#### **INTRODUCTION**

#### The urgency of the thesis

Today, as society is increasingly developing, the trend of using natural medicinal sources in general, especially natural compounds derived from plants for prevention and treatment of diseases is becoming a top concern not only in the pharmaceutical industry in our country but also in countries worldwide.

Atractylodes macrocephala Koidz. is one of eight species of the Atractylodes genus of the Asteraceae family. This is a perennial herb best known in East Asia, especially in China. Currently, Astragalus is grown quite commonly in some northern mountainous provinces in Vietnam. Phytochemical evaluation has shown that Eucalyptus contains compounds with interesting biological activities that have potential for drug research and development. However, only a few of these compounds have been tested for biological activity and their respective structures have not been fully determined. Additionally, the quality control of Atractylodes macrocephala Koidz. has not been thoroughly researched and there is a lack of critical pharmacological assessment of its relationship to the traditional medicinal uses of Atractylodes macrocephala. To contribute to enriching the scientific database on the chemical composition and biological activity of Eucalyptus species grown in Vietnam, we propose the topic "Study on chemical constituents and bioactivities of Atractylodes macrocephala Koidz . (Asteraceae) . This research result will be one of the contributions to the chemical treasure of natural compounds. The objectives of the thesis :

Research on the chemical composition and evaluation of some *in vivo* biological activities of extracts and isolated compounds of *Atractylodes macrocephala* Koidz. of the Asteraceae family collected in Vietnam. Compare some changes between *Atractylodes macrocephala* samples and *Atractylodes macrocephala* samples processed according to traditional medicine methods using the HPLC-DAD method.

#### The main contents of the thesis:

- Isolation and structural determination of compounds from *Atractylodes macrocephala* Koidz.

- Evaluation of biological activity of extracts and compounds isolated from *Atractylodes macrocephala* Koidz.

- Applying metabolomics method to evaluate the changes in some chemical components in *Atractylodes macrocephala* Koidz. processed by traditional medicine methods

#### **CHAPTER 1. OVERVIEW**

# **1.1.** Overview of *Atractylodes macrocephala* Koidz. *1.1.1. Botany of Atractylodes species*

Atractylodes has the scientific name *Atractylodes* macrocephala Koidz. belongs to the genus Atractylodes, order class Asterales. family Asteraceae. Eudicots. phylum Angiospermatophyta. The tree originates from China. Currently, Atractylodes macrocephala Koidz. is grown in many mountainous provinces in the North of our country.

Plant description: the plant prefers cool, dry climates and grows rapidly at temperatures of 22-28°C. The stem is straight, solitary or branched at the top, the lower part is woody, average height is about 30-80 cm. The leaves grow alternately, the lower part of the stem is long, the upper part is short, the base of the leaf is wide. The leaf blades are often deeply divided into 3 lobes and have serrated teeth. Flowers grow and cluster in clusters at the top of the stem. the corolla is reddish purple in color and the pistil is a long thread extending outward. The corolla is tubular, the upper part is purple red, the lower part is white. *Atractylodes macrocephala* fruit is oblong, flat and gray in color. The root acts as the main medicinal ingredient and is used as medicine.

1.1.2. Application of Atractylodes species in traditional medicine

In addition to regulating the digestive system, immune system, and urinary system, it also has many pharmacological effects such as anti-cancer, anti-inflammatory, anti-aging, antioxidant, osteoporosis treatment, neuroprotection and immune regulation. The roots are used in more than 835 preparations and are an integral part of more than 4340 classical prescriptions for the treatment of chronic diseases in traditional Chinese medicine. It is also known as "Baizhu" in Chinese, recorded in the Shennong medicinal herb in the Eastern Han Dynasty, and later described in other traditional Chinese medicine books. Besides, *Atractylodes* is also a part of the treatment of chronic glomerulonephritis, cardiac edema and rheumatoid arthritis that has been clinically tested in China.

In Japan, *Atractylodes macrocephala* is used as a diuretic and to treat some ulcers and gastric mucosal bleeding. Today, Japanese people use *Atractylodes macrocephala* to enhance digestion, diuretic, treat body pain, cough, excessive phlegm, nausea, wet dreams, and dysentery.

In traditional Korean medicine, *Atractylodes* is often used clinically to treat gastrointestinal diseases, abdominal pain, diarrhea, edema, obesity and excessive sweating. It is one of the main ingredients of traditional Korean medicines such as Soamsan and Boyangwhanotang, which have long been used for cancer prevention and chronic disease prevention.

In Vietnam, *Atractylodes* is one of the herbs in traditional herbal medicine used to treat acute and chronic gastritis in oral form, treat rheumatism, measles, itching, diarrhea, and long-term dysentery not cured. *Atractylodes macrocephala* combined with other herbs is effective in treating some liver diseases and helping to ease pregnancy.

## 1.2. The status of study on Atractylodes species

1.2.1. The status of study on chemical composition of Atractylodes species

There have been 79 compounds isolated from the *Atractylodes macrocephala* root with an extremely rich chemical composition with many classes of substances such as benzoquinone, coumarin, flavonoids, flavonoid glycosides, phenylpropanoids, polyacetylene, polysaccharides, sesquiterpenoids, steroids, triterpenoids and many other compounds other substances. Among them, sesquiterpenoids, polyacetylene and polysaccharides are the main biologically active components of *Atractylodes macrocephala*.

# *1.2.3.* Some domestic research on *Atractylodes macrocephala* species

In Vietnam, so far there have been only a few research projects on essential oils and pharmacological effects of *Atractylodes macrocephala* extracts. There is no published research on the process of quantitative analysis of the chemical composition of *Atractylodes macrocephala* roots.

**1.3.** Some studies on the chemical composition and bioactivities of *Atractylodes macrocephala* roots processed according to traditional medicine methods.

Research projects on *Atractylodes macrocephala* roots processed by traditional medicine methods mainly focus on studying the changes in substance content between *Atractylodes macrocephala* and *Atractylodes macrocephala* after processing by traditional medicine methods transmitted like stars with bran honey, stars with loess. Studies show that after treatment, the properties and uses of *Atractylodes macrocephala* increase and the toxicity and side effects may decrease.

