DEVELOPMENT OF THERMAL RESPONSIVE HYDROGEL FROM ALGINATE AND PLURONIC VIA GRAFTING APPROACH

Chapter 5: DEVELOPEMNT OF THERMAL RESPONSIVE HYDROGEL FROM ALGINATE AND PLURONIC VIA CROSS-LINKING TECHNIQUE

Chapter 6: CONCLUDING REMARKS

Phụ lục liên quan đến kết quả thực nghiệm.

Khối lượng thực hiện luận án khá lớn, nội dung được trình bày đầy đủ chi tiết, chặt chẽ các phần từ đặt vấn đề, thí nghiệm phân tích, khảo sát và đánh giá đầy đủ có kết cấu và hình thức đúng theo quy định của Học viện Khoa học và Công nghệ, với các ưu điểm như sau:

Luận án được trình bày rõ ràng, mạch lạc và dễ hiểu.

Kết quả đạt được của luận án đáp ứng được các mục tiêu mà luận án đã đề ra.

Các phương pháp phân tích hiện đại, phổ biến và kết quả nghiên cứu có độ tin cậy.

Các kết quả phân tích của luận án đánh tin cậy dựa trên các quy hoạch phương pháp nghiên cứu phù hợp, có sử dụng phương pháp phân tích hóa lý, phương pháp đánh giá sinh học đầy đủ và phù hợp.

Phương pháp nghiên cứu triển khai trong luận văn được hoạch định rõ, phạm vi rộng và khá đầy đủ các yếu tố ảnh hưởng. Thí nghiệm thực hiện ở quy mô, lượng hóa chất phù hợp nhưng các thông số đáp ứng được tính toán đáng tin cậy.

Có đóng góp mới về lý luận khoa học và thực tiễn.

Nhược điểm và những điểm tác giả cần lưu ý chỉnh sửa:

Bộ cục trình bày, lỗi cấu trúc câu, văn phạm và cách dùng từ trong các báo cáo tổng kết và báo cáo tóm tắt cần phải kiểm tra và rà soát chi tiết, cụ thể trong các phần đặc biệt cách dùng từ tiếng Anh.

Phần tổng quan cần làm rõ các dữ liệu nghiên cứu và từ đó làm cơ sở cho mục tiêu và hướng nghiên cứu của luận án một cách chi tiết

Tác giả cần làm rõ quá trình thực nghiệm có đánh giá số lần lặp lại của mỗi thí nghiệm để làm cơ sở của các dữ liệu trong quá trình xuyên suốt của nghiên cứu luận án.

Hình ảnh thể hiện trong luận án thì tác giả cần lưu ý đến thống nhất trình bày trong hình ảnh, độ phân giải các hình ảnh trong luận văn.

Phần Abstract tác giả điều chỉnh về tiếng Anh.

Phần tổng quan tình hình nghiên cứu liên quan trong và ngoài nước liên quan đến đề tài, tác giả cần xem xét bổ sung, phân tích và đánh giá để làm rõ mối liên hệ giữa hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt.

Chương 3. Materials and Experimental methods tác giả bổ sung phần Phân tích số liệu, nêu rõ kết quả được xử lý, phân tích bằng phương pháp.

Tác giả cần bổ sung thêm thông tin và cơ sở khoa học để chọn lựa các quy trình tổng hợp các loại vật liệu và cần biện luận rõ về điều kiện lựa chọn, quá trình thí nghiệm. Phương pháp tổng hợp 3.3. Synthesis of polymer (3.3.1; 3.3.2; 3.3.3; 3.3.4); 3.4. Preparation of peroxidase mimicking bioglass (3.4.1; 3.4.2; 3.4.3); 3.5. Peroxidase-like activity test (3.5.1; 3.5.2); 3.6. Preparation of hydrogel (3.6.1; 3.6.2): cần xem xét làm rõ ưu nhược điểm của từng phương pháp và từ đó có cơ sở khoa học phù hợp để lựa chọn phương pháp phù hợp với mục tiêu phát triển vật liệu của luận án.

Phần kết luận tác giả nên viết lại cho phù hợp và nhấn mạnh kết quả và đánh số thứ tự để luận văn được chặt chẽ. Tác giả cần bổ sung những điểm đóng góp mới của luận án một cách phù hợp theo đúng quy định.