#### **CHAPTER 2. RESEARCH SUBJECTS AND METHODS**

## 2.1. Subjects

Samples of *Atractylodes macrocephala* Koidz. were collected in Quyet Tien commune, Quan Ba district, Ha Giang province at the end of May 2021. The scientific name was determined by Dr. Nguyen The Cuong, Institute of Ecology and Biological Resources, Vietnam Academy of Science and Technology. Ha Giang 06 specimen is kept at the Center for high technology research and development.

# 2.2. Methods

# 2.2.1. Processing method of Atractylodes macrocephala root samples according to traditional medicine

Traditional medicine processing methods are carried out according to the Guidelines of the Ministry of Health of Vietnam on traditional medicine processing methods (2017).

# 2.2.2. Methods for isolation

Compound isolation method: Combination of chromatography methods including thin layer chromatography (TLC), column chromatography (CC)

# 2.2.3. Methods for determining the chemical structure

spectroscopic Using modern methods including: mass spectrometry (ESI-MS), high resolution mass spectrometry (HR-ESI-MS), 1-dimensional and 2-dimensional nuclear magnetic resonance (NMR) spectroscopy, polar rotation ( $[\alpha]_D$ )

# 2.2.4. Method to evaluate biological activity

- DPPH free radical scavenging activity was conducted according to the method of Williams et al.

- Anti-inflammatory activity was evaluated through the inhibition of NO production on RAW264.7 cells stimulated by LPS

- Cancer cytotoxic activity was determined by the MTT method

# 2.2.5. Analytical and quantitative methods

The content of compounds was determined by high performance liquid chromatography (HPLC). Data collection was handled by Microsoft Excel 2016 (Microsoft Corporation, USA). Standardized and multivariate statistics were conducted in R statistical software (R Foundation for Statistical Computing, Vienna, Austria).

#### 2.2.6. Qualitative analysis method

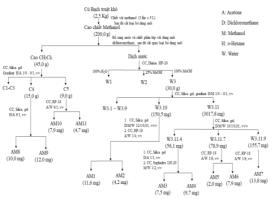
The compounds isolated from *Atractylodes macrocephala* roots was determined by HPLC method on HPLC-DAD Agilent 1100 instrument.

#### **CHAPTER 3: EXPERIMENT AND RESULTS**

#### 3.1. Isolation of compounds

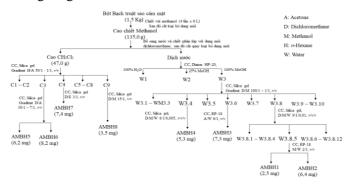
#### 3.1.1. Isolation of compounds from Atractylodes macrocephala

From *Atractylodes macrocephala* species, 11 compounds were isolated using chromatographic methods according to the following diagram:



# 3.1.2. Isolation of compounds from Atractylodes macrocephala bran honey

From *Atractylodes macrocephala* species bran honey, 08 compounds were isolated using chromatographic methods according to the following diagram:



#### **3.2.** Physical and spectroscopic data of compounds

13 compounds isolated from *Atractylodes macrocephala species* are presented with physical parameters such as existing forms, color, specific rotation, high resolution mass spectrometry (HR-ESI-MS), molecular formula, molecular mass (M)

### 3.3. Activity of the isolated compound

### 3.3.1. Results of DPPH free radical scavenging activity

The results of DPPH free radical scavenging activity test are shown in the following two tables:

Table 3.1. Test results of DPPH free radical scavengingactivity of compounds isolated from Atractylodes macrocephala rootsand Atractylodes macrocephala bran molasses

STT	Tên mẫu	IC50 (µM)	STT	Tên mẫu	IC50 (µM)
1	AM1	>100,00	8	AM8	>100,00
2	AM2	>100,00	9	AM9	>100,00
3	AM3	>100,00	10	AM10	>100,00
4	AM4	$32,63 \pm 2,78$	11	AM11	$17,\!64 \pm 3,\!08$
5	AM5	$85,17 \pm 2,56$	12	AMBH1	$74,\!41 \pm 2,\!13$
6	AM6	$45,54 \pm 3,82$	13	AMBH5	$56{,}76\pm2{,}05$
7	AM7	>100,00	ĐC	Ascorbic acid *	$55{,}64 \pm 4{,}37$

Table 3.2. Test results of DPPH free radical scavenging activity ofextracts from Atractylodes macrocephala roots processed by

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Tên mẫu	IC <sub>50</sub> (µg/mL)				
MeOH extract of RA	>150,00				
MeOH extract of AL	$115,74 \pm 3,67$				
MeOH extract of BH	>150,00				
MeOH extract of SO	$101,43 \pm 2,44$				
Ascorbic acid *	$15,\!47 \pm 1,\!78$				

traditional methods

\* positive control

## 3.3.2. Results of NO production inhibitory activity

Anti-inflammatory activity was evaluated through the ability to inhibit NO production on the RAW264.7 cell line shown in the following two tables:

Table 3.3. Results of testing the NO production inhibitory activity of compounds isolated from Atractylodes macrocephala and Atractylodes

Tên chất	IC50 (µM)#	Tên chất	IC50 (µM)#
AM1	$43,52 \pm 4,08$	AM8	$78,\!48 \pm 6,\!47$
AM2	$60,13 \pm 5,78$	AM9	$48,94 \pm 3,41$
AM3	$32,74 \pm 3,22$	AM10	86,14 ± 5,83
AM4	$46,38 \pm 4,47$	AM11	$42,71 \pm 4,35$
AM5	$52,12 \pm 4,85$	AMBH1	>100
AM6	$76,18 \pm 5,75$	AMBH5	>100
AM7	$51,96 \pm 5,81$	Cardamonin *	$2,14 \pm 0,14$

macrocephala bran honey

Table 3.4. Results of testing the NO production inhibition activity of extract samples from Atractylodes macrocephala roots processed by

Tên mẫu	IC <sub>50</sub> (µg/mL) <sup>#</sup>
MeOH extract of RA	> 500
MeOH extract of AL	$377,16 \pm 26,8$
MeOH extract of BH	> 500
MeOH extract of SO	$394,9 \pm 44,3$
* Cardamonin	$0,78 \pm 0,11$

traditional methods

\*positive control

# % cell survival was above 85% at concentrations of 25  $\mu M$  and 100  $\mu M$  for all samples

#### 3.3.3. Results of cancer cell cytotoxic activity

Results of evaluating in vitro cytotoxicity on two human cancer cell lines including lung cancer (A549) and leukemia (K562) are shown in the following table:

Table 3.5. Results of testing the cytotoxic activity of compounds isolated from Atractylodes macrocephala roots and Atractylodes macrocephala roots bran honey

Tên chất	IC50 (µM)			IC50 (µM)	
	A549	A549	Tên chất	A549	K562
AM1	67,6 ± 8,42	77,4 ± 5,59	AM8	28,56 ± 1,27	51,76 ± 3,45
AM2	54,3 ± 4,31	>100	AM9	>100	78,5 ± 4,29
AM3	62,5 ± 7,91	>100	AM10	>100	>100
AM4	>100	>100	AM11	>100	>100
AM5	>100	>100	AMBH1	>100	>100
AM6	>100	>100	AMBH5	>100	>100
AM7	>100	84,7 ± 6,13	Camptothecin*	0,76 ± 0,09	0,98 ± 0,11

Table 3.6. Results of cytotoxic activity of extracts from Atractylodes

STT	Tên mẫu	IC50 (µg/mL)		
	Ten mau	A549	K562	
1	MeOH extract of RA	$78,\!97 \pm 6,\!45$	>100	
2	MeOH extract of AL	$64{,}57\pm5{,}75$	$80,21 \pm 7,16$	
3	MeOH extract of BH	>100	>100	
4	MeOH extract of SO	$77,\!45 \pm 9,\!18$	>100	
	Camptothecin *	$0,26 \pm 0,03$	$0,34 \pm 0,04$	

macrocephala roots processed by traditional methods

\* positive control

Atractylodes macrocephala (RA), Atractylodes macrocephala soaked in alcohol (AL), Atractylodes macrocephala bran honey (BH), Atractylodes macrocephala loess (SO)

#### **CHAPTER 4: DISCUSSIONS**

#### 4.1. Determine the structure of the isolated compounds

4.1.1. Compounds isolated from Atractylodes macrocephala root samples

Compound AM1: eudesma-4(15),7-diene-3a,9B,11-triol



Figure 4.1. Chemical structure of compound AM1 and

#### reference compound

Compound **AM1** was isolated as a colorless solid. From the HR-ESI-MS high-resolution mass spectrum of compound **AM1**, a pseudomolecular ion peak was obtained at m/z 251.1645 [M-H]<sup>-</sup> (theoretical calculation for the formula  $[C_{15}H_{23}O_3]^-$ , 251,1647 ), combined with <sup>13</sup>C NMR spectral data allows determining the molecular formula of compound **AM1** as  $C_{15}H_{24}O_3$ . The <sup>1</sup>H NMR spectrum of compound **AM1** appears two exomethylene signals at  $\delta_H$  5,08 (1H, t, J = 1,5 Hz, Ha-15) and  $\delta_H$  4,80 (1H, t, J = 1,5 Hz, Hb-15) and an olefinic proton at  $\delta_H$  5,55 (1H, d, J = 1,5 Hz, H-8), the characteristic signal of the eudesmane framework. In addition, the <sup>1</sup>H NMR spectrum of compound **AM1** also shows two oxymethine protons at  $\delta_H$  4,28 (1H, br s, H-3) and  $\delta_H$  4,04 (1H, d, J = 2,0 Hz, H-9) along with three methyl groups at  $\delta_H$  0,69 (3H, s, H-14), 1,34 (3H, s, H-13), 1,35 (3H, s, H-12).

The <sup>13</sup>C NMR spectrum and HSQC spectrum of **AM1** have the appearance of 15 carbon signals, including 4 carbons not bonded to hydrogen (at  $\delta_C$  152,1, 145,5, 40,4 and 72,9), three groups methyl (at  $\delta_C$  29,1, 29,0 and 11,0), four methylene groups (at  $\delta_C$  32,4, 30,6, 25,4 and 110,8), four methine groups (at  $\delta_C$  74,0, 40,1, 123,3 and 79,2). The

NMR spectrum data of compound **AM1** shows similarities with the data of compound eudesma-4(15),7-diene-9 $\alpha$ ,11-diol (Table 4.1), a sesquiterpene compound with the eudesmane frame form isolated from *Atractylodes lancea* in previous studies

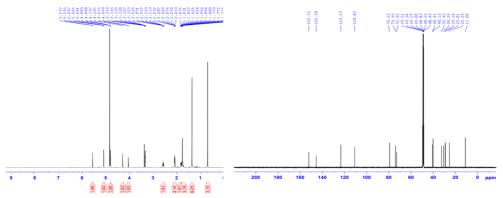


Figure 4.2. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of compound AM1

<i>Table 4.1.</i>	NMR spectrum	of com	oound AMI	and r	reference	compound

С	#δc	δc <sup>a,b</sup>	$\delta_{\mathrm{H}^{\mathrm{a,c}}} (\mathrm{multi.}, J = \mathrm{Hz})$
1	78,9	32,4	1,78 m
2	31,5	30,6	1,83 m/1,77 m
3	34,2	74,0	4,28 s
4	148,7	152,1	-
5	41,9	40,1	2,56 dd (9,0, 2,0)
6	28,8	25,4	2,08 m
7	75,6	145,5	-
8	26,3	123,3	5,55 d (1,5)
9	32,1	79,2	4,04 d (2,0)
10	39,8	40,4	-
11	75,5	72,9	-
12	24,8	29,1	1,35 s
13	24,8	29,0	1,34 s
14	9,1	11,0	0,69 s
15	106,7	110,8	5,08 (t, 1,5, H <sub>a</sub> -15) 4,80 (t, 1,5, H <sub>b</sub> -15)