Cách tổng hợp và trình bày kết quả nghiên cứu của từng quy trình tổng hợp còn rời rạc chưa chặt chẽ, vì vậy cần tác giả tổng hợp so sánh tổng quát, nêu ưu điểm và nhược điểm của từng phương pháp tổng hợp đối với từng quá trình và đề xuất các kết luận chung về quy luật tổng hợp hay ứng dụng.

Tác giả cần làm rõ và nổi bật 02 phương pháp tổng hợp vật liệu, so sánh ưu và nhược điểm giữa các phương pháp để chứng minh được phương pháp sử dụng là phù hợp và đánh giá tính chất phù hợp.

6. Nội dung luận án đã được công bố trên tạp chí, kỷ yếu hội nghị khoa học nào và giá trị khoa học của các công trình đã công bố.

Từ kết quả nghiên cứu của luận án, tác giả và nhóm nghiên cứu đã công bố thành công 04 bài báo đăng trên các tạp chí khoa học có uy tín và có nội dung công bố liên quan chặt chẽ đến kết quả của luận án, 01 sở hữu trí tuệ và 01 bài báo liên quan đến luận án. Các kết quả của luận án đã được công bố như sau:

Le Hang Dang, Phuong Doan, Tran Thi Yen Nhi, Dinh Trung Nguyen, Bich Tram Nguyen, Thi Phuong Nguyen, and Ngoc Quyen Tran. "Multifunctional injectable Pluronic-cystamine-alginate-based hydrogel as a novel cellular delivery system towards tissue regeneration." *International Journal of Biological Macromolecules* 185 (2021): 592-603 (IF = 8,2; SCIE/Q1)

Vo Le, Tuong Van, Ngoc Quyen Tran, **Dang Le Hang**, Thanh Tuyen Nguyen, Quynh Anh Bui, Nguyen Dinh Trung, Nguyen Dat Thinh et al. "Impacting different structures of injectable Pluronic-conjugated alginate (chitosan) hydrogels on their physicochemical characteristics and morphological fibroblast behavior." *International Journal of Polymer Analysis and Characterization* 27, no. 3 (2022): 205-219 (IF = 1,9; SCIE/Q3)

Le Hang Dang, Hong Tươi Do, Kim Tram Pham, Phuong Thu Ha, Thi Phuong Nguyen, Tan Phat Dao, Ngoc Quyen Tran, "Injectable thermogel incorporating reactive oxygen species scavenger and nitric oxide donor to accelerate the healing process of diabetic wounds". *International Journal of Pharmaceutics* 648 (2023): 123576 (IF = 5,8; SCIE/Q1).

Le Hang Dang, Vu Nhu Quynh, Thuy Tien Nguyen, Thi Hong Tươi Do, Thi Kim Tram Pham, and Ngoc Quyen Tran, "Thermally-responsive and reduced glutathione-sensitive folate-targeted nanocarrier based on alginate and pluronic F127 for on-demand release of methotrexate" *International Journal of Biological Macromolecules* 263 (2024): 130227 (IF = 8,2; SCIE/Q1).

Dặng Thị Lệ Hằng, Trần Ngọc Quyên, National Patent (VN 1-2021-01465): QUY TRÌNH TỔNG HỢP HYDROGEL NHẠY NHIỆT TỪ VẬT LIỆU ALGINAT-CYS-PLURONIC, cấp năm 2024.

Các công trình liên quan đến luận án

Le Hang Dang, Thai Tuan Tran, Minh Tuan Nguyen, Thao- Han Luong, Dat Thinh Nguyen, Minh-Dung Truong, Phuong Le, Hai Khoa Le, Thuy-Tien Dang, Ngoc Quyen Tran. "Syringeable hydrogel based β -cyclodextrin and mixed micelles for Methotrexate delivery". *Journal of Drug Delivery Science and Technology*, 92 (2023), 105299 (IF = 5,0; SCIE/Q1)..

Đây đều là những tạp chí có chính sách bình duyệt khoa học chặt chẽ, vì vậy, các kết quả được công bố có độ tin cậy cao.