<sup>*a*</sup>CD<sub>3</sub>OD, <sup>*b*</sup>125 MHz, <sup>*c*</sup>500 MHz, <sup>*#*</sup> $\delta c$ : data of 4(15)-eudesmene-1 $\beta$ ,7,11-triol in CDCl<sub>3</sub>. 100 MHz,

The <sup>1</sup>H-<sup>1</sup>H COSY spectrum of compound **AM1** shows the interaction of protons attached to two adjacent carbons of H-1 ( $\delta_{\rm H}$  1,78)/ H-2 $\alpha$  ( $\delta_{\rm H}$  1,83), H-2 $\beta$  ( $\delta_{\rm H}$  1,77)/ H-3 ( $\delta_{\rm H}$  4,28), H-5 ( $\delta_{\rm H}$  2,56)/ H-6 ( $\delta_{\rm H}$  2,08) and H-8 ( $\delta_{\rm H}$  5,55)/ H-9 ( $\delta_{\rm H}$  4,04). From the analysis of the above spectroscopic data, it was confirmed that this compound is a eudesmane-type sesquiterpene. HMBC spectrum of compound **AM1** shows interactions of H-15 $\alpha$  ( $\delta_{\rm H}$  5,08) and H-15 $\beta$  ( $\delta_{\rm H}$  4,80) with C-3 ( $\delta_{\rm C}$  74,0)/C-4 ( $\delta_{\rm C}$  152,1) /C-5 ( $\delta_{\rm C}$  40,1), and of H-12 ( $\delta_{\rm H}$  1,35) together with H-13 ( $\delta_{\rm H}$  1,34) with C-7 ( $\delta_{\rm C}$  145,5), C-11 ( $\delta_{\rm C}$  72,9) demonstrated the binding of exomethylene (CH<sub>2</sub>-15) and propan-2-ol-2-yl groups at C -4 and C-7 respectively. Besides, the interaction of H-14 ( $\delta_{\rm H}$  0,69) with C-1 ( $\delta_{\rm C}$  32,4)/C-5 ( $\delta_{\rm C}$  40,1)/C-9 ( $\delta_{\rm C}$  79,2) proves that the methyl (CH<sub>3</sub>-14) bonded at C-10. NMR spectrum data of compound **AM1** has one more hydroxyl group (at position C-3) than the published compound eudesm-4(15),7-diene-9 $\alpha$ ,11-diol.

The very small *J* constant value at H-3 (br s) characterizes the equatorial-axial and equatorial-equatorial proton interactions of H-2 with H-3. Besides, the constant *J* of H-5 (dd, J = 9,0, 2,0 Hz) proves the interaction of protons at the *axial-axial* and *axial-equatorial* positions of H-5 and H-6. In addition, on the NOESY spectrum, an interaction between H-5 ( $\delta_{\rm H}$  2,56) with H-9 ( $\delta_{\rm H}$  4,04) appears but no interaction between H-5 and H-14 appears, showing that the trans configuration of eudesmane frame. The resonance signal of H-14 at ( $\delta_{\rm H}$  0,69) shows that the methyl group of C-14 has a  $\beta$  configuration similar to eudesmane sesquiterpenes compounds from species of the genus *Atractylodes*. From the analysis of the above spectral data and comparison with reference materials of the similar published compound 4(15)-eudesmene-1 $\beta$ ,7,11-triol, it can be determined that compound **AM1** is a new substance, named eudesma-4(15),7-diene- $3\alpha$ ,9 $\beta$ ,11-triol.

From Atractylodes macrocephala, 11 compounds have been isolated, including 2 new compounds: AM1 (eudesma-4(15),7-diene- $3\alpha,9\beta,11$ -triol) and AM2 (eudesma-4(15).),7-diene-1 $\beta,3\alpha,9\beta,11$ tetraol) has the same eudesmane frame. The known compounds AM3-AM11 are compounds belonging to different framework groups.

4.1.2. Determining the structure of compounds isolated from samples of Atractylodes macrocephala bran honey

Compound AMBH1: methyl (4R\*, 5S\*)-2,5-dihydroxy-3,5dimethyl-4-oxocyclopent-2-ene-1-carboxylate





AMBH1

(4S,5S)-2,4,5-trihydroxy-3-methoxy-4methoxycarbonyl-5-methyl-2-cyclopenten-1-one Figure 4.3. Chemical structure of compound AMBH1 and *reference compound* 

Compound AMBH1 is obtained in oily, yellow form. The molecular formula C9H12O5 was determined based on HR-ESI-MS highresolution mass spectrometry data with a pseudomolecular ion fragment at m/z 223,0578 [M+Na]<sup>+</sup>, corresponding to the calculated mass number. theoretical 223,0582 of the formula  $C_9H_{12}O_5Na$ .

On the <sup>1</sup>H NMR spectrum of **AMBH1**, signals of two methyl groups appear at  $\delta_H$  1,39 (3H, s, 5-CH<sub>3</sub>) and  $\delta H$  1,92 (3<sub>H</sub>, br s, 3-CH<sub>3</sub>), a methoxy group with  $\delta_{\rm H}$  at 3,65 (3H, s, 4'-OCH<sub>3</sub>) and a signal of a methine proton at  $\delta_{\rm H}$  3,56 (1H, s, H-4) and an OH group signal at  $\delta_{\rm H}$  4,48 (1H, s, 5-OH). Combining the <sup>13</sup>C NMR spectrum and HSQC spectrum of AMBH1 shows the presence of signals of 9 carbon atoms, including the appearance of a carbonyl group  $\delta_{\rm C}$  at 201,1 (C-1), a carboxyl group  $\delta_{\rm C}$  at 170,9 (C-4'), two methyl carbon atoms at  $\delta_{C}$  13,0 (3-CH<sub>3</sub>), 25,8 (5-CH<sub>3</sub>), one methine signal at  $\delta_{\rm C}$  59,3 (C-4), one signal of methoxy carbon  $\delta_{\rm C}$  at 51,9 (4'-OCH<sub>3</sub>), two olefine carbon atoms at  $\delta_{C}$  138,5 (C-2) and 149,5 (C-3).