7. Kết luận chung cần khẳng định mức độ đáp ứng các yêu cầu đối với một luận án tiến sĩ chuyên ngành. Bản tóm tắt luận án phản ánh trung thành nội dung cơ bản của luận án hay không; luận án có thể đưa ra bảo vệ cấp Học viện để nhận học vị tiến sĩ được hay không.

Luận án đã trình bày đầy đủ nội dung nghiên cứu, và kết quả nghiên cứu đóng góp cho lĩnh vực nghiên cứu và tổng hợp các hợp chất hữu cơ, luận án đã đáp ứng đầy đủ yêu cầu của một LATS. Bản tóm tắt luận án phản ánh đúng, đầy đủ nội dung cơ bản của luận án. Vì vậy, tôi đồng ý cho NCS bảo vệ cấp Học viện để nhận học vị tiến sĩ.

TP.HCM, ngày 30 tháng 5 năm 2024

Người nhận xét



PGS. TS. Bạch Long Giang

Hồ Chí Minh, ngày 31 tháng 05 năm 2024

BẢN NHẬN XÉT LUẬN ÁN TIẾN SĨ CỦA HỘI ĐỒNG

Tên đề tài: “Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt”

Tiếng anh: “Injectable alginate and pluronic-based hydrogels with on demand bioactive compounds for specific tissue regeneration”

Chuyên ngành: Hóa hữu cơ

Mã số: 9 44 01 14

Nghiên cứu sinh: Đặng Thị Lệ Hằng

Người nhận xét: PGS.TS. Nguyễn Trung Nhân

Cơ quan công tác: Trường Đại học Khoa học Tự nhiên
Đại học Quốc gia TP. HCM

I. Ý KIẾN NHẬN XÉT

1. Tính cần thiết, thời sự, ý nghĩa khoa học và thực tiễn của đề tài luận án.

Hiện nay, việc phát triển các loại vật liệu y sinh có chức năng tái tạo mô đang rất được quan tâm nghiên cứu. Có rất nhiều phương pháp được nghiên cứu, triển khai và thử nghiệm, đặc biệt là chế tạo các loại vật liệu có khả năng mang các hợp chất có hoạt tính sinh học cho ứng dụng tái tạo các mô chuyên biệt. Trong những năm gần đây, nhờ sự phát triển của công nghệ tổng hợp, chế tạo vật liệu hydrogel ở nước ta đã có nhiều đóng góp trong mọi mặt của cuộc sống, đặt biệt là lĩnh vực y sinh.

Luận án nghiên cứu của NCS Đặng Thị Lệ Hằng đã tổng hợp các loại hydrogel trên cơ sở Alginate, Pluronic, kết hợp với các hợp chất có hoạt tính sinh học có ý nghĩa khoa học và thực tiễn do hệ hydrogel có thể ứng dụng trong vấn đề tái tạo mô, với mong muốn tái tạo các mô chuyên biệt. Do đó, đề tài hết sức có ý nghĩa và cần thiết trong bối cảnh hội nhập của nước ta hiện nay.

2. Sự không trùng lặp của đề tài nghiên cứu so với các công trình, luận án đã công bố ở trong và ngoài nước; tính trung thực, rõ ràng và đầy đủ trong trích dẫn tài liệu tham khảo.

Nội dung luận án không bị trùng lặp với các công bố của các luận án trong và ngoài nước tính đến thời điểm này.

Tài liệu tham khảo trong luận án được trích dẫn một cách trung thực, rõ ràng và cập nhật đầy đủ. Trong đó, có nhiều tài liệu tham khảo công bố trong vòng 5 năm trở lại đây trên các tạp chí uy tín trong lĩnh vực vật liệu y sinh.

3. Sự phù hợp giữa tên đề tài với nội dung, giữa nội dung với chuyên ngành và mã số chuyên ngành.

Nội dung nghiên cứu của đề tài chủ yếu tập trung vào phương pháp chế tạo, tính chất hydrogel và đánh giá khả năng làm lành vết thương và khả năng tái tạo xương. Đồng thời luận án này đã sử dụng các phương pháp phân tích vật liệu để đánh giá các tính chất vật lý-hóa học của vật liệu hydrogel. Các sản phẩm được tổng hợp từ luận án này đã được kiểm tra độc tính, đánh giá khả năng kháng khuẩn, làm lành vết thương cũng như đánh giá khả năng mang tế bào chuyên biệt. Do đó, các nội dung này hoàn toàn phù hợp với tên đề tài và chuyên ngành hóa hữu cơ đã đăng kí.

4. Độ tin cậy và tính hiện đại của phương pháp đã sử dụng để nghiên cứu.

Luận án đã sử dụng các phương pháp thường qui trong tổng hợp vật liệu và đánh giá khả năng tương thích sinh học cũng như độc tính của vật liệu. Sử dụng phép phân tích và đánh giá bằng các phương pháp hiện đại có độ tin cậy cao như: kính hiển vi điện tử truyền qua (TEM), kính hiển vi điện tử quét (SEM), nhiễu xạ tia X (XRD), sắc kí lỏng hiệu năng cao (HPLC), kính hiển vi quét laser đồng tiêu, cộng hưởng từ hạt nhân (NMR), để đánh giá cấu trúc, thành phần, tính chất hydrogel, tương thích sinh học, độc tính với tế bào, khả năng tái tạo mô. Do đó, kết quả nghiên cứu có độ tin cậy cao.

5. Kết quả nghiên cứu mới của tác giả; đóng góp mới cho sự phát triển khoa học chuyên ngành; đóng góp mới phục vụ cho sản xuất, kinh tế, quốc phòng, xã hội và đời sống; ý nghĩa khoa học, giá trị và độ tin cậy các kết quả đó.

Thông qua nội dung, luận án của NCS Đặng Thị Lệ Hằng đã đạt được một số tính mới như sau:

- Tổng hợp thành công hydrogel nhạy nhiệt từ Aliginat và Pluronic và bằng phương pháp ghép mạch thông qua liên kết cystamine.
- Xây dựng công thức hóa học của hệ hydrogel (ACP) trên cơ sở dữ liệu phổ ^1H NMR và phổ FTIR.
- Đã khảo sát ảnh hưởng của hàm lượng Alginate đến tính chất nhạy nhiệt của ACP và ảnh hưởng của hàm lượng copolymer đến quá trình chuyển pha sol-gel của ACP.
- Đã khảo sát, đánh giá mang tế bào của ACP.
- Đã khảo sát, đánh giá khả năng làm lành vết thương của ACP mang chất hoạt tính (L-arginine và resveratrol) trên mô hình chuột bị bệnh tiểu đường.
- Tổng hợp thành công hydrogel nhạy nhiệt từ Aliginat và Pluronic với tác nhân tạo liên kết ngang dopamine.
- Đã khảo sát, đánh giá tính chất cơ lý, khả năng chuyển pha sol-gel của hydrogel với các nồng độ khác nhau của PDA, PDA và ADA.
- Đã khảo sát và đánh giá độc tính tế bào, khả năng khoáng hóa, khả năng kháng khuẩn của hydrogel.

Những đóng góp của luận án là tiền đề để phát triển các vật liệu y sinh có khả năng tái tạo mô, ứng dụng làm lành vết thương và tái tạo xương.

6. Nội dung luận án đã được công bố trên tạp chí, kỷ yếu hội nghị khoa học nào và giá trị khoa học các công trình đã công bố.

Một phần nội dung luận án đã được công bố ở 04 bài báo quốc tế uy tín, 1 bằng sáng chế quốc gia. Ngoài ra, 1 phần kết quả của luận án này còn được công bố ở 01 tạp chí quốc tế uy tín.

Các công bố khoa học đều liên quan chặt chẽ đến nội dung cũng như tiêu đề của luận án, và đã thông tin kịp thời kết quả nghiên cứu về “ **Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt**” đến giới khoa học trong nước và quốc tế.

7. Ưu và nhược điểm về nội dung, kết cấu và hình thức luận án; chỉ ra các vấn đề cần phải điều chỉnh, làm rõ.

Thông qua nội dung và tóm tắt luận án cho thấy: hình thức, bố cục, nội dung, kết quả của luận án được trình bày rõ ràng, mạch lạc, rất ít lỗi đánh máy, cấu trúc luận án hợp lý. Nội dung nghiên cứu của luận án có ý nghĩa về khoa học, các kết quả có độ tin cậy cao.