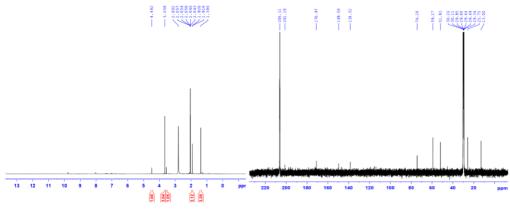


Figure 4.4. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of compound AMBH1

The positions of the methyl groups were determined at positions C-3 and C-5 based on the long-distance interactions on the HMBC spectrum between the proton of the 3-CH<sub>3</sub> group with C-3, C-2, C-4 and C-4 ', group 5-CH3 with C-4, C-5, C-1. Meanwhile, the interaction of H-4 with C-4', C-3, C-1 and the interaction of 4'-OCH<sub>3</sub> allow determining the position of the carboxylate group at C-4. The position of the OH group at C-5 was determined by the interaction on the HMBC spectrum of 5-OH with C-1, C-4, C-5. From the above spectral data, combined comparison with the compound (4S,5S)-2,4,5trihydroxy-3-methoxy-4-methoxycarbonyl-5-methyl-2-cyclopenten-1one can be Determine the planar structure of compound AMBH1. The relative configuration at the C-4 and C-5 positions of AMBH1 is determined by the NOE interaction between H-4 with the proton of the 5-CH<sub>3</sub> group and the NOE interaction between the proton of 5-OH and the methoxy group is shown. on the ROESY spectrum. The 5-OH group and the carboxylate group at C-4 have a cis- conformation in the structure of compound AMBH1, which is different from the reference compound when these two substituents are in the trans- position. The difference is also shown by the difference in the chemical shift of C-5 between these two compounds (Table 4.2). Therefore, the compound **AMBH1** can be identified as methyl  $(4R^*, 5S^*)$ -2,5-dihydroxy-3,5dimethyl-4-oxocyclopent-2-ene-1-carboxylate. This is a new compound, produced during the process of impregnating Astragalus, discovered for the first time.

and reference compounds					
С	<sup>#</sup> δc <sup>a,b</sup>	δc <sup>a,b</sup>	$\delta_{\rm H}^{\rm a,c}$ multi. ( <i>J</i> = Hz)		
1	197,95	201,1	-		
2	132,96	138,5	-		
3	157,68	149,5	-		
4	81,97	-	3,56, s		
5	78,15	-	-		
3-CH <sub>3</sub>	-	13,0	1,92, d (1,2)		
5-CH <sub>3</sub>	22,2	25,7	1,38 s		
4'	171,13	170,9	-		
4'-OCH <sub>3</sub>	52,06	51,9	3,65 s		

Table 4.2. NMR spectrum data of compound AMBH1

<sup>*a*</sup>Acetone-d<sub>6</sub>, <sup>*b*</sup>150 MHz, <sup>*c*</sup>600 MHz, <sup>*#*</sup> $\delta_c$ : data of (4S,5S)-2,4,5-trihydroxy-3-

methoxy-4- methoxycarbonyl-5-methyl-2-cyclopenten-1-one in (CD<sub>3</sub>)<sub>2</sub>SO

From Atractylodes macrocephala bran honey, the structures of 8 compounds have been determined, including 1 new compound **AMBH1** (methyl ( $4R^*$ ,  $5S^*$ )-2,5-dihydroxy-3,5-dimethyl-4-oxocyclopent-2-ene-1-carboxylate). Known compounds **AMBH2** - **AMBH8**, of which 6 compounds are similar to compounds isolated from Atractylodes macrocephala root and another compound is **AMBH5** 

# **4.2.** Evaluation of biological activity of isolated compounds *4.2.1.* DPPH free radical scavenging activity

Compounds **AM4-AM6**, **AM11**, **AMBH1**, **AMBH5** show DPPH antioxidant activity with IC<sub>50</sub> values in the range of 17,64 – 85,17  $\mu$ M, in which compound **AM11** shows the best activity with IC<sub>50</sub> values, equal to 17,64  $\pm$  3,08  $\mu$ M compared to the positive control. The remaining compounds show weak to no activity. Methanol extract samples of *Atractylodes macrocephala* processed by traditional medicine methods were tested for either weak activity (**AL** and **SO**) or no activity (RA and BH).

#### 4.2.2. NO production inhibitory activity

After the compounds were tested at different concentrations to determine the  $IC_{50}$  value. The results showed that all compounds showed weak ability to inhibit NO production on RAW264.7 cells with  $IC_{50}$  values in the range of  $32,74 - 86,14 \mu M$ , only two compounds **AMBH1** and **AMBH5** did not show any effect. this activity. The total extract samples showed weak activity (**AL** and **SO**) and no activity (**RA** and **BH**).

# 4.2.3. Cancer cell toxicity

Compounds **AM1-AM3**, **AM8-AM10** all show moderate cytotoxic activity against lung cancer lines with  $IC_{50}$  values in the range of 28,56 – 67,6 µM and demonstrate the ability to cause toxicity. Weak on leukemia lines with  $IC_{50}$  value in the range of 51,76 – 84,7 µM. MeOH extract samples of *Atractylodes macrocephala* processed by traditional medicine methods all showed weak to inactive toxicity on both tested cancer cell lines.

# **4.3.** Analyze and quantify the composition of *Atractylodes macrocephala* processed according to traditional medicine methods

## 4.3.1. Optimize analytical conditions

Analytical results on the HPLC-DAD system with optimized analytical conditions are presented on a chromatogram suitable for simultaneous analysis of 6 reference substances: AM4, AM5, AM8, AM9, AM10, AM11.