Tuy nhiên cần chỉnh sửa một vài ý như sau:

- Trang ix: “moduli” thành “modulus”.
- Tách các phần danh mục hình ảnh, bảng ra các trang khác nhau.
- Đánh số các công thức tính toán có trong luận án và lập danh mục công thức.
- Trang 51, chỉnh sửa tài liệu tham khảo số [251].
- Trang 60, hình và tên hình phải cùng 1 trang, bổ sung tên trục tung trên phổ FTIR.
- Trang 66 chỉnh sửa lại tên hình cho đúng định dạng.
- Trang 104, bổ sung đơn vị cho trục tung của figure 5.4 A, figure 5.4 B.
- Trang 119, bổ sung scale-bar vào figure 5.16 A.

KẾT LUẬN

Nhìn chung các kết quả nghiên cứu đạt được của luận án đã đóng góp quan trọng trong việc tổng hợp hệ hydrogel nhạy nhiệt có mang các dược chất ứng dụng trong lĩnh vực y sinh, cụ thể là lĩnh vực tái tạo mô.

Các kết quả của luận án đã thể hiện tính khoa học chặt chẽ, bổ sung phương pháp tổng hợp hydrogel nhạy nhiệt bằng phương pháp ghép mạch hoặc liên kết ngang, phục vụ tích cực cho các nghiên cứu khoa học cơ bản định hướng ứng dụng.

Nội dung và chất lượng luận án đáp ứng đầy đủ yêu cầu của một luận án tiến sĩ. Đề nghị hội đồng chấm luận án Tiến sĩ cấp Học viện thông qua nội dung luận án và công nhận học vị tiến sĩ cho **NCS Đặng Thị Lệ Hằng**.

Tp. Hồ Chí Minh, ngày 31 tháng 05 năm 2024

Xác nhận của Cơ quan

Người viết nhận xét

(Ký, ghi rõ họ tên)



PGS.TS. Nguyễn Trung Nhân

(Mẫu 22-HV-Quyết nghị)

VIỆN HÀN LÂM
KHOA HỌC VÀ CÔNG NGHỆ VN
HỌC VIỆN KHOA HỌC VÀ CÔNG NGHỆ

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc

Hà Nội, ngày 8 tháng 6 năm 2024

QUYẾT NGHỊ CỦA HỘI ĐỒNG ĐÁNH GIÁ LUẬN ÁN TIẾN SĨ CẤP HỌC VIỆN

Họ và tên NCS: Đặng Thị Lệ Hằng

Tên đề tài luận án: **Injectable alginate and pluronic-based hydrogels with on-demand bioactive compounds for specific tissue regeneration**

Tên Tiếng Việt: **Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt**

Chuyên ngành: Hóa hữu cơ

Mã số: 9 44 01 14

Người hướng dẫn: PGS. TS. Trần Ngọc Quỳnh

1/ Những kết luận khoa học cơ bản, những điểm mới, đóng góp mới của luận án

Luận án tổng hợp thành công hai hệ hydrogel nhạy nhiệt trên cơ sở pluronic và alginate bằng 2 kỹ thuật khác nhau với cơ tính và hoạt tính thích hợp với các ứng dụng tái tạo mô chuyên biệt và có thể ứng dụng dạng đường tiêm. Cụ thể:

- Đã tổng hợp thành công hệ hydrogel nhạy nhiệt hình thành từ dẫn xuất alginate với pluronic F127 bằng phương pháp ghép mạch. Pluronic F127 được gắn lên sườn cấu trúc alginate thông qua cầu nối cystamine, tạo alginate-cystamine-pluronic F127 (ACP). Vật liệu tạo ra có đặc tính nhạy nhiệt kế thừa từ pluronic F127, và được chỉnh trên cơ sở alginate. Dung dịch dẫn xuất ACP (tỷ lệ ghép 1:7) ở nồng độ 20wt% chuyển thành trạng thái hydrogel khi tiếp xúc với nhiệt độ trên 30°C, phù hợp với các ứng dụng tái tạo mô sử dụng dạng đường tiêm. Hydrogel hình thành ở cấu trúc lỗ xốp, có khả năng giữ nước và phân hủy trong môi trường sinh học. Các thử nghiệm đánh giá về tính hợp sinh học cho thấy hệ hydrogel không những không gây độc tính với tế bào mà còn hỗ trợ khung xương để tế bào phát triển ở bên trong, mở ra hướng ứng dụng về liệu pháp tế bào. Vật liệu alginate dẫn xuất hình thành hydrogel nhờ tương tác kỵ nước và tương tác hydrogen, với cơ tính của hydrogel xác định bằng phương pháp lưu biến học cho thấy sự phù hợp cho tái tạo mô mềm như da. Kết quả



nghiên cứu tạo điều kiện để phát triển hệ hydrogel với các hợp chất hoạt tính sinh học thích hợp với quá trình lành vết thương trên bệnh lý đái tháo đường. Trên cơ sở tiền đề này, hệ hydrogel nhạy nhiệt cho vết thương bệnh lý đái tháo đường trên cơ sở vật liệu ACP tổng hợp kết hợp với Resveratrol và L-arginine đã được điều chế và chứng minh được tiềm năng phát triển thành sản phẩm trong tương lai. Sự kết hợp này tạo hệ băng vết thương hoạt tính, giúp cân bằng hoạt động của các yếu tố oxy hóa sản sinh trong môi trường viêm và duy trì nồng độ nitric oxide phù hợp với yêu cầu của quá trình lành vết thương. Các thử nghiệm *in vitro* như thử nghiệm kích ứng da trên động vật, thử nghiệm tính tương hợp sinh học với máu toàn phần cho thấy tính tương hợp sinh học cao của vật liệu hydrogel chức năng này. Thử nghiệm tiền lâm sàng trên mô hình vết thương trên chuột mang bệnh lý đái tháo đường góp phần khẳng định hiệu quả hỗ trợ tái tạo mô da bị tổn thương với tự tái tạo cấu trúc gần như tương tự với vùng da bình thường. Các kết quả góp phần củng cố tiền đề phát triển hydrogel hoạt tính trên cơ sở vật liệu ghép ACP kết hợp Resveratrol và L-arginine tạo sản phẩm chăm sóc vết thương ở bệnh nhân, đặc biệt là bệnh nhân đái tháo đường.

- Đã tổng hợp thành công hệ hydrogel nhạy nhiệt hình thành từ dẫn xuất alginate với pluronic F127 bằng phương pháp tạo liên kết ngang. Thay vì gắn pluronic F127 lên cấu trúc của alginate, phương pháp này hình thành trên cơ sở phối trộn kết hợp với tác nhân hình thành liên kết ngang trong mạng lưới vật liệu. Trên cơ sở của tính chất kết mạng hình thành nhờ phản ứng polymer hóa của nhóm catechol, hợp chất 3,4-dihydroxyphenylalanine (DOPA) được lựa chọn để biến tính cấu trúc của alginate (ADA) và pluronic F127 (PDA). Sự hình thành liên kết ngang giữa các polymer để hình thành cấu trúc hydrogel được hình thành nhờ phản ứng oxy hóa nhóm catechol trên phân tử DOPA với hydrogen peroxide dưới xúc tác của enzyme peroxidase. Tính mới của vật liệu này được thể hiện ở việc sử dụng hạt thủy tinh sinh học biến tính với nano hemin để thay thế chức năng của enzyme peroxidase. Với phương án này, vật liệu hydrogel tạo thành không những loại bỏ quan ngại về enzyme peroxidase mà còn tạo hệ hydrogel bắt chước cấu trúc môi trường vi mô của xương. Nhờ có sự tham gia của hạt thủy tinh sinh học, hydrogel có tính chất khoáng

hóa và có tính chất kháng khuẩn, khác hẳn với hydrogel hình thành nhờ enzyme peroxidase. Các thử nghiệm khác về tính an toàn sinh học và khả năng kích ứng miễn dịch cho thấy tiềm năng ứng dụng của vật liệu này trong lĩnh vực y sinh. Do sự hình thành mạng lưới liên kết ngang trong hydrogel có sự tham gia của liên kết hóa học thay vì tương tác vật lý như trường hợp sử dụng copolymer ACP, hydrogel hình thành ngoài tính chất chuyển pha, cơ tính của vật liệu phù hợp với ứng dụng tái tạo mô xương. Kết quả nghiên cứu tạo tiền đề cho các thử nghiệm tiền lâm sàng về khả năng phát triển vật liệu này trong nha khoa hoặc các bệnh lý về xương.