# 4.3.2. Set up standard curve, limit of detection (LOD) and limit of quantification (LOQ)

The values are presented in the table below:

*Table 4.3. Standard curve equation, limit of detection (LOD) and limit of quantification (LOQ) for both reference substances* 

Reference substance	Equation standard	Correlation coefficient R <sup>2</sup>	LOD (µg/mL)	LOQ (µg/mL)
AM4	y = 6,4907x - 13,9640	0,9996	0,2442	0,7399
AM5	y = 5,8912x - 5,8315	0,9997	0,3270	0,9909
AM8	y = 30,5416x - 30,7566	0,9995	0,0628	0,1902
AM9	y = 27,8722x - 30,7026	0,9997	0,1541	0,4671
AM10	y = 12,1393x - 9,5048	0,9996	0,5355	1,6227
AM11	y = 1,1595x - 1,3971	0,9990	0,8570	2,5969

All methods have correlation coefficients  $R^2 > 0,999$  and LOD (range from 0,1 to 0,9 µg/mL) and LOQ (range from 0,2 to 2,6 µg/mL) values are very low. low, ensuring linearity and sensitivity for quantification.

### 4.3.3. Precision and accuracy

The precision (CV%) during the day and over 3 consecutive days ranged from 0,6 to 7,8%. The highest precision for compound **AM11** at a concentration of 5  $\mu$ g/mL was 4,6% and 7,8%, respectively. The accuracy of the distributions is between 96,0% and 104,8% with less than 6,0%. From the results table, it can be seen that the developed quantitative method has precision and accuracy within the FDA's allowable limits, ensuring correct assessment of the content of reference substances in test samples.

*Table 4.4. Intra-day and inter-day precision and accuracy of reference substances* 

		Precision (n=6) (CV%)		Accuracy (n=6)		
Name	Concentratio n (µg/mL)			(%Recovery±		
	40 /	Intra-day	Inter-day	Intra-day	Inter-day	
	5	3,9	3,6	$100,4 \pm 1,6$	$101,0\pm2,\!6$	
AM4	40	2,0	3,5	$99,7\pm0,6$	$99,6 \pm 1,2$	
	100	0,8	1,1	$99,5\pm0,6$	$99,5\pm0,6$	
	5	2,7	4,2	$104,8 \pm 5,4$	$101,7\pm4,8$	
AM5	40	2,0	1,9	$98,5 \pm 3,1$	$100,3 \pm 3,1$	
	100	0,4	2,0	$98,8 \pm 2,1$	$98,5 \pm 2,1$	
AM8	5	2,0	4,4	$99,4 \pm 2,3$	$98,7\pm4,5$	

Name	Concentratio	Precision (n=6) (CV%)		Accuracy (n=6)		
	n (µg/mL)			(%Recovery± error number)		
		Intra-day	Inter-day	Intra-day	Inter-day	
	40	1,6	2,3	$99,1 \pm 1,4$	99,6 ± 3,3	
	100	0,6	0,8	$98,5 \pm 1,1$	$99,0\pm1,5$	
	5	2,5	3,0	$98,2\pm2,6$	97,9 ± 3,2	
AM9	40	2,5	2,3	$98,1\pm1,7$	$97{,}5\pm2{,}9$	
	100	1,1	1,2	$98,9 \pm 1,2$	$99,2\pm1,5$	
	5	3,1	3,3	$97{,}9\pm4{,}1$	$99,4 \pm 3,5$	
AM10	40	2,2	1,9	$98,6 \pm 1,7$	$97,0 \pm 3,4$	
	100	1,0	0,8	$98,6\pm0,9$	$99,5 \pm 1,2$	
	5	4,6	4,9	$96,0 \pm 6,0$	$97,7 \pm 5,7$	
AM11	40	3,3	3,4	$97,4 \pm 1,9$	$98,4 \pm 2,0$	
	100	3,6	3,2	$98,2 \pm 1,7$	99,6 ± 2,2	

#### 4.3.4. Quantitative results and multivariate statistics

The content of **AM4**, **AM5** and **AM11** fluctuates between 7,8  $\mu$ g/g and 88,6  $\mu$ g/g, while the content of sesquiterpenes (**AM8**, **AM9**, **AM10**) fluctuates in a larger value range, from 80,7  $\mu$ g/g to 588,5  $\mu$ g/g.

Processin g method	Nam e	AM5	AM11	AM4	AM10	AM9	AM8
AM	RA 1	$8,5 \pm$	$28,0 \pm$	16,3 $\pm$	184,9 ±	118,7 $\pm$	97,5 ±
		0,7	2,8	1,8	18,1	11,2	11,6
	RA 2	11,0 $\pm$	43,1 ±	18,6 ±	196,5 $\pm$	132,6 ±	110,3 $\pm$
		1,1	3,0	2,2	15,1	15,6	11,8
	RA 3	8,3 ±	42,6 ±	12,6 ±	$220{,}5\pm$	139,1 ±	121,8 ±
		0,6	3,5	1,3	26,5	15,5	13,5
	RA 4	11,6 ±	31,8 ±	14,9 ±	211,4 ±	167,3 $\pm$	126,5 $\pm$
		1,3	3,6	1,6	25,1	13,2	9,9