2/ Cơ sở khoa học, độ tin cậy của những luận điểm và những kết luận nêu trong luận án

Các luận điểm nêu trong luận án được rút ra từ những kết quả thực nghiệm, được tiến hành với những phương pháp hiện đại, các số liệu đều đã được công bố trên các tạp chí khoa học chuyên ngành quốc tế. Do đó các kết luận đề nghị trong luận án có độ tin cậy.

3/ Ý nghĩa về lý luận, thực tiễn và những đề nghị sử dụng các kết quả nghiên cứu của luận án

Hydrogel dựa trên alginate và pluronic F127 có thể tiêm tại chỗ với các chiến lược khác nhau tạo ra các sản phẩm tiềm năng cho các ứng dụng kỹ thuật mô. Cả hai phương pháp, phương pháp ghép và kỹ thuật liên kết ngang thông qua quá trình oxy hóa catechol, đều có thể thiết kế được hydrogel phản nhạy nhiệt dựa trên alginate và pluronic F127. Cả hai hydrogel thu được đều là chất lỏng ở nhiệt độ lạnh để tiêm, nhanh chóng hình thành mạng lưới hydrogel ba chiều khi tiếp xúc với nhiệt độ cơ thể và tạo điều kiện thực hiện với các mô có hình khuyết tật không đều, cho phép phân phối tế bào đơn giản và cục bộ, và cuối cùng, phân hủy để cho phép mô phát triển. Bằng cách thêm chất hoạt tính sinh học như L-arginine, resveratrol hoặc BG, hydrogel alginate-pluronic trở thành nền tảng hấp dẫn cho lĩnh vực tái tạo mô mới. Những hydrogel thông minh này có thể cung cấp giải pháp thay thế tiềm năng, an toàn hơn và thành công hơn cho nhiều ứng dụng trong y sinh trong tương lai.

4/ Những thiếu sót về nội dung và hình thức của luận án

Chỉnh sửa các lỗi hình thức về in ấn, đánh máy theo góp ý của Hội đồng.

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5/ Mức độ đáp ứng các yêu cầu của luận án

Bản luận án hoàn toàn đáp ứng các yêu cầu của luận án Tiến sĩ, chuyên ngành Hoá hữu cơ.

6/ Những điểm cần bổ sung, sửa chữa (nếu có) trước khi nộp luận án cho Thư viện Quốc gia Việt Nam

Chỉnh sửa hình thức luận án theo các góp ý của thành viên Hội đồng

7/ Kiến nghị của Hội đồng về việc công nhận trình độ và cấp bằng tiến sĩ cho nghiên cứu sinh

Đề nghị Học viện Khoa học và Công nghệ công nhận trình độ và cấp bằng tiến sĩ, chuyên ngành Hoá hữu cơ cho NCS Đặng Thị Lệ Hằng.

8/ Nghị quyết trên được 7/7 thành viên Hội đồng nhất trí thông qua bằng biểu quyết công khai.

Chủ tịch Hội đồng tuyên bố kết thúc buổi chấm bảo vệ luận án tiến sĩ cấp Học viện lúc 11g30 cùng ngày./.

THƯ KÝ

Lê Tiên Dũng

CHỦ TỊCH

Nguyễn Cửu Khoa



**XÁC NHẬN CỦA
HỌC VIỆN KHOA HỌC VÀ CÔNG NGHỆ
GIÁM ĐỐC**



Vũ Đình Lãm

(Mẫu 6-HV-Bản giải trình chỉnh sửa, bổ sung cấp HV)

VIỆN HÀN LÂM
KHOA HỌC VÀ CÔNG NGHỆ VN
HỌC VIỆN KHOA HỌC VÀ CÔNG NGHỆ

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc

BẢN GIẢI TRÌNH CHỈNH SỬA, BỔ SUNG LUẬN ÁN TIẾN SĨ CẤP HỌC VIỆN

Ngày 8 tháng 06 năm 2024, Học viện Khoa học và Công nghệ đã tổ chức đánh giá luận án tiến sĩ cấp Học viện cho nghiên cứu sinh Đặng Thị Lệ Hằng theo Quyết định số 346/QĐ-HVKHCN ngày 15 tháng 04 năm 2024 của Giám đốc Học viện.