 Table 4.5. Content of 6 reference substances in Atractylodes

 macrocephala samples

$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$			7,8 ±	23,3 ±	13,2 ±	156,9 ±	112,3 ±	80,7 ±
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		RA 5						
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$								1,2
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		RA 6		-	-	-		97,4 ±9,0
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$					,			1978+
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		AL 1	,					
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$								-
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		AL 2						
$\begin{array}{c c c c c c c c c c c c c c c c c c c $			,			,	,	,
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		AL 3			-			
$\begin{array}{c c c c c c c c c c c c c c c c c c c $								-
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	AM	AL 4						
alcoholAL 54,18,72,726,927,921,9AL 6 $55,9 \pm$ $59,5 \pm$ $37,0 \pm$ $230,5 \pm$ $190,4 \pm$ $185,4 \pm$ AL 6 $4,1$ $5,8$ $2,9$ $25,7$ $17,7$ $16,7$ AL 7 $41,2 \pm$ $55,8 \pm$ $20,4 \pm$ $262,0 \pm$ $274,8 \pm$ $248,3 \pm$ AL 7 $41,2 \pm$ $55,8 \pm$ $20,4 \pm$ $262,0 \pm$ $274,8 \pm$ $248,3 \pm$ AL 7 $4,7$ $6,4$ $1,7$ $32,1$ $26,8$ $18,8$ AL 8 $34,1 \pm$ $66,7 \pm$ $34,1 \pm$ $311,7 \pm$ $227,0 \pm$ $198,8 \pm$ AL 9 $40,7 \pm$ $37,6 \pm$ $39,4 \pm$ $319,2 \pm$ $191,9 \pm$ $209,7 \pm$ AL 9 $40,7 \pm$ $37,6 \pm$ $39,4 \pm$ $319,2 \pm$ $191,9 \pm$ $209,7 \pm$ AL 9 $43,5 \pm$ $6,1$ $3,5$ $35,1 \pm$ $26,0$ $20,0$ SO 1 $35,5 \pm$ $80,5 \pm$ $39,3 \pm$ $489,7 \pm$ $274,5 \pm$ $185,4 \pm$ $3,5$ $6,1$ $3,5$ $35,1 \pm$ $26,0$ $20,0$ SO 2 $41,8 \pm$ $70,7 \pm$ $35,1 \pm$ $495,7 \pm$ $246,0 \pm$ $150,4 \pm$								
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		AL 5			-			
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	aconor		,					
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		AL 6						
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$								
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$		AL 7						
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$								
AL 9 $40,7 \pm \\ 4,7$ $37,6 \pm \\ 3,8$ $39,4 \pm \\ 3,1$ $319,2 \pm \\ 24,9$ $191,9 \pm \\ 17,8$ $209,7 \pm \\ 19,0$ SO 1 $35,5 \pm \\ 3,5$ $80,5 \pm \\ 3,5$ $39,3 \pm \\ 3,5$ $489,7 \pm \\ 274,5 \pm \\ 35,1$ $185,4 \pm \\ 20,0$ SO 2 $41,8 \pm \\ 70,7 \pm \\ 35,1 \pm \\ 495,7 \pm \\ 246,0 \pm \\ 150,4 \pm $ $150,4 \pm $		AL 8			-			
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$							-	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$		AL 9			<i>,</i>		,	
SO 1         3,5         6,1         3,5         35,1         26,0         20,0           SO 2 $41,8 \pm$ $70,7 \pm$ $35,1 \pm$ $495,7 \pm$ $246,0 \pm$ $150,4 \pm$		SO 1						
SO 2 41,8 ± 70,7 ± 35,1 ± 495,7 ± 246,0 ± 150,4 ±								
		SO 2		70,7 ±		495,7 ±		150,4 ±
	AM loess							
$36,7 \pm 36,7 \pm 36,7 \pm 545,6 \pm 220,4 \pm 121,4 \pm$		SO 3					-	-
$\begin{bmatrix} SO 3 \\ 40 \end{bmatrix} = \begin{bmatrix} 80 \\ 30 \end{bmatrix} = \begin{bmatrix} 451 \\ 262 \end{bmatrix} = \begin{bmatrix} 145 \\ 145 \end{bmatrix}$					-	-	,	·
AM $121 + 887 + 117 + 3051 + 2026 + 1493 +$		SO 4		88,7 ±		395,1 ±	-	149,3 ±
loess SO 4 5,1 7,1 3,2 45,9 23,4 16,3				7,1	3,2		23,4	16,3
$33,4 \pm 79,5 \pm 29,9 \pm 388,5 \pm 218,2 \pm 124,4 \pm$		SO 5	33,4 ±	79,5 ±	29,9 ±	388,5 ±	218,2 ±	124,4 ±
SO 5 2,6 7,6 2,2 32,6 22,1 14,5			2,6	7,6	2,2	32,6	22,1	14,5
$47,5 \pm 58,5 \pm 24,3 \pm 588,5 \pm 253,7 \pm 182,1 \pm$		SO 6	47,5 ±	58,5 ±	24,3 ±	588,5 ±	253,7 ±	182,1 ±
SO 6         3,4         5,2         1,8         60,7         22,1         18,2			3,4	5,2	1,8	60,7		18,2
$37,5 \pm 80,5 \pm 37,6 \pm 369,0 \pm 272,9 \pm 219,2 \pm$		SO 7	37,5 ±	80,5 ±	37,6 ±	369,0 ±	272,9 ±	219,2 ±
SO /         3,2         8,7         2,8         39,2         27,4         18,8			3,2	8,7	2,8	39,2	27,4	18,8
<b>DITE</b> $30,5 \pm 31,6 \pm 31,6 \pm 361,9 \pm 184,3 \pm $		DUL	30,5 ±	31,6 ±	31,6 ±	361,9 ±	184,3 ±	184,3 ±
AM bran         BH 1 $2,8$ $3,2$ $3,1$ $45,0$ $19,6$ $18,6$	AM bran	вн і	2,8	3,2	3,1	45,0	19,6	18,4
honey $27,0 \pm 38,8 \pm 35,8 \pm 351,8 \pm 167,4 \pm 150,0 \pm 100,100,000$	honey	BH 2	27,0 ±	38,8 ±	35,8 ±	351,8 ±	167,4 ±	150,0 ±
BH 2 3,1 4,4 3,9 41,0 17,4 13,4	v		3,1	4,4	3,9		17,4	13,4

г	BH 3	32,7 $\pm$	$29{,}7 \pm$	$29{,}7 \pm$	$303,8 \pm$	142,7 $\pm$	135,7 $\pm$
Ľ	ЪΠЭ	3,3	2,8	2,4	37,2	16,8	10,1
	BH 4	23,6 ±	39,5 ±	39,5 ±	$244,4 \pm$	$155,1 \pm$	143,3 ±
Г	5П 4	2,5	2,9	3,3	23,2	13,2	10,4
	BH 5	25,1 ±	$28,6 \pm$	$28,6 \pm$	$358,3 \pm$	123,6 ±	119,2 $\pm$
Ľ	ы	2,2	2,4	2,9	32,4	14,9	11,5
		17,5 ±	$25,2 \pm$	$25,2 \pm$	$325,2 \pm$	179,5 ±	170,6 ±
Г	BH 6	1,6	2,1	2,9	25,4	21,9	15,2
	BH 7	25,3 ±	25,0 ±	$25,0 \pm$	$268,1 \pm$	$128,3 \pm$	110,9 ±
Г	рц /	2,0	2,9	2,4	29,5	16,0	8,7
	BH 8	21,0 ±	38,1 ±	38,1 ±	$365,4 \pm$	196,5 ±	165,1 ±
	бпо	2,5	4,8	3,4	33,0	21,0	16,8

The HCA tree diagram shows that *Atractylodes macrocephala* can be divided into four separate groups corresponding to four processing processes. The only exception is sample **AL9**, which instead of being in the **AL** group, is found in the **BH** sample group.