Đề tài: Injectable alginate and pluronic-based hydrogels with on-demand bioactive compounds for specific tissue regeneration

Tên tiếng việt: Hydrogel dạng tiêm trên cơ sở Alginate và Pluronic với các hợp chất hoạt tính sinh học theo yêu cầu để tái tạo mô chuyên biệt

Ngành: Hóa hữu cơ

Mã số: 9 44 01 14

Người hướng dẫn khoa học: PGS. TS. Trần Ngọc Quyên

Theo Biên bản của Hội đồng, NCS phải bổ sung và chỉnh sửa luận án các điểm sau đây:



STT	Nội dung đề nghị chỉnh sửa, bổ sung	Nội dung đã được chỉnh sửa, bổ sung (Ghi rõ số trang/chương/mục... đã được chỉnh sửa)
1	Bản tóm tắt tiếng Anh và tiếng Việt chưa được tương thích hoàn toàn	Đã chỉnh sửa 2 bản tóm tắt để đạt được tính tương thích.
2	Các phương pháp nghiên cứu nên có trích dẫn tài liệu tham khảo	Đã bổ sung tài liệu tham khảo vào phần phương pháp nghiên cứu. Toàn bộ chương III của luận án.
3	Nên có các tiêu chuẩn của vật liệu dự kiến tổng hợp	Đã bổ sung thêm các tiêu chuẩn đánh giá trong phần phương pháp nghiên cứu, cụ thể Chương III của luận án.
4	Sơ đồ tiến hành nên cập nhật và bổ sung thêm thông tin cho rõ hơn ý đồ của NCS	Sơ đồ tóm tắt về phương pháp nghiên cứu đã được bổ sung trong chương III luận án.
5	Bổ sung thông tin thực nghiệm in vivo.	Đã bổ sung thêm thông tin cụ thể về nghiên cứu trên in vivo, cụ thể chương III, mục 3.17
6	Các lỗi về phong chữ, lỗi chính tả	Đã rà soát toàn bộ luận án.
7	Phần kết luận nên viết lại để nổi bật tính	Phần chương VI của luận án đã được viết lại

Lưu ý: Các chữ ký xác nhận cần gắn với nội dung trên cùng một trang giấy. Học viện sẽ không xác nhận nếu nhận chữ ký tách rời với nội dung

	mới của luận án	theo góp ý của Hội đồng
8	Rà soát các tài liệu tham khảo cho thống nhất về cách trình bày	Các tài liệu tham khảo đã được điều chỉnh
9	Tách các phần danh mục hình ảnh, bảng ra các trang khác nhau.	Đã chỉnh sửa phần mục lục
10	Đánh số các công thức tính toán có trong luận án và lập danh mục công thức	Đã chỉnh sửa theo góp ý.
11	Trang 60, hình và tên hình phải cùng 1 trang, bổ sung tên trục tung trên phổ FTIR.	Đã chỉnh sửa theo góp ý.
12	Trang 66 chỉnh sửa lại tên hình cho đúng định dạng.	Đã chỉnh sửa theo góp ý.
13	Trang 104, bổ sung đơn vị cho trục tung của figure 5.4 A, figure 5.4 B.	Đã chỉnh sửa theo góp ý.
14	Trang 119, bổ sung scale-bar vào figure 5.16 A.	Đã chỉnh sửa theo góp ý.
15	Trang 108, chỉnh sửa lại ký hiệu hoá học của H ₂ O ₂	Đã chỉnh sửa theo góp ý.

Nghiên cứu sinh chân thành cảm ơn Quý thầy, cô trong Hội đồng đánh giá luận án tiến sĩ cấp Học viện đã góp ý và tạo cơ hội cho NCS hoàn thiện luận án của mình.

Xin trân trọng cảm ơn./.

Hà Nội, ngày 11 tháng 6 năm 2024



TẬP THỂ HƯỚNG DẪN

(Trường hợp có 02 người hướng dẫn xin chữ ký cả 02 người, ký và ghi rõ họ tên)

Trần Ngọc Quyên

**XÁC NHẬN CỦA HỌC VIỆN
KHOA HỌC VÀ CÔNG NGHỆ
GIÁM ĐỐC**



Vũ Đình Lâm

NGHIÊN CỨU SINH

Đặng Chi Lệ Hằng

CHỦ TỊCH HỘI ĐỒNG

Nguyễn Cửu Khoa