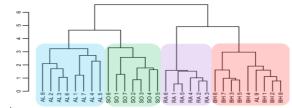


Figure 4.5. 1 ree diagram of Atractylodes macrocephala based on quantified metabolite content.

From figure 4.6, it shows that the total percentage of variance explained on PC1 and PC2 is nearly 80%, so these two PCs can be used to represent and analyze the entire data.



Figure 4.6. Variance drop plot of principal components

From figure 4.7 below, it shows that the **BH** group is located in quadrant IV, opposite the position of quadrant II, of the **AL** group and the representative vector is **AM8** (F). Therefore, **AM8** (F) is considered simultaneously as a positive indicator and a negative indicator of the **AL** and **BH** sample groups.

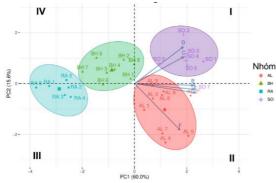


Figure 4.7. Double PCA plot of Atractylodes macrocephala with reference substances

The chart figure 4.8 shows that **AM4**, **AM5**, **AM11** can be used as markers to distinguish samples of *Atractylodes macrocephala* (**RA**), loess (**SO**), *Atractylodes macrocephala* soaked in alcohol (**AL**), bran honey (**BH**)

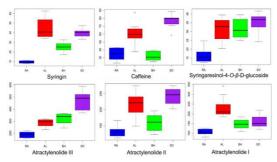


Figure 4.8. Box plot of reference substance content in Atractylodes macrocephala sample groups

#### CONCLUSIONS

#### **Research on chemical composition**

- From *Atractylodes macrocephala root*, 11 compounds (**AM1-AM11**) have been isolated and their structures determined. Among them, two new compounds **AM1** (eudesma-4(15),7-diene- $3\alpha$ ,9 $\beta$ ,11-triol), **AM2** (eudesma-4(15),7-diene- $1\beta$ , $3\alpha$ ,9 $\beta$ ,11- tetraol) and 9 known compounds (**AM3-AM11**).

- From *Atractylodes macrocephala* root processed according to traditional medicine methods, bran honey has been isolated and identified 8 compounds. Among them, two other compounds compared to *Atractylodes macrocephala* root are **AMBH1** (methyl  $(4R^*, 5S^*)$ -2,5-dihydroxy-3,5-dimethyl-4-oxocyclopent-2-ene-1-carboxylate) ( new substance), **AMBH5** (methyl (E)-4-[5-(hydroxymethyl) furan-2-yl]but-3-en-2-one) and 6 compounds similar to those isolated from the Bach sample. avulsion (**AM4**, **AM5**, **AM8-AM11**)

## Research results on biological activity

- DPPH free radical scavenging activity: **AM11** shows the strongest radical scavenging activity with an IC<sub>50</sub> value of 17,64  $\pm$  3,08  $\mu$ M. The remaining compounds showed moderate antioxidant activity or no activity.

- Anti-inflammatory activity was evaluated through the ability to inhibit NO production in RAW264.7 macrophages stimulated by LPS: all compounds had weak activity with IC<sub>50</sub> values in the range of 32,74 - 86,14  $\mu$ M. Particularly, two compounds **AMBH1** and **AMBH5** do not show this activity.

- Cancer cytotoxic activity on lung cancer cell line (A549) and leukemia cell line (K562): AM8 exhibits moderate cytotoxic activity with IC<sub>50</sub> value = 28,56 ± 1,27  $\mu$ M on A549 cell line. The remaining compounds showed weak to no activity on these two cancer cell lines.

In all three of the above activity testing methods, MeOH extract samples of *Atractylodes macrocephala* root processed by traditional medicine methods all showed weak to no activity.

Research results on analysis and quantification of compounds in *Atractylodes macrocephala* processed according to traditional medicine

- Developed a method to simultaneously quantitatively analyze 6 active ingredients in *Atractylodes macrocephala* using HPLC-DAD technique.

- Distinguished and identified a number of indicators for *Atractylodes macrocephala* processed according to traditional medicine methods: **AM4, AM5, AM11** can be used as markers to distinguish *Atractylodes macrocephala* (**RA**), *Atractylodes macrocephala* soaked in alcohol (AL), *Atractylodes macrocephala* bran honey (**BH**), *Atractylodes macrocephala* loess (**SO**)

#### **NEW CONTRIBUTIONS OF THE THESIS**

- Isolate and identify 2 new compounds from *Atractylodes* macrocephala: **AM1** (eudesma-4(15),7-diene- $3\alpha$ ,9 $\beta$ ,11-triol) and **AM2** (eudesma-4(15),7-diene- $1\beta$ , $3\alpha$ ,9 $\beta$ ,11-tetraol)

- Isolate and identify a new compound from *Atractylodes macrocephala* bran honey according to traditional medicine method: **AMBH1** (methyl  $(4R^*, 5S^*)$ -2,5-dihydroxy-3,5-dimethyl-4-oxocyclopent-2-ene-1-carboxylate)

- This is the first study on the transformation of the compounds syringaresinol-4'-O- $\beta$ -D-glucoside (AM4), syringin (AM5), atractylenolide I (AM8), atractylenolide II (AM9), atractylenolide III (AM10), caffeine (AM11) due to commonly used medicinal processing methods (honey bran, wine soaking, ocher) are combined with multivariate statistical analysis to distinguish and identify chemical components. learn characteristics of sample groups